

**Responses to Questions Submitted to the
New York State Deferred Compensation Plan regarding the
Request for Proposal for Audit Services**

The answers to all submitted questions are included in this listing. However, where similar or duplicate questions were submitted, those questions may have been edited or consolidated to provide a single response.

1. What is the head count composition of participants by each participating governmental jurisdiction (employer)?

There are approximately 1,415 participating employers in the Plan. The head count by employer ranges from 1 employee to 131,000 employees. A listing of each participating employer and the number of participants associated with each employer is provided as Attachment A and is entitled "Plan Participating Employers."

2. Does the scope of the Agreed Upon Procedures cover each employer or is the sampling conducted on a rotational basis?

Sampling is conducted from the universal participant base.

3. Where is the documentation located that will be needed to support the various transactions (i.e. loan agreements, payroll records for participants, benefit payments)?

All Plan participant records are maintained by Nationwide Retirement Solutions (NRS) in Columbus, OH.

4. At what location will the auditor be performing each of the services requested in the RFP (i.e., Albany, headquarters for participating employers, or service providers)? Are employer records in Albany or at the participating employer locations? If the information is at the participating employer locations, is there an individual or group in Albany that coordinates the information gathering process based on audit samples?

The audit will take place at the offices of NRS in Columbus, OH

5. Are all of the participant data files located in one location? If not, where are they located and will we have a main contact person?

All Plan participant records are maintained by NRS in Columbus, OH. An NRS representative will be the main contact person.

6. How are employee withholdings transferred to the Plan?

Employers transmit the Plan deferrals to the Plan's trustee/custodian upon the processing of each payroll.

7. What reports, if any, do the employers provide to the plan and how often (monthly, quarterly, yearly)?

Employers provide NRS with a report of each employee for whom deferrals were withheld and the amount of the deferral upon the processing of each payroll.

8. Please provide copies of the most recent prior year available reports for each of the AUP procedures of the ASA and performance criteria audit of the ASA.

A copy of the 2103 Agreed Upon Procedures Report and the Performance Criteria Report are provided as Attachment B and is entitled “2013 Reports.”

9. Has the Board identified the procedures for the “Agreed Upon Procedures Audit of the Administrative Service Agency”? And if so, can the Board share the procedures with bidders?

Yes.

10. How many hours and staff from CliftonLarsonAllan have participated in the Plan audit taken in the 3 most recent prior years

New York State requires the auditor to file a Contractor’s Annual Employment Report each Fiscal Year. The following chart provides the information that CLA reported for the three most recent fiscal years.

Fiscal Year	Hours	Staff
FY 2010 – 2011	691	8
FY 2011 – 2012	829.5	11
FY 2012 – 2013	880	8

11. Did CLA make any site visits to employers during the audit?

No.

12. Please detail the amounts of the fees paid for the services covered by this RFP for the last three years, separately for each of the 3 services (Plan financial statement audits, AUP procedures of the ASA, and performance criteria audit of the ASA).

Service	2010-2011	2011-12	2012-13
Financial Audit	\$32,000	\$33,000	\$34,000
Agreed Upon Procedures Report	\$32,000	\$33,000	\$34,000
Performance Criteria Report	\$62,000	\$64,000	\$66,000

13. Do all participating employers process payroll on the same system? If not, how many different systems exist?

No. We are not able to determine the exact number of payroll systems that are utilized. We have identified 8 separate processing systems.

14. Does the State or the Plan perform any internal audits of the participating employer’s payroll systems? If so, can you share with us the internal audit program?

No

15. Who prepares the financial statements for the Plan (including all applicable note disclosures)?

The auditor prepares the financial statements and note disclosures.

16. Does the Deferred Compensation Board have written descriptions of the systems and internal controls in place over the Plan?

The Board does not have written descriptions of the systems and internal controls in place. Systems and internal controls are established by NRS.

17. With regard to the ASA Performance Criteria, will the “intentionally omitted” procedures not be performed or will the procedures be determined at a later date?

Those criteria that are designated as “intentionally omitted” have been deleted from the procedures that were performed in 2013. The Board reserves the authority to add and/or replace any performance criteria during the term of the contract.

18. With regard to the Agreed Upon Procedures Audit, there is a section in the RFP that states “the ASA complies with such other requirements or specifications as may be designated by the board.” Does this mean that there will be additional procedures and, if so, do you know what those procedures will be? Can you provide us with a detailed listing of the procedures to be performed for the Agreed Upon Procedures Audit of the ASA?

The Board has the authority to add and/or replace any agreed upon procedures during the term of the contract. The Board will inform the auditor of any changes in future requirements when they are instituted.

19. Is there a timeline/due date for each engagement as to when the reports need to be delivered? What will be the due dates required to provide the final copies of the reports for each of the 3 services to be rendered?

The Financial Statements, Agreed Upon Procedures, and the Performance Criteria Report are to be completed 90 days following the close of the Plan’s Fiscal Year, which is March 31.

20. Does the Plan have an internal audit function and if so what internal audits are conducted each year?

No.

21. Is an Internal Control letter issued and, if so, is a copy available.

No

22. Does the current Administrative Service Agency provide an SSAE 16 Service Organization Controls report?

NRS creates this letter on a fiscal year basis and can provide it upon request.

23. Is CliftonLarsonAllen (“CLA) permitted to rebid on the 5 year contract? If yes, do they intend to rebid?

Yes. The Board does not comment on which firms may potentially bid for this contract.

24. What is the governance structure over the Plan in addition to the New York State Deferred Compensation Board?

The Board is the sole governance entity of the Plan.

25. Please provide the standard language per the Contract regarding the Auditors indemnification of the Board, Plan, Plan participants, etc.

The indemnification language contained in the current contract reads as follows:

“The Auditor agrees to indemnify and hold harmless the Plan, Plan participants, the Board and any member, agent or employee of the Board, the State and any officer, agent or employee of the State, and each public jurisdiction participating in the Plan and each officer, agent or employee of any such public jurisdiction (collectively, the “Indemnified Parties”) from and against any action, cost, damage, disbursement, expense, liability, loss, injury, judgment, deficiency, diminution in value, obligation, penalty or settlement of any kind or nature, including, but not limited to, reasonable legal and accounting fees and expenses incurred in the investigation, collection, prosecution and defense of claims, that may be imposed on or otherwise incurred or suffered by any of the Indemnified Parties as a result of fraud, willful misconduct, negligent failure to perform, negligent performance or omission of the Auditor’s duties, responsibilities, obligations and Services under this Agreement by the Auditor or any of its officers, employees, agents or affiliates. For the avoidance of doubt, the provisions of this Section 10(a) shall survive the expiration of this Agreement.”

26. Please confirm there is no intent to change the service providers that work with the Plan during the 5-year engagement. For example, on page 6 of the RFP it indicates that the contract between the Board and the current ASA is set to expire on March 31, 2016.

The Board cannot confirm that there will be no change in service providers during the period of the contract. The Board is required to re-bid for specific services at the end of each contract term in an open and competitive RFP process.

27. Please describe Plan management and their role in the audit process including preparation of the financial statements and client assistance requests made by the auditors.

Board Staff will provide assistance to the auditors with regard to the Financial Statement Notes and assistance in obtaining documentation that has not been provided upon the auditor’s request.

28. Please describe the underlying investments and related parameters of the Schwab PCRA.

Plan participants may invest in approximately 3,200 mutual funds (exclusive of mutual funds offered as a Plan core investment option), and Exchange Traded Funds (ETFs) through the Schwab PCRA. Participants may transfer a portion of their account balance to the PCRA when their balance equals or exceeds \$10,000. The initial transfer to the PCRA must be at least \$2,500. Subsequent transfers to the PCRA must be at least \$500. Transfers to the PCRA are limited to 50% of a participant’s account.

29. Please describe the financial reporting of the Schwab PCRA to Nationwide and BONY Mellon.

Schwab provides a daily account balance, by participant, to NRS and BoNY Mellon. In addition, Schwab will provide quarterly updates on balances and investments.

30. What records are provided to support the Note 4 disclosure for the summary of changes in net position available for benefits by New York and Other participant categories?

NRS provides the necessary financial reports to support Note 4, including Buy/Sell Matrix, VIDS5061 Report, Monthly ATM Report, and Financial Transaction Reports.

31. What sort of information is provided by the Plan's investment managers for the stable value/synthetic investment contracts? Does each investment manager provide information or is it compiled and condensed by one stable value/synthetic investment contract manager? How is fair value determined?

The sub-managers to the Stable Income Fund provide information on each portfolio to the stable value structure manager on a monthly basis. Information provided includes, but is not limited to, market value, duration, yield, convexity, average maturity, tracking error, information ratio, performance, sector distribution, duration distribution, maturity distribution, credit distribution, spread distribution, instrument distribution, compliance commentary and security level holdings.

The information is reviewed and compiled by the stable value structure manager and presented to the Board and its staff on a monthly basis.

The fair value of a wrapped fixed income portfolio or insurance separate account contract is equal to the portfolio's market value which is determined by the custodian for that portfolio.

For cash/STIF, due to the overnight nature of these assets, market value and fair value are deemed to be equal.

The fair value of a wrap contract is not equal to zero when the wrap provider has submitted a wrap fee rebid for an existing contract that is different from the wrap fee set forth in the contract. The value of the wrap contract is determined by a present value discounting of the difference between the contractual wrap fee rate and the rebid rate. The annual dollar differential in the fee level is discounted using a duration matched swap rate. Inputs to the calculation are below:

- Book value of the wrap contract
- Contractual wrap fee rate
- Rebid wrap fee rate
- Wrap portfolio duration
- Swap rate matched to the wrapped fixed income portfolio duration (the PV discount factors). US Dollar Actual/360 swap rates are obtained from Bloomberg.

The adjustment from fair value to contract value for fully benefit responsive investment contracts is the difference between the aggregate fair value and aggregate contract value of all benefit responsive assets held within the Fund.

32. Please clarify the underlying investments of the stable income fund. For example, Note 2 of the Plan financial statements refers to insurance company separate accounts, synthetic stable value investments, and cash equivalents; however, Note 3 also refers to traditional guaranteed investment contracts. Please further elaborate on the underlying investments of the synthetic guaranteed investment contracts. For example, we would like to understand the underlying investments for the synthetic guaranteed investment contracts noted on page 16 of the Plan financial statements.

Insurance company separate accounts consist of separate account contracts with insurance companies under which (i) the assets allocated by the Fund are invested within the limits set forth in Fixed Income Sector Investment Guidelines, (ii) the insurance company provides coverage for book value accounting, and (iii) the assets allocated by the Fund are held in a separate account for such separate account contract and segregated from the general assets of the insurance company, or any other similar product.

Synthetic stable value investments, also referred to as wrapped fixed income, may consist of a portfolio or portfolios of fixed income securities and one or more wrap contracts to provide book value liquidity with respect to fixed income portfolios for Stable Income Fund benefit payments. In addition to individual securities holdings, this component may consist of actively managed portfolios of fixed income securities subject to a sub-advisory agreement with a Sub-Manager, units of CITs, or shares of mutual funds.

Cash/cash equivalent investments consist of short-term collective investment funds (“STIFs”), money market funds or other high-quality, cash equivalent investments managed by the sub-manager of the Cash Portfolio in accordance with the Sector Guidelines set forth in the State of New York Deferred Compensation Board Stable Income Fund Investment Policies and Guidelines.

Guaranteed Investment Contracts (“GICs”) generally consist of investment contracts backed by the general account assets of qualified insurance companies or major money center banks or other financial institutions.

As of December 31, 2013 there were no GICs in the Stable Income Fund. Below is a current listing of the underlying components of the Stable Income Fund:

	Book Value	Dollar Weight
Separate Account Contracts:		
Babson Core	1,272,684,039	20.1%
ING 1-3 G/C	340,321,261	5.4%
ING Intermediate	721,684,325	11.4%
MacKay Shields Short	762,535,443	12.0%
MacKay Shields Short II	561,316,874	8.9%
Wrapped Fixed Income:		
BlackRock Short	582,386,566	9.2%
Earnest Partners Short	418,147,648	6.6%
Goldman Int Core	435,560,353	6.9%
Jennison Int Core	977,647,959	15.4%
Cash:		
BlackRock	270,086,491	4.3%

33. Please clarify the type of investments included in the international equity portfolios.

A copy of the International Investment guidelines is provided as Attachment C and is entitled “International Investment Guidelines.”

34. Is BONY the custodian of all of the Plan’s investments?

Yes.

35. Please describe services, if any, that are performed at the Employers of the participants to provide assurance over completeness and accuracy of contributions, including but not limited to: payroll audits, verification/testing of the definition of eligible compensation, testing of the deferrals, etc.

Each Participating Employer is responsible to determine the completeness and accuracy of contributions.

36. Please describe the process and internal controls for remitting contributions by the various Employers that have adopted the Plan. In your response, please consider the following:

a. Are the contributions remitted directly to BONY Mellon or first to another party?

Participating employers remit deferrals directly to BoNY.

b. How are participant files transmitted to Nationwide?

Participating employers use various submission formats, including electronic, spreadsheet, paper formats.

c. What reconciliations are performed by Plan management regarding such remittances?

NRS is responsible to reconcile the deferrals amounts submitted by the employer to the sum of the individual deferral amounts received by the Bank of New York Mellon.

37. Please describe the process for enrollment into the Plan by participants. Specifically consider the following in your response:

a. Do all participants enroll online through a portal provided by Nationwide or do participants also enroll through hard copy enrollment forms provided by their employer?

Participants enroll on a paper form provided by the Plan.

b. Please describe all the ways in which participants can change their deferral percentage, including how such changes are communicated between the employer and Nationwide.

Participants can change their deferral rate through the website, the Plan HELPLINE, and hard copy form. NRS informs the employer of changes in deferral rates.

38. Please describe the process for approving benefits paid to participants by the Plan. In your response, please describe the level of involvement by employers and Plan management in the distribution authorization process.

Participants complete a distribution form which details the timing, frequency, and amount of distributions. NRS confirms that the employee has had a separation from service from the employer or other eligibility criteria.

39. Please describe the process for requesting a participant loan along with how the loans can be repaid – i.e. payroll deductions only?

Participants complete a loan application provided by the Plan and submit the application to NRS. NRS reviews the form for completeness and accuracy. The loan amount is processed upon a determination that the application is in good order. Loans can be repaid through an ACH deduction from a bank account or cash payment to NRS. Payroll deductions are not permitted.

- 40. Does an overall compilation of the data for each of the participating employers exist? If so, is it prepared by the ASA and what data does it contain (e.g., compensation, deferrals by type, dates of birth/hire/termination, etc.)?**

NRS maintains participant personnel data for each participant, including address, personal identification, deferral rate, beneficiary designations, date of birth, date of termination, employer, etc.

- 41. Under the AUP engagement, is the auditor responsible for testing that the definition of eligible compensation has been properly calculated by the employer? Is so, what payroll system(s) are used by the employers?**

The auditor is not required to test the definition of eligible compensation.

- 42. Please clarify what is requested with regard to the following statement noted on page 5 of the RFP as typically an AUP engagement would specifically identify scope and sample sizes determined by management.**

“The Agreed Upon Procedures Audit must utilize a statistically reliable sampling technique, and the proposal must specifically identify the proposed sampling method and size, the expected degree of reliability and the range of error for each of items (i), (ii) and (iii) above.”

Specifically, clarify what is required pertaining to a “statistically reliable sampling technique” and the “expected degree of reliability”.

The sampling technique is determined and amended as necessary by the auditor in consultation with the Board and Board Staff.

- 43. Is access to the Nationwide or participating employer systems provided?**

Access is provided to the NRS systems.

- 44. Are the source documents provided for analysis specific to the Performance Criteria Audit of the ASA able to be relied upon, such as source documents provided from a system covered by testing of an internal audit, or is testing required to ensure the source documents are accurate prior to completing any analysis?**

Source documents are provided to the auditor and may be relied upon.

- 45. Historically, what has the commitment been by CLA with regard to providing advice on accounting rules on an annual basis?**

Advice on accounting rules has been provided upon request.

46. Is attendance/participation by the auditors in monthly/quarterly meetings of the New York State Deferred Compensation Board expected?

Yes.

47. Should our proposal include provision for presentation of comparative financial statements for the Plan including the first year?

No.

48. Were all 3 of these services provided by CliftonLarsonAllen in the most recent year end?

Yes.

49. How long has CliftonLarsonAllen provided financial statement audit services to the Plan?

CLA has been the Plan auditor since October 1, 2004.

50. Provide a copy of the most recent management letter related to the Plan financial statement audit.

A copy of the most recent management letter is provided as Attachment D and is entitled "Management Letter."

51. Provide a copy of the most recent letter from the Plan auditors describing significant deficiencies or material weaknesses in internal controls.

This is not a requirement of the audit procedures.

52. Approximately what proportion of the documentation provided to the auditors for each of the 3 services will be provided electronically?

Most of the requested information can be provided as a pdf document and sent to the auditor electronically.

53. Can we visit the locations of each of the entities participating in the Plan?

The audits to be conducted are of the Plan and not individual participating employers. It would seem unnecessary to visit any participating employer.

54. How many contributing entities make up the top 25% of contributions to the Plan in the most recent year ended? How many make up the top 50%?

Approximately, 60% of all deferrals are from State employees. Of the deferrals from employees of participating employers, 349 of the employers make up the top 25% of contributions to the Plan. 698 employers make up the top 50% of the contributions to the Plan.

55. Can the AUP services be performed year round at various times?

No.

56. During what time period does the Plan audit fieldwork normally take place?

Fieldwork for the financial statements and the Agreed Upon Procedures generally occur following the end of the Plan fiscal Year. Fieldwork related to the Performance Criteria may occur throughout the Fiscal Year.

57. Please describe the current policy for the Plan auditor rotation.

The Board does not have an auditor rotation policy.

58. When did the Plan issue the last RFP for any of these services?

2009

Local Employers December 2013

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206002	MONTICELLO HOUSING AUTHORITY	07	03/05/1985	11	7	1	9.09%
206003	NORWICH HOUSING AUTHORITY	14	03/13/1985	3	3	2	66.67%
206004	VILLAGE OF COLONIE	09	01/30/1985	40	13	8	20.00%
206005	DORMITORY AUTHORITY	09	03/15/1985	610	497	325	53.28%
206006	TOWN OF SULLIVAN	14	03/21/1985	30	16	6	20.00%
206007	CLINTON COUNTY TREASURER'S OFFICE	12	04/04/1985	600	351	226	37.67%
206008	TOWN OF NORTH HEMPSTEAD	01	03/18/1985	500	336	225	45.00%
206009	NYS THRUWAY AUTHORITY	09	03/13/1985	3,955	1,893	1,184	29.94%
206010	BATTERY PARK CITY AUTHORITY	05	04/16/1985	65	68	30	46.15%
206011	VILLAGE OF MT. KISCO	06	05/14/1985	150	82	46	30.67%
206012	TOWN OF BROOKHAVEN	02	03/29/1985	725	750	453	62.48%
206013	DUTCHESS COUNTY	07	04/25/1985	2,000	1,348	811	40.55%
206014	TOWN OF PENFIELD	17	05/03/1985	160	84	48	30.00%
206016	TOWN OF RIVERHEAD	02	03/12/1985	300	217	155	51.67%
206017	CITY OF NEW ROCHELLE	06	03/22/1985	900	557	367	40.78%
206018	VILLAGE OF CROTON-ON-HUDSON	06	03/05/1985	75	65	42	56.00%
206019	TOWN OF OSSINING	06	04/30/1985	70	42	24	34.29%
206020	VILLAGE OF OSSINING	06	03/05/1985	190	135	78	41.05%
206021	TOWN OF SMITHTOWN	02	05/10/1985	400	284	185	46.25%
206022	LONG BEACH HOUSING AUTHORITY	01	02/21/1985	19	11	9	47.37%
206024	OLYMPIC REGIONAL DEV. AUTH.	12	06/10/1985	200	59	34	17.00%
206026	NYS CONFERENCE OF MAYORS	08	05/30/1985	17	14	7	41.18%
206027	TOWN OF EVANS	17	04/16/1985	243	53	37	15.23%
206028	TOWN OF ELMA	17	03/19/1985	66	15	9	13.64%
206029	TOWN OF SWEDEN	17	05/03/1985	54	17	11	20.37%
206031	WARREN COUNTY NY	08	06/19/1985	900	345	217	24.11%
206032	VILLAGE OF MASSAPEQUA PK	01	04/04/1985	64	26	17	26.56%
206034	EMPIRE STATE DEVELOPMENT CORP	04	07/01/1985	350	322	124	35.43%
206035	COUNTY OF JEFFERSON NY	13	05/16/1985	900	337	224	24.89%
206036	VILLAGE OF FREEPORT	01	03/18/1985	331	275	183	55.29%
206037	TOWN OF SAUGERTIES	06	05/02/1985	150	17	15	10.00%
206038	FRANKLIN COUNTY TREAS. OFFICE	12	09/17/1985	600	193	117	19.50%
206039	VILLAGE OF GREAT NECK HSG AUTH	01	05/30/1985	6	4	2	33.33%
206040	NYS TEACHERS RETIREMT SYSTEM	09	07/01/1985	349	323	222	63.61%

Local Employers (Continued)

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206004	VILLAGE OF COLONIE	09	01/30/1985	40	13	8	20.00%
206005	DORMITORY AUTHORITY	09	03/15/1985	610	497	325	53.28%
206006	TOWN OF SULLIVAN	14	03/21/1985	30	16	6	20.00%
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206039	VILLAGE OF GREAT NECK HSG AUTH	01	05/30/1985	6	4	2	33.33%
206040	NYS TEACHERS RETIREMT SYSTEM	09	07/01/1985	349	323	222	63.61%
206041	PORT AUTHORITY OF NY & NJ	04	05/21/1985	7,316	6,416	3,738	51.09%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206042	PORT AUTHORITY TRANS HUDSON	04	06/17/1985	1,200	623	450	37.50%
206043	NYS ENERGY RESRCH & DEV. AUTH	08	08/12/1985	225	234	161	71.56%
206044	TOWN OF MILAN	07	03/05/1985	26	6	5	19.23%
206045	VILLAGE OF PORT CHESTER	06	07/15/1985	220	105	57	25.91%
206047	VILLAGE OF TUCKAHOE	06	08/16/1985	70	40	25	35.71%
206048	VILLAGE OF MANORHAVEN	01	07/15/1985	15	5	1	6.67%
206050	SOUTH HUNTINGTON WATER DIST.	02	05/23/1985	20	9	7	35.00%
206051	TOWN OF LLOYD	07	06/07/1985	85	11	9	10.59%
206052	NIAGARA FRONTIER TRANS. AUTH.	17	07/30/1985	400	356	245	61.25%
206053	Hamilton County - 206053	11	07/16/1985	130	71	46	35.38%
206054	EAST NORTHPORT FIRE DISTRICT	02	07/23/1985	9	7	5	55.56%
206055	VILLAGE OF HEMPSTEAD	01	07/24/1985	539	262	175	32.47%
206056	NYS SCIENCE & TECHNOLOGY FNDDN	07	08/16/1985	24	4	0	.00%
206057	PORT WASHINGTON POLICE DIST.	01	08/16/1985	100	82	55	55.00%
206058	CORTLAND HOUSING AUTHORITY	14	08/23/1985	19	1	0	.00%
206059	TOWN OF AURORA	17	09/05/1985	75	24	14	18.67%
206060	HARTSDALE FIRE DISTRICT	06	09/17/1985	60	49	27	45.00%
206061	HEALTH RESEARCH INC. (ALBANY)	11	09/23/1985	1,300	736	462	35.54%
206063	VILLAGE OF CEDARHURST	01	09/03/1985	50	24	14	28.00%
206064	VILLAGE OF GOWANDA	16	09/17/1985	60	4	3	5.00%
206065	ROME HOUSING AUTHORITY	13	09/17/1985	16	13	7	43.75%
206066	HEALTH RESEARCH INST.(BUFFALO)	17	09/23/1985	948	297	188	19.83%
206068	TOWN OF NORTH CASTLE	06	10/03/1985	150	109	60	40.00%
206069	TOWN OF INDIAN LAKE	11	10/03/1985	40	15	12	30.00%
206070	SUFFOLK COUNTY WATER AUTH.	02	10/07/1985	588	473	303	51.53%
206071	NYS HOUSING FINANCE AGENCY	04	08/14/1985	200	108	48	24.00%
206072	NY CITY OFF - TRACK BETTING	04	11/04/1985	1,600	487	0	.00%
206073	NYS ENVIRONMENTAL FACILITIES CORP	11	08/13/1985	135	91	50	37.04%
206074	SCHENECTADY HOUSING AUTHORITY	09	11/12/1985	85	35	16	18.82%
206075	TOWN OF ARIETTA	11	11/13/1985	25	8	5	20.00%
206076	VILLAGE OF BRIARCLIFF MANOR	06	11/26/1985	83	42	27	32.53%
206077	LITTLE FALLS HOUSING AUTHORITY	11	11/26/1985	5	2	0	.00%
206078	VILLAGE OF SCARSDALE	06	12/02/1985	250	238	154	61.60%
206079	STATE OF NY MORTGAGE AGENCY	04	02/13/1986	200	106	52	26.00%
206080	AMHERST PUBLIC LIBRARY	17	01/02/1986	2	1	0	.00%
206081	TOWN OF LAKE PLEASANT	11	12/23/1985	30	7	6	20.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206082	WHITE PLAINS HOUSING AUTHORITY	06	12/30/1985	23	19	16	69.57%
206083	TUCKAHOE HOUSING AUTHORITY	06	02/10/1986	8	1	0	.00%
206084	VILLAGE OF NORTHPORT	02	01/27/1986	70	49	31	44.29%
206085	VILLAGE OF SPRINGVILLE	17	03/03/1986	27	19	12	44.44%
206086	VILLAGE OF SOUTHAMPTON	02	02/04/1986	120	77	56	46.67%
206087	ORCHARD PARK LIBRARY	17	04/04/1986	7	1	0	.00%
206088	TOWN OF WEBSTER	17	04/22/1986	150	128	76	50.67%
206089	VILLAGE OF EAST AURORA	17	04/22/1986	65	38	26	40.00%
206090	TOWN OF OYSTER BAY	01	06/23/1986	1,200	888	584	48.67%
206092	TOWN OF GUILDERLAND	09	03/30/1987	250	111	83	33.20%
206093	EASTCHESTER FIRE DISTRICT	06	12/30/1986	100	87	57	57.00%
206094	MUNICIPAL HOUSING AUTH OF UTICA	13	06/30/1986	60	14	10	16.67%
206095	TOWN OF PLATTSBURGH	12	05/12/1986	60	33	27	45.00%
206096	TOWN OF BRIGHTON	17	08/04/1986	145	138	97	66.90%
206098	VILLAGE OF FAIRPORT	17	08/18/1986	70	63	39	55.71%
206099	TOWN OF GREENBURGH	06	07/15/1986	475	327	212	44.63%
206100	CENTRAL NY REG. TRANS. AUTH.	13	08/25/1986	558	278	212	37.99%
206101	ROCHESTER HOUSING AUTHORITY	17	09/09/1986	164	60	32	19.51%
206102	DEV AUTH OF NORTH COUNTRY	13	11/10/1986	52	37	26	50.00%
206103	SUFFOLK REG OFF-TRACK BETTING	02	11/05/1986	400	141	55	13.75%
206104	CITY OF YONKERS	03	12/13/1986	1,825	1,853	1,099	60.22%
206105	CITY OF LONG BEACH	01	11/20/1986	500	311	179	35.80%
206106	OYSTER BAY SEWER DISTRICT	01	09/25/1986	19	18	13	68.42%
206107	CITY OF WHITE PLAINS	06	02/19/1987	1,015	787	475	46.80%
206108	VILLAGE OF CENTRE ISLAND	01	05/28/1987	10	7	7	70.00%
206109	Town of Amherst NY	17	12/04/1986	681	549	381	55.95%
206110	HICKSVILLE WATER DISTRICT	02	03/31/1987	18	15	12	66.67%
206111	VILLAGE OF MUNSEY PARK	01	02/25/1987	10	7	4	40.00%
206112	VILLAGE OF SPRING VALLEY	06	03/30/1987	175	90	57	32.57%
206113	CHAUTAUQUA COUNTY NY	16	03/31/1987	1,400	694	475	33.93%
206114	TOWN OF HEMPSTEAD	01	02/25/1987	2,000	1,595	1,123	56.15%
206115	VILLAGE OF RYE BROOK	06	05/05/1987	75	74	54	72.00%
206116	MECHANICVILLE HOUSING AUTHORITY	08	05/05/1987	8	5	2	25.00%
206117	NASSAU REG O-T-B CORP.	01	05/05/1987	350	172	88	25.14%
206118	PUTNAM COUNTY	06	05/05/1987	800	463	283	35.38%
206119	TOWN OF AMSTERDAM	11	05/28/1987	31	3	3	9.68%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206120	TOWN OF HARRISON	06	06/05/1987	300	222	129	43.00%
206121	TOWN OF GATES	17	05/05/1987	150	69	36	24.00%
206122	ALLEGANY COUNTY	16	06/22/1987	540	313	224	41.48%
206123	VILLAGE OF MONROE	06	03/05/1985	242	28	18	7.44%
206124	VILLAGE OF HORSEHEADS	14	05/28/1987	45	29	15	33.33%
206125	VILLAGE OF MALVERNE	01	03/30/1987	84	61	46	54.76%
206126	FAIRVIEW FIRE DISTRICT-WHITE PLAINS	06	06/18/1987	55	54	35	63.64%
206127	TOWN OF FLORENCE	13	02/25/1987	17	7	3	17.65%
206128	TOWN OF POUND RIDGE	06	06/24/1987	30	25	16	53.33%
206129	ROCHESTER-GENESEE R.T.A.	17	02/19/1987	700	461	312	44.57%
206130	TOWN OF LANCASTER	17	06/22/1987	150	115	77	51.33%
206132	TOWN OF MOUNT PLEASANT	06	09/22/1987	190	135	82	43.16%
206133	GENESEE VALLEY REGIONAL	17	09/22/1987	9	5	3	33.33%
206134	CAPITAL DIST. TRANS. AUTH.	09	10/02/1987	615	330	229	37.24%
206135	TOWN OF CHEEKTOWAGA	17	06/03/1987	465	339	236	50.75%
206136	TOWN OF GREECE	17	10/02/1987	380	305	201	52.89%
206137	ULSTER COUNTY	07	04/22/1986	1,900	980	564	29.68%
206138	LONG ISLAND POWER AUTHORITY	01	09/16/1987	93	81	48	51.61%
206139	VILLAGE OF LANCASTER	17	11/03/1987	56	10	8	14.29%
206140	CATSKILL OFF TRACK BETTING CORP	06	11/17/1987	300	42	22	7.33%
206141	ULSTER COUNTY COMMUNITY COLL	07	04/01/1985	20	21	12	60.00%
206142	ALBANY HOUSING AUTHORITY	09	11/17/1987	100	63	45	45.00%
206143	NIAGARA FRONTIER METRO SYSTEM	17	04/01/1985	1,000	365	266	26.60%
206144	RAMAPO HOUSING AUTHORITY	06	02/22/1988	10	5	3	30.00%
206145	BUFFALO MUNICIPAL HOUSING AUTH	17	03/28/1988	385	104	60	15.58%
206146	CITY OF ITHACA	14	04/05/1988	420	227	149	35.48%
206147	REHAB MORTGAGE INSURANCE CORP.	01	03/31/1988	5	1	0	.00%
206148	TOWN OF OGDEN	17	06/27/1988	60	53	33	55.00%
206149	VILLAGE OF SPENCERPORT	17	06/27/1988	25	13	7	28.00%
206150	GREENVILLE FIRE DISTRICT	06	07/15/1988	31	33	23	74.19%
206151	BATH ELECTRIC GAS & WATER SYS.	16	07/15/1988	35	33	18	51.43%
206152	ULSTER CO. RESOURCE RECOVERY AGENCY	07	07/15/1988	50	20	10	20.00%
206153	ROOSEVELT ISLAND OPERATING COR	03	07/15/1988	60	43	12	20.00%
206154	GREENE COUNTY TREASURER'S DEPT	10	08/09/1988	560	300	173	30.89%
206155	TOWN OF CLARKSON	17	07/25/1988	46	12	8	17.39%
206156	TOWN OF PERINTON	17	07/25/1988	110	80	65	59.09%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206157	CITY OF POUGHKEEPSIE	07	07/25/1988	1,000	221	137	13.70%
206158	CITY OF ONEONTA	10	09/09/1988	140	94	71	50.71%
206159	NORTH COLLINS CENTRAL SCHOOL	17	11/07/1988	90	6	4	4.44%
206160	VILLAGE OF LANSING	14	11/30/1988	4	4	4	100.00%
206161	TROY HOUSING AUTHORITY	09	01/16/1989	104	35	20	19.23%
206162	TOWN OF N. HEMPSTEAD-S.W.M.A.	01	12/22/1988	400	12	10	2.50%
206163	VILLAGE OF SKANEATELES	13	01/06/1989	50	18	8	16.00%
206164	MT VERNON URBAN RENEWAL AGENCY	06	12/22/1988	25	15	3	12.00%
206165	LAKE MOHEGAN FIRE DISTRICT	06	12/22/1988	28	26	20	71.43%
206166	COUNTY OF FRANKLIN SOLID WASTE AUTHORITY	12	01/24/1989	22	4	4	18.18%
206167	TOWN OF HORSEHEADS	14	09/29/1988	40	18	10	25.00%
206168	VILLAGE OF KINGS POINT	01	02/13/1989	38	38	25	65.79%
206169	VILLAGE OF ISLANDIA	02	02/28/1989	6	4	2	33.33%
206170	VILLAGE OF NYACK	06	03/09/1989	39	14	12	30.77%
206171	City Of Albany NY	09	02/23/1989	1,450	755	513	35.38%
206172	THE SMITHTOWN LIBRARY	02	01/14/1989	74	21	11	14.86%
206173	TOWN OF HEMPSTEAD HOUSING	01	03/13/1989	50	22	14	28.00%
206174	VILLAGE OF KENMORE	17	03/10/1989	130	62	39	30.00%
206175	CITY OF MT VERNON	06	04/12/1989	620	366	243	39.19%
206176	MT VERNON WATER DEPT	06	04/12/1989	25	22	0	.00%
206178	ELMIRA WATER BOARD	14	07/11/1989	58	29	16	27.59%
206179	TOWN OF CORTLANDT	06	07/11/1989	220	123	81	36.82%
206180	TOWN OF CARMEL	07	06/23/1989	125	118	77	61.60%
206181	TOWN OF LEWISBORO	06	07/18/1989	100	44	17	17.00%
206182	ST OF NY INS DEPT LIQU BUR	05	09/01/1989	319	292	152	47.65%
206183	CITY OF LACKAWANNA	17	10/16/1989	190	125	92	48.42%
206184	TOWN OF GARDINER	07	11/15/1989	31	9	5	16.13%
206185	VILLAGE OF ARDSLEY	06	11/15/1989	50	32	23	46.00%
206187	CITY OF PEEKSKILL	06	02/09/1990	225	186	112	49.78%
206188	TOWN OF LANSING (HWY BIWEEK)	14	03/23/1990	40	18	11	27.50%
206189	TOWN OF HAMBURG	17	04/16/1990	225	178	112	49.78%
206190	AMSTERDAM HOUSING AUTHORITY	11	05/30/1990	15	14	6	40.00%
206191	ONEIDA CITY HOSPITALS	14	06/11/1990	30	14	0	.00%
206192	TOWN OF MENDON	17	07/25/1990	80	18	13	16.25%
206193	MONTGOMERY SOLID WASTE MGMNT	10	08/03/1993	70	3	1	1.43%
206194	VILLAGE OF WESTHAMPTON BEACH	02	09/12/1990	75	19	12	16.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206195	TOWN OF WAPPINGER	07	10/19/1990	75	20	11	14.67%
206196	BELGRAVE WATER POLLUT CONTROL	01	11/26/1990	15	9	7	46.67%
206197	CITY OF RYE	06	12/13/1990	163	106	65	39.88%
206198	OGDENSBURG BRIDGE & PORT AUTH	12	12/17/1990	0	26	20	.00%
206199	VILLAGE OF FARMINGDALE	01	12/27/1990	50	20	11	22.00%
206201	TOWN OF ORANGETOWN	06	01/17/1991	200	201	134	67.00%
206202	TOWN OF EASTCHESTER	06	01/11/1991	227	141	77	33.92%
206204	TOWN OF PHILIPSTOWN	06	01/20/1991	40	9	7	17.50%
206205	NY CONVENTION CTR OPERATING CO	04	02/04/1991	552	139	74	13.41%
206206	CITY OF GLENS FALLS	08	06/20/1985	422	56	44	10.43%
206207	TOWN OF LEWISTON	17	03/18/1991	100	47	36	36.00%
206208	VILLAGE OF SAG HARBOR	02	03/22/1991	40	33	16	40.00%
206210	NORTH PATCHOGUE FIRE DISTRICT	02	07/05/1991	25	13	9	36.00%
206211	VILLAGE OF RUSSELL GARDENS	01	05/13/1991	8	4	1	12.50%
206212	TOWN OF WOODBURY	06	07/08/1991	100	70	49	49.00%
206213	VILLAGE OF GROTON	14	06/10/1991	25	13	9	36.00%
206214	VILLAGE OF GREAT NECK ESTATES	01	06/24/1991	35	30	17	48.57%
206215	TOWN OF INDEPENDENCE	16	06/27/1991	10	5	1	10.00%
206216	TOWN OF CHAUTAUQUA (BI-WEEKLY)	16	09/19/1991	24	17	13	54.17%
206217	VILLAGE OF IRVINGTON	06	09/01/1991	70	58	41	58.57%
206218	CITY OF OLEAN HOUSING AUTHORITY	16	08/13/1991	18	4	3	16.67%
206219	CITY OF BUFFALO NY	17	10/01/1991	3,580	1,727	1,148	32.07%
206220	PALISADES INTERSTATE PARK COM	06	10/18/1991	15	8	6	40.00%
206221	PORT CHESTER HOUSING AUTHORITY	06	10/18/1991	14	13	7	50.00%
206222	VILLAGE OF BRONXVILLE	06	11/18/1991	100	59	29	29.00%
206223	WESTCHESTER JOINT WATER WORKS	06	12/19/1991	35	33	12	34.29%
206224	LACKAWANNA MUNICIPAL HOUS.AUTH	17	01/23/1992	45	18	11	24.44%
206225	VILLAGE OF ROSLYN	01	01/31/1992	25	13	10	40.00%
206227	VILLAGE OF LEROY	16	04/30/1992	30	8	5	16.67%
206228	TOWN OF CHILI	17	06/10/1992	130	39	29	22.31%
206229	RIDGE ROAD FIRE DISTRICT	17	07/10/1992	57	54	45	78.95%
206230	VILLAGE OF HUNTINGTON BAY	02	07/22/1992	10	9	5	50.00%
206231	TOWN OF MARBLETOWN	07	08/12/1992	21	5	4	19.05%
206232	VILLAGE OF HILTON	17	09/21/1992	28	11	7	25.00%
206233	VILLAGE OF GOSHEN	06	09/22/1992	58	21	13	22.41%
206234	ERIE COUNTY WATER AUTHORITY	17	09/23/1992	250	186	112	44.80%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206235	TOWN OF FISHKILL	07	12/10/1992	150	17	7	4.67%
206236	NEW YORK STATE CANAL CORP.	09	11/09/1992	706	256	159	22.52%
206237	TOWN OF PAWLING	07	12/09/1992	20	8	3	15.00%
206238	TOWN OF CAROLINE	14	01/11/1993	18	10	4	22.22%
206239	VILLAGE OF NEW PALTZ	07	04/01/1993	29	2	1	3.45%
206240	NEW YORK STATE BRIDGE AUTHORITY	07	03/09/1993	160	109	71	44.38%
206241	VILLAGE OF LAUREL HOLLOW	01	06/01/1993	4	2	1	25.00%
206242	TOWN OF ORCHARD PARK	17	04/12/1993	111	80	56	50.45%
206243	TOWN OF ROCHESTER	07	05/03/1993	35	6	2	5.71%
206244	VILLAGE OF PLEASANTVILLE	06	06/10/1993	64	58	37	57.81%
206245	VILLAGE OF ORCHARD PARK	17	06/14/1993	25	9	4	16.00%
206246	TOWN OF CLARENCE	17	07/20/1993	105	48	36	34.29%
206247	MUNICIPAL HOUSING AUTH. CITY OF YONKERS	03	07/14/1993	109	48	32	29.36%
206248	UNIONDALE PUBLIC LIBRARY	01	08/06/1993	16	15	15	93.75%
206249	TOWN OF GLENVILLE	09	09/13/1993	116	57	34	29.31%
206251	TOWN OF CONCORD	17	10/08/1993	75	14	9	12.00%
206252	VILLAGE OF ANGOLA	17	12/06/1993	11	6	4	36.36%
206253	TOWN OF NORTH HEMPSTEAD COMM. DEV.AGENCY	01	12/27/1993	3	3	3	100.00%
206255	VILLAGE OF ANDOVER	16	12/03/1993	8	2	1	12.50%
206256	WATER AUTHORITY OF GREAT NECK NORTH	01	11/24/1993	25	23	15	60.00%
206257	CITY OF OLEAN	16	01/25/1994	150	114	86	57.33%
206258	PORT WASHINGTON WATER DIST.	01	12/23/1993	18	11	8	44.44%
206259	TOWN OF WEST SENECA	17	01/11/1994	160	159	107	66.88%
206260	VILLAGE OF QUOGUE	02	11/02/1993	23	11	10	43.48%
206261	NORTH GREECE FIRE DIST.	17	02/09/1994	60	37	31	51.67%
206262	TOWN OF NORTH SALEM	06	01/12/1994	50	17	10	20.00%
206263	NASSAU COUNTY BRIDGE AUTHORITY	01	04/21/1994	37	13	6	16.22%
206264	TOWN OF HARRIETSTOWN	12	05/09/1994	32	10	0	.00%
206265	TOWN OF NEW WINDSOR	06	06/07/1994	100	75	55	55.00%
206266	SANITARY DISTRICT NO.1	01	06/04/1994	114	37	29	25.44%
206267	VILLAGE OF CHESTER	06	06/22/1994	31	15	10	32.26%
206268	LAGRANGE FIRE DISTRICT	07	07/18/1994	20	18	15	75.00%
206269	VILLAGE OF BATH	16	07/15/1994	58	14	8	13.79%
206270	TOWN OF TONAWANDA	17	08/26/1994	550	324	205	37.27%
206271	VILLAGE OF NORTH HILLS	01	09/12/1994	15	12	6	40.00%
206272	VILLAGE OF PELHAM MANOR	06	06/20/1994	80	48	32	40.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206273	VILLAGE OF LLOYD HARBOR	02	09/30/1994	26	20	16	61.54%
206274	VILLAGE OF NEWARK	17	11/14/1994	100	20	15	15.00%
206275	ARLINGTON FIRE DIST.	07	01/12/1995	65	67	55	84.62%
206276	TOWN OF SOMERS	06	04/17/1995	145	37	25	17.24%
206277	TOWN OF WELLSVILLE	16	03/21/1995	28	8	6	21.43%
206280	VILLAGE OF SLEEPY HOLLOW	06	02/21/1995	90	38	23	25.56%
206281	BUFFALO URBAN RENEWAL AGENCY	17	05/01/1995	55	21	10	18.18%
206282	CITY OF ELMIRA	14	05/01/1995	302	186	125	41.39%
206283	VILLAGE OF ELMIRA HEIGHTS	14	06/05/1995	18	9	7	38.89%
206284	TOWN OF ELLICOTT	16	05/03/1995	48	23	19	39.58%
206285	VILLAGE OF DOBBS FERRY	06	05/18/1995	120	49	37	30.83%
206286	MONTGOMERY COUNTY NY	11	06/14/1995	472	141	93	19.70%
206287	CITY OF PLATTSBURGH	12	06/01/1995	250	162	102	40.80%
206288	TOWN OF NEW HARTFORD	13	08/01/1995	100	48	32	32.00%
206289	VILLAGE OF HARRIMAN	06	06/20/1994	20	7	5	25.00%
206290	VILLAGE OF FREDONIA	16	07/26/1995	70	35	28	40.00%
206291	VILLAGE OF PIERMONT	06	02/02/1995	34	15	11	32.35%
206292	VILLAGE OF OLD BROOKVILLE	01	08/01/1995	52	41	20	38.46%
206293	OYSTER BAY WATER DIST.	01	08/17/1995	8	8	8	100.00%
206294	JERICO WATER DISTRICT	01	09/13/1995	35	20	16	45.71%
206295	VILLAGE OF MAMARONECK	06	09/26/1995	220	108	76	34.55%
206296	VILLAGE OF KENSINGTON	01	09/20/1995	10	10	6	60.00%
206297	VILLAGE OF GREAT NECK	01	09/19/1995	15	13	5	33.33%
206299	VILLAGE OF ASHAROKEN	02	11/06/1995	25	9	8	32.00%
206300	VILLAGE OF ARCADE	16	11/07/1995	45	30	20	44.44%
206301	VILLAGE OF LAKE SUCCESS INC.	01	09/11/1995	79	43	30	37.97%
206302	KINGSTON WATER DEPARTMENT	07	01/10/1996	29	13	11	37.93%
206303	VILLAGE OF SIDNEY	10	01/22/1996	42	15	9	21.43%
206304	GARDEN CITY PARK WATER/FIRE DISTRICT	01	03/28/1996	21	14	12	57.14%
206305	VILLAGE OF CHURCHVILLE	17	03/25/1996	20	10	8	40.00%
206306	SARATOGA SPRING HOUSING AUTHORITY	08	04/09/1996	11	10	6	54.55%
206308	CITY OF HORNELL HOUSING AUTHORITY	16	11/22/1985	11	4	2	18.18%
206309	VILLAGE OF GREAT NECK PLAZA	01	04/17/1996	15	15	15	100.00%
206310	TOWN OF KENT	06	05/15/1996	63	34	24	38.10%
206311	DEPARTMENT OF CEMETERIES, CITY OF ELMIRA	14	05/15/1996	5	3	3	60.00%
206312	VILLAGE OF OYSTER BAY COVE	01	05/28/1996	16	13	11	68.75%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206313	BABYLON INDUSTRIAL DEVELOPMENT AGENCY	02	05/07/1996	5	4	1	20.00%
206314	SETAUKET FIRE DISTRICT	02	07/07/1996	25	13	9	36.00%
206315	CITY OF KINGSTON	07	07/10/1996	380	156	117	30.79%
206317	VILLAGE OF HERKIMER	09	09/09/1996	100	39	29	29.00%
206318	TOWN OF POUGHKEEPSIE	07	09/18/1996	260	169	96	36.92%
206319	VILLAGE OF LAKE GEORGE	08	10/08/1996	28	6	3	10.71%
206320	VILLAGE OF SOUTH NYACK	06	08/13/1996	35	13	8	22.86%
206321	VILLAGE OF WASHINGTONVILLE	06	09/09/1996	25	12	0	.00%
206322	TOWN OF CAMBRIA	17	12/05/1996	34	9	8	23.53%
206323	CITY OF CORTLAND	14	12/03/1996	196	69	50	25.51%
206324	CITY OF TROY	09	01/08/1997	600	293	200	33.33%
206325	TOWN OF CUBA	16	01/06/1997	57	8	6	10.53%
206326	DELAWARE COUNTY SOIL & WATER CONS. DIST.	10	12/18/1996	21	15	11	52.38%
206327	TOWN OF SCHODACK	09	01/12/1997	60	25	16	26.67%
206328	MASSAPEQUA WATER DISTRICT	01	01/03/1997	25	25	16	64.00%
206329	VILLAGE OF PELHAM	06	01/28/1997	79	58	39	49.37%
206330	VILLAGE OF VOORHEESVILLE	09	03/17/1997	22	9	6	27.27%
206331	TOWN OF COEYMANS	09	03/10/1997	81	8	3	3.70%
206332	TOWN OF EAST GREENBUSH	09	02/12/1997	100	44	23	23.00%
206333	OGDENSBURG HOUSING AUTHORITY	12	06/03/1997	15	11	10	66.67%
206334	BARNARD FIRE DISTRICT	17	06/09/1997	25	24	19	76.00%
206335	TOWN OF STANFORD	07	06/12/1997	9	7	5	55.56%
206336	VILLAGE OF HAMMONDSPORT	16	06/08/1997	10	4	3	30.00%
206337	VILLAGE OF CAYUGA HEIGHTS	13	07/21/1997	34	8	3	8.82%
206338	VILLAGE OF AKRON	17	07/14/1997	20	10	6	30.00%
206339	FRANKLIN SQUARE WATER DISTRICT	01	07/21/1997	12	8	6	50.00%
206340	COMMACK FIRE DISTRICT	02	06/30/1997	15	10	8	53.33%
206341	TOWN OF ULSTER	07	08/04/1997	35	27	20	57.14%
206342	WESTCHESTER MEDICAL CENTER	06	09/11/1997	3,400	2,437	1,186	34.88%
206343	WATER AUTHORITY OF WESTERN NASSAU COUNTY	01	09/22/1997	48	42	34	70.83%
206344	ONONDAGA COUNTY RESOURCE RECOVERY AGENCY	13	10/08/1997	67	35	27	40.30%
206345	TOWN OF WOODSTOCK	07	10/14/1997	60	23	14	23.33%
206346	HUNTINGTON FIRE DISTRICT	02	09/11/1997	10	4	4	40.00%
206347	VILLAGE OF POTSDAM HOUSING AUTHORITY	12	12/16/1997	6	4	3	50.00%
206348	TOWN OF HAMPTONBURGH	06	10/07/1997	25	11	6	24.00%
206349	CITY OF PORT JERVIS-206349	06	12/22/1997	100	57	44	44.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206350	VILLAGE OF SILVER CREEK	16	12/16/1997	37	5	0	.00%
206351	MASSAPEQUA FIRE DISTRICT	01	08/11/1997	17	11	8	47.06%
206352	VILLAGE OF CHATHAM	07	01/08/1998	10	5	0	.00%
206353	TOWN OF NORTH GREENBUSH	09	12/11/1997	90	19	12	13.33%
206354	VILLAGE OF CORINTH	08	02/04/1998	42	5	3	7.14%
206355	GREENLAWN FIRE DISTRICT	02	12/17/1997	8	4	3	37.50%
206357	CITY OF AMSTERDAM-206357	11	12/29/1997	200	79	56	28.00%
206358	PORT WASHINGTON WTR POLL CONTROL DISTRICT	01	03/03/1998	21	13	10	47.62%
206359	TOWN OF ONONDAGA	13	03/02/1998	50	7	5	10.00%
206360	VILLAGE OF MILLBROOK	07	11/18/1997	20	5	2	10.00%
206361	GREAT NECK PARK DISTRICT	01	02/23/1998	37	31	23	62.16%
206362	TOWN OF WELLS	11	02/09/1998	9	5	4	44.44%
206363	MANHASSET - LAKEVILLE WATER DISTRICT	01	01/29/1998	30	15	13	43.33%
206364	CLINTON COUNTY SOIL & WATER CONSERV DIST	12	03/03/1998	2	2	2	100.00%
206365	VILLAGE OF SOUTH GLENS FALLS	08	12/18/1998	25	5	3	12.00%
206366	TOWN OF SOUTHEAST	06	03/26/1998	40	24	14	35.00%
206367	TOWN OF BEEKMANTOWN	12	12/29/1997	32	5	3	9.38%
206368	VILLAGE OF TANNERSVILLE	10	04/02/1998	12	3	0	.00%
206369	TOWN OF DAY	08	03/09/1998	30	3	2	6.67%
206370	VILLAGE OF STEWART MANOR	01	04/07/1998	13	6	5	38.46%
206371	VILLAGE OF MOUNT MORRIS	16	04/23/1998	15	7	3	20.00%
206372	VILLAGE OF WHITESBORO	13	03/09/1998	43	10	6	13.95%
206373	CENTRAL NY REG PLANNING & DEVELOPMENT BD	13	04/22/1998	23	17	10	43.48%
206375	VILLAGE OF WEEDSPORT	13	04/08/1998	9	8	5	55.56%
206377	TOWN OF SHAWANGUNK	07	05/07/1998	65	17	12	18.46%
206378	TOWN OF BINGHAMTON	14	05/19/1998	33	10	7	21.21%
206379	VILLAGE OF ALLEGANY	16	05/18/1998	57	6	5	8.77%
206380	VILLAGE OF BELLEROSE	01	04/27/1998	2	2	2	100.00%
206381	TOWN OF WALLKILL	07	05/08/1998	170	67	47	27.65%
206383	TOWN OF OLIVE	07	06/02/1998	52	7	5	9.62%
206384	TOWN OF ONEONTA	10	05/13/1998	29	7	4	13.79%
206385	VILLAGE OF MEXICO	13	05/05/1998	7	6	3	42.86%
206386	TOWN OF EDEN	17	05/27/1998	39	25	22	56.41%
206387	TOWN OF LEROY	16	05/02/1998	25	6	5	20.00%
206388	VILLAGE OF LITTLE VALLEY	16	04/28/1998	16	8	4	25.00%
206389	TOWN OF VERONA	13	06/01/1998	20	10	5	25.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206390	SEAFORD FIRE DISTRICT	01	04/27/1998	2	2	1	50.00%
206391	TOWN OF BAINBRIDGE	14	06/09/1998	20	4	4	20.00%
206392	CAPITAL DIST.REG.OFF-TRACK BETTING CORP.	09	05/26/1998	180	21	13	7.22%
206393	VILLAGE OF RED HOOK	07	02/02/1998	29	2	1	3.45%
206394	VILLAGE OF SOUTH FLORAL PARK	01	04/02/1998	2	2	2	100.00%
206395	TOWN OF BRUTUS	13	06/08/1998	10	6	5	50.00%
206396	TOWN OF CARROLL	16	06/10/1998	15	4	1	6.67%
206397	TOWN OF DURHAM	10	06/25/1998	25	5	4	16.00%
206399	TOWN OF MAMAKATING	06	06/09/1998	50	19	13	26.00%
206400	SMITHTOWN FIRE DISTRICT	02	07/06/1998	22	17	10	45.45%
206401	VILLAGE OF ATTICA	16	07/02/1998	20	10	7	35.00%
206402	VILLAGE OF ADDISON	16	05/07/1998	15	11	6	40.00%
206403	VILLAGE OF FORT EDWARD	08	07/06/1998	10	6	6	60.00%
206404	WELFARE RESEARCH, INC.	10	06/23/1998	13	5	0	.00%
206405	TOWN OF BEEKMAN	07	06/22/1998	35	6	2	5.71%
206406	TOWN OF GRAND ISLAND	17	07/24/1998	84	50	35	41.67%
206407	VILLAGE OF NEW HYDE PARK	01	07/17/1998	45	20	10	22.22%
206408	TOWN OF BERNE	09	07/08/1998	31	6	1	3.23%
206409	TOWN OF GREENFIELD	08	08/13/1998	38	10	6	15.79%
206410	TOWN OF MEXICO	13	05/11/1998	20	7	4	20.00%
206411	TOWN OF RIDGEWAY	16	07/20/1998	21	1	1	4.76%
206412	TOWN OF CATSKILL	10	08/04/1998	80	9	7	8.75%
206414	TOWN OF CAIRO	10	08/12/1998	97	17	10	10.31%
206415	TOWN OF WARWICK	06	08/20/1998	150	48	37	24.67%
206416	YONKERS PARKING AUTHORITY	03	08/19/1997	39	8	3	7.69%
206417	TOWN OF FORT EDWARD	08	09/14/1998	40	3	3	7.50%
206418	VILLAGE OF BERGEN	16	09/23/1998	16	5	2	12.50%
206419	VILLAGE OF HUDSON FALLS-206419	08	09/14/1998	53	7	5	9.43%
206420	VILLAGE OF RICHFIELD SPRINGS	10	08/13/1998	12	9	7	58.33%
206421	VILLAGE OF MARGARETVILLE	10	08/18/1998	3	3	2	66.67%
206422	TOWN OF SOUTH VALLEY	14	07/20/1998	1	1	1	100.00%
206423	VILLAGE OF HEUVELTON	12	04/14/1998	5	1	1	20.00%
206424	VILLAGE OF EAST ROCKAWAY	01	10/05/1998	72	25	18	25.00%
206425	CITY OF ONEIDA	14	10/06/1998	140	69	55	39.29%
206426	CITY OF ROME	13	10/22/1998	282	215	130	46.10%
206427	VILLAGE OF NASSAU	09	09/09/1998	24	2	0	.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206428	CARLE PLACE WATER DISTRICT	01	10/13/1998	7	7	4	57.14%
206429	HERKIMER COUNTY	11	03/30/2002	619	157	103	16.64%
206430	TOWN OF ALLEGANY	16	10/22/1998	70	12	9	12.86%
206431	TOWN OF LAKE GEORGE	08	10/19/1998	53	10	5	9.43%
206432	TOWN OF WHEATLAND	17	11/05/1998	35	7	4	11.43%
206433	VILLAGE OF BLASDELL	17	11/16/1998	14	3	2	14.29%
206434	TOWN OF GROTON	14	08/11/1998	36	3	3	8.33%
206435	TOWN OF YATES	16	10/08/1998	18	3	3	16.67%
206436	TOWN OF FLOYD-206436	13	11/04/1998	10	5	3	30.00%
206437	VILLAGE OF CASTLETON ON HUDSON	09	10/26/1998	14	5	2	14.29%
206438	VILLAGE OF WILLISTON PARK	01	12/07/1998	35	36	26	74.29%
206439	CHENANGO COUNTY SOIL & WATER CONS. DIST	14	11/25/1998	4	3	3	75.00%
206440	TOWN OF WHITESTOWN	13	12/18/1998	100	19	16	16.00%
206441	TOWN OF OSWEGATCHIE	12	12/14/1998	24	7	3	12.50%
206442	VILLAGE OF LYNDONVILLE	16	01/18/1999	6	1	1	16.67%
206443	MUNICIPAL COMMISSION OF BOONVILLE	13	01/05/1999	12	11	10	83.33%
206444	VILLAGE OF BOONVILLE	13	01/11/1999	17	5	4	23.53%
206445	TOWN OF HALFMOON	08	01/19/1999	100	39	33	33.00%
206446	VILLAGE OF GREENE	14	01/08/1999	12	6	4	33.33%
206447	WESTBURY WATER & FIRE DISTRICT	01	02/24/1999	22	12	10	45.45%
206448	TOWN OF PHILADELPHIA	13	01/13/1999	21	4	4	19.05%
206450	TOWN OF RIGA	17	03/08/1999	60	12	8	13.33%
206451	VILLAGE OF GREENPORT	02	02/26/1999	40	6	3	7.50%
206452	TOWN OF NEW BALTIMORE	10	03/23/1999	20	12	9	45.00%
206453	FREEPORT HOUSING AUTHORITY	01	03/09/1999	11	6	4	36.36%
206454	TOWN OF CORTLANDVILLE	14	05/20/1998	35	9	3	8.57%
206455	TOWN OF GUILFORD	14	04/14/1999	9	5	4	44.44%
206458	TOWN OF NEW PALTZ	07	04/22/1999	90	21	7	7.78%
206459	LAKE SHORE FIRE DISTRICT	17	04/12/1999	6	7	3	50.00%
206461	CITY OF RENSSELAER	09	04/01/1999	135	34	27	20.00%
206462	NYS THOROUGHbred BREED & DEV FUND CORP	08	05/01/1999	9	6	2	22.22%
206463	GLOVERSVILLE HOUSING AUTHORITY	11	05/20/1999	15	6	5	33.33%
206464	VILLAGE OF ELLENVILLE	07	05/03/1999	10	9	5	50.00%
206465	VILLAGE OF SARANAC LAKE	12	05/24/1999	58	16	11	18.97%
206466	CITY OF SHERRILL	13	05/18/1999	25	20	14	56.00%
206467	VILLAGE OF SILVER SPRINGS	16	05/03/1999	5	3	0	.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
206468	TOWN OF YORKTOWN	06	06/17/1999	250	125	86	34.40%
206469	NIAGARA FALLS HOUSING AUTHORITY	17	05/11/1999	54	16	10	18.52%
206470	TOWN OF ATHENS	10	05/24/1999	20	12	10	50.00%
206472	TOWN OF BATH	16	05/26/1999	35	14	12	34.29%
206473	VILLAGE OF BUCHANAN	06	05/17/1999	24	21	14	58.33%
206474	TOWN OF BYRON	16	05/12/1999	12	2	1	8.33%
206475	HICKSVILLE FIRE DISTRICT	02	06/18/1999	16	6	5	31.25%
206476	FULTON COUNTY	11	06/14/1999	800	142	78	9.75%
206477	SUFFOLK COUNTY INDUSTRIAL DEV AGENCY	02	06/24/1999	4	1	1	25.00%
206478	TOWN OF TROUPSBURG	16	07/14/1999	18	4	4	22.22%
206479	HUDSON RIVER PARK TRUST	05	05/16/1999	40	40	23	57.50%
206480	TOWN OF MAYFIELD	09	08/02/1999	40	1	1	2.50%
206481	TOWN OF PORTVILLE	16	08/17/1999	18	4	1	5.56%
206482	TOWN OF JEFFERSON	10	08/12/1999	15	1	1	6.67%
206483	HAUPPAUGE FIRE DISTRICT	02	08/12/1999	6	4	4	66.67%
206484	TOWN OF CHAMPLAIN	12	08/11/1999	30	3	3	10.00%
206486	BOARD OF COMMISSIONERS OF PILOT	04	08/17/1999	2	1	0	.00%
206487	TOWN OF WESTMORELAND	13	08/09/1999	35	5	5	14.29%
206488	TOWN OF HIGHLANDS	06	09/07/1999	56	8	5	8.93%
206489	TOWN OF TUXEDO	06	08/09/1999	68	33	24	35.29%
206490	VILLAGE OF YOUNGSTOWN	17	09/09/1999	10	5	5	50.00%
206492	NEWARK HOUSING AUTHORITY	17	09/15/1999	11	4	3	27.27%
206493	TOWN OF RUSSIA	11	09/13/1999	6	3	3	50.00%
206494	VILLAGE OF PENN YAN	14	09/28/1999	48	29	24	50.00%
206495	SOUTHERN ADIRONDACK LIBRARY SYSTEM (DCP)	08	09/12/1999	19	8	6	31.58%
206497	VILLAGE OF NISSEQUOGUE	02	01/16/1996	20	7	7	35.00%
206498	ROSWELL PARK CANCER INSTITUTE	17	10/02/1998	1,975	1,083	779	39.44%
206499	VILLAGE OF WALTON	10	10/18/1999	35	12	4	11.43%
211000	TOWN OF POESTENKILL	09	09/09/1999	28	7	5	17.86%
211001	TOWN OF SCHUYLER FALLS	12	09/27/1999	40	1	1	2.50%
211002	TOWN OF ARGYLE	08	10/06/1999	7	2	1	14.29%
211003	TOWN OF NEW SCOTLAND	09	10/13/1999	53	24	17	32.08%
211004	TOWN OF COLDSRING	16	10/06/1999	5	4	3	60.00%
211005	CITY OF SARATOGA SPRINGS	08	10/19/1999	396	108	80	20.20%
211007	TOWN OF VIRGIL	14	09/09/1999	15	1	1	6.67%
211008	PLATTSBURGH HOUSING AUTHORITY	12	10/11/1999	31	16	9	29.03%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211009	TOWN OF VICTORY	17	09/13/1999	25	4	2	8.00%
211010	TOWN OF ELBRIDGE	13	10/06/1999	30	6	5	16.67%
211011	TOWN OF CATON	16	09/13/1999	23	2	2	8.70%
211012	TOWN OF MT. MORRIS	16	11/19/1999	25	5	0	.00%
211013	TOWN OF OSWEGO	13	11/01/1999	30	3	3	10.00%
211014	VILLAGE OF WESTFIELD	16	11/15/1999	43	23	21	48.84%
211015	TOWN OF VESTAL	14	11/17/1999	135	108	73	54.07%
211016	CRANDALL PUBLIC LIBRARY	08	06/22/1999	15	12	8	53.33%
211017	VILLAGE OF ROSLYN ESTATES	01	11/09/1999	5	2	0	.00%
211018	VILLAGE OF COXSACKIE	10	09/13/1999	43	1	1	2.33%
211020	TOWN OF CLARENDON	16	08/10/1999	15	6	4	26.67%
211021	TOWN OF WEST UNION	16	10/11/1999	4	2	0	.00%
211022	TOWN OF LITTLE VALLEY	16	12/13/1999	40	2	1	2.50%
211023	TOWN OF PORTER-211023	17	12/13/1999	12	4	3	25.00%
211024	TOWN OF HAVERSTRAW	06	10/25/1999	100	88	63	63.00%
211025	TOWN OF CANDOR	14	12/28/1999	10	5	4	40.00%
211027	VILLAGE OF TUXEDO	06	07/15/1998	20	9	7	35.00%
211028	TOWN OF WILLING	16	12/13/1999	5	5	4	80.00%
211029	TOWN OF BRUNSWICK	09	01/13/1999	50	23	13	26.00%
211030	TOWN OF EAST FISHKILL	07	01/27/2000	87	39	29	33.33%
211031	VILLAGE OF FRANKLINVILLE	16	01/24/2000	24	2	2	8.33%
211032	VILLAGE OF BLACK RIVER	16	12/06/1999	25	1	1	4.00%
211033	TOWN OF ANDES	10	09/10/1999	30	3	2	6.67%
211034	VILLAGE OF UPPER NYACK	06	01/20/2000	11	6	4	36.36%
211035	CITY OF NIAGARA FALLS	17	01/18/2000	700	424	268	38.29%
211036	TOWN OF HANOVER	16	12/29/1999	30	13	11	36.67%
211037	TOWN OF HUNTER	10	01/18/2000	40	8	7	17.50%
211038	TOWN OF NEWSTEAD	17	02/14/2000	30	10	6	20.00%
211039	TOWN OF BOLIVAR	16	12/21/1999	5	2	0	.00%
211040	TOWN OF FLORIDA	11	03/20/2000	28	3	2	7.14%
211041	TOWN OF GENESEO	16	03/16/2000	26	4	3	11.54%
211042	NY STATE SCHOOL BOARDS ASSOCIATION,INC.	09	03/11/2000	55	33	21	38.18%
211043	BOONVILLE HOUSING AUTHORITY	13	01/20/2000	4	1	1	25.00%
211044	TOWN OF STONY POINT	06	03/17/2000	100	56	34	34.00%
211045	CITY OF NEWBURGH	06	04/12/2000	260	132	90	34.62%
211046	TOWN OF HOUNSFIELD	13	04/12/2000	20	2	2	10.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211047	TOWN OF CORNING	16	04/11/2000	27	8	4	14.81%
211048	TOWN OF CLAYTON	13	04/12/2000	20	7	5	25.00%
211049	TOWN OF WATERFORD	08	04/04/2000	51	8	6	11.76%
211050	DUTCHESS COUNTY WATER & WASTEWATER AUTH.	07	03/21/2000	15	8	8	53.33%
211051	TOWN OF FRANKLINVILLE	16	04/11/2000	5	3	2	40.00%
211052	TOWN OF WARRENSBURG	08	05/10/2000	30	4	2	6.67%
211053	TOWN OF BENNINGTON	16	04/11/2000	21	3	2	9.52%
211054	TOWN OF KEENE	12	05/22/2000	20	1	0	.00%
211055	TOWN OF ARCADIA	17	03/14/2000	28	13	11	39.29%
211057	VILLAGE OF CANISTEO	16	05/01/2000	7	5	4	57.14%
211058	TOWN OF PAMELIA	13	05/15/2000	28	3	2	7.14%
211059	TOWN OF UNION VALE	07	04/13/2000	35	2	0	.00%
211060	TOWN OF MIDDLEBURY	16	05/10/2000	24	2	1	4.17%
211061	CITY OF WATERTOWN	13	06/05/2000	427	218	147	34.43%
211062	VILLAGE OF OWEGO	14	06/05/2000	63	1	1	1.59%
211063	VILLAGE OF DANSVILLE	16	05/30/2000	25	17	15	60.00%
211064	GREENWAY CONSERVANCY O/T HUD RIV VAL,INC	09	09/15/1999	12	4	2	16.67%
211065	TOWN OF VAN BUREN	13	06/21/2000	35	7	6	17.14%
211066	VILLAGE OF MEDINA	16	07/25/2000	55	15	9	16.36%
211067	VILLAGE OF ELMSFORD	06	05/30/2000	30	24	19	63.33%
211068	VILLAGE OF OCEAN BEACH	02	07/29/2000	15	13	10	66.67%
211069	VILLAGE OF HASTINGS -ON- HUDSON	06	07/18/2000	65	42	28	43.08%
211070	BETHPAGE FIRE DISTRICT	01	07/25/2000	10	9	5	50.00%
211071	TOWN OF MORAVIA	13	06/16/2000	10	2	2	20.00%
211072	VILLAGE OF ALDEN	17	09/14/2000	34	7	4	11.76%
211073	SARATOGA SPRINGS PUBLIC LIBRARY	08	08/23/2000	50	10	6	12.00%
211074	TOWN OF WAWAYANDA	06	09/07/2000	40	12	5	12.50%
211075	VILLAGE OF AIRMONT	06	09/25/2000	8	6	2	25.00%
211076	VILLAGE OF CATO-211076	13	10/11/2000	2	2	0	.00%
211077	VILLAGE OF NORTH SYRACUSE	13	10/12/2000	60	12	8	13.33%
211079	TOWN OF CATLIN	14	04/09/1998	40	2	2	5.00%
211080	PORT OF OSWEGO AUTHORITY	13	09/14/2000	10	5	2	20.00%
211081	VILLAGE OF SOUTH CORNING	16	10/16/2000	5	3	2	40.00%
211082	TOWN OF WARSAW	16	05/08/2000	48	2	2	4.17%
211083	KINGSTON HOUSING AUTHORITY	07	09/28/2000	10	6	6	60.00%
211084	TOWN OF EDWARDS	12	11/08/2000	6	4	3	50.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211085	TOWN OF BRASHER	12	11/09/2000	15	3	2	13.33%
211087	VILLAGE OF SHERBURNE	14	11/13/2000	13	4	3	23.08%
211088	VILLAGE OF EAST HILLS	01	12/20/1999	48	28	17	35.42%
211089	TOWN OF CHAMPION	13	11/16/2000	16	6	3	18.75%
211090	TOWN OF VICTOR	13	11/19/2000	75	38	26	34.67%
211091	TOWN OF STOCKTON	16	10/12/2000	17	3	3	17.65%
211092	TOWN OF PORTLAND	16	11/08/2000	26	12	9	34.62%
211093	TOWN OF POTSDAM	12	11/13/2000	28	4	4	14.29%
211094	TOWN OF ESOPUS	07	10/19/2000	40	9	7	17.50%
211095	CHAUTAUQUA CTY SOIL & WATER CONSERV DIST	16	10/26/2000	5	3	3	60.00%
211096	HAMILTON COUNTY SOIL & WATER CONSERV DIS	11	12/05/2000	1	1	1	100.00%
211097	HERKIMER HOUSING AUTHORITY	11	10/19/1998	7	7	5	71.43%
211098	VILLAGE OF MAYVILLE	16	12/12/2000	14	7	6	42.86%
211099	NYS HOUSING TRUST FUND CORPORATION	10	07/06/2000	17	28	14	82.35%
211100	VILLAGE OF AURORA	13	10/18/2000	3	2	2	66.67%
211101	TOWN OF MARILLA	17	12/14/2000	25	5	3	12.00%
211102	TOWN OF TRUXTON	14	11/08/2000	7	1	1	14.29%
211103	TOWN OF RUSSELL	12	11/15/2000	15	4	4	26.67%
211104	NIAGARA COUNTY IND. DEV. AGENCY	17	12/20/2000	15	10	6	40.00%
211105	TOWN OF SPARTA	16	12/12/2000	18	4	1	5.56%
211106	TOWN OF BETHEL	07	10/26/2000	35	6	4	11.43%
211107	TOWN OF MONTGOMERY	06	12/07/2000	30	35	26	86.67%
211108	VILLAGE OF WAPPINGERS FALLS	07	11/08/2000	50	7	6	12.00%
211109	TOWN OF RIPLEY-211109	16	12/14/2000	30	10	6	20.00%
211110	VILLAGE OF WATKINS GLEN	14	01/02/2001	25	10	8	32.00%
211111	TOWN OF WILNA	13	12/11/2000	20	1	0	.00%
211112	VILLAGE OF LATTINGTOWN	01	11/21/2000	6	7	5	83.33%
211114	VILLAGE OF CATSKILL	10	11/15/2000	57	22	18	31.58%
211115	TOWN OF ROCKLAND	07	01/18/2001	39	9	9	23.08%
211116	WANTAGH FIRE DISTRICT	01	01/29/2001	12	6	4	33.33%
211117	UNIONDALE FIRE DISTRICT	01	01/16/2001	19	7	5	26.32%
211119	TOWN OF NORTH DANSVILLE	16	01/23/2001	20	3	2	10.00%
211120	NASSAU COUNTY INTERIM FINANCE AUTHORITY	01	01/23/2001	10	6	3	30.00%
211121	TOWN OF FORT COVINGTON	12	02/19/2001	4	3	1	25.00%
211123	VILLAGE OF DRYDEN	14	02/08/2001	15	6	6	40.00%
211125	TOWN OF BLOOMING GROVE	06	12/31/2000	92	36	30	32.61%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211126	VILLAGE OF CLEVELAND	13	12/05/2000	7	2	1	14.29%
211127	TOWN OF HARTLAND	17	03/08/2001	15	12	9	60.00%
211128	VILLAGE OF WESTBURY	01	06/26/2002	50	17	13	26.00%
211129	VILLAGE OF COLD SPRING	06	03/13/2001	25	5	4	16.00%
211130	TOWN OF SHERIDAN	16	02/08/2001	5	4	3	60.00%
211131	VILLAGE OF ILION	11	03/20/2001	85	23	21	24.71%
211132	TOWN OF ANDOVER	16	03/13/2001	9	3	3	33.33%
211133	VILLAGE OF NEW HEMPSTEAD	06	02/26/2001	43	1	1	2.33%
211134	TOWN OF MINDEN	11	02/15/2001	18	6	5	27.78%
211135	CITY OF JOHNSTOWN	11	03/19/2001	120	41	38	31.67%
211136	VILLAGE OF MACEDON	17	04/03/2001	14	4	1	7.14%
211138	ONEIDA HOUSING AUTHORITY	14	03/12/2001	11	4	2	18.18%
211139	VILLAGE OF WARSAW	16	04/23/2001	21	4	1	4.76%
211140	SAYVILLE FIRE DISTRICT	02	05/02/1985	12	2	1	8.33%
211141	VILLAGE OF BARKER	17	06/04/2001	14	3	2	14.29%
211142	TOWN OF LOCKPORT	17	05/07/2001	5	6	4	80.00%
211143	JERICO FIRE DISTRICT	01	02/08/2001	22	12	10	45.45%
211144	ONONDAGA CO. SOIL/WATER CONSERVATION DIS	13	06/27/2001	18	10	4	22.22%
211145	TOWN OF HARTFORD	08	06/12/2001	40	1	1	2.50%
211146	HARRIETSTOWN HOUSING AUTHORITY	12	06/12/2001	7	4	4	57.14%
211148	ASSOCIATION OF TOWNS OF THE STATE OF NY	09	04/30/2001	10	7	4	40.00%
211149	ONTARIO COUNTY SWCD	16	07/09/2001	8	3	1	12.50%
211150	VILLAGE OF ELLENVILLE HOUSING AUTHORITY	07	06/05/2001	5	2	1	20.00%
211151	TOWN OF HANCOCK-211151	10	10/07/1997	37	12	8	21.62%
211152	TOWN OF ROYALTON	17	07/09/2001	17	6	4	23.53%
211153	VILLAGE OF FLOWER HILL	01	08/06/2001	15	6	4	26.67%
211154	TOWN OF POMFRET	16	05/09/2001	25	1	1	4.00%
211156	GREENLAWN WATER DISTRICT	02	07/26/2001	16	1	0	.00%
211157	NORTHERN WESTCHESTER	07	03/23/2001	28	13	9	32.14%
211158	NATURAL HERITAGE TRUST	10	07/16/2001	46	25	10	21.74%
211159	TOWN OF SMITHFIELD	14	08/13/2001	16	3	0	.00%
211160	TOWN OF WALTON	10	08/21/2001	32	8	5	15.63%
211161	TOWN OF FALLSBURG	07	08/28/2001	114	28	19	16.67%
211162	CLIFTON PARK WATER AUTHORITY	08	09/11/2001	17	14	12	70.59%
211163	SOUTHERN TIER EAST REGIONAL PLANNING DEV. BOARD	14	06/26/2001	6	2	1	16.67%
211164	VILLAGE OF FARNHAM	17	10/05/2001	5	3	0	.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211165	GREAT NECK	01	05/30/1985	15	8	4	26.67%
211166	LONG LAKE FIRE DISTRICT #1	11	10/26/2001	3	3	3	100.00%
211167	WEST HEMPSTEAD WATER DISTRICT	01	10/26/2001	17	6	5	29.41%
211168	KENT PUBLIC LIBRARY	06	11/21/2001	8	2	1	12.50%
211169	TOWN OF SCHOHARIE	10	11/21/2001	25	3	3	12.00%
211170	TOWN OF DENMARK	13	11/21/2001	4	4	3	75.00%
211171	HENDRICK HUDSON FREE LIBRARY	06	11/21/2001	20	6	3	15.00%
211172	TOWN OF HAMLIN	17	11/23/2001	15	12	8	53.33%
211173	TOWN OF ELLERY	16	11/28/2001	13	9	9	69.23%
211174	MASSENA HOUSING AUTHORITY	12	11/27/2001	20	13	9	45.00%
211175	HUDSON RIVER-BLACK RIVER	09	12/05/2001	40	31	14	35.00%
211176	VILLAGE OF BELLPORT	02	12/06/2001	75	10	3	4.00%
211177	SOUND BEACH FIRE DISTRICT	02	12/07/2001	2	2	0	.00%
211178	VILLAGE OF PITTSFORD	17	11/13/2001	16	10	4	25.00%
211179	BUFFALO BOARD OF EDUCATION	17	11/28/2001	10,000	183	68	.68%
211180	TOWN OF GENEVA	16	11/13/2001	32	9	8	25.00%
211181	VILLAGE OF MIDDLEPORT	17	11/01/2001	25	1	1	4.00%
211183	ST. LAWRENCE COUNTY	12	01/02/2002	976	451	268	27.46%
211184	LAKELAND FIRE DISTRICT	02	11/27/2001	0	6	6	.00%
211185	MANHASSET PARK DISTRICT	01	07/24/2001	10	7	6	60.00%
211186	OCEANSIDE FIRE DISTRICT	01	01/30/2002	15	10	6	40.00%
211187	TOWN OF DARIEN	16	02/06/2002	25	5	4	16.00%
211188	TOWN OF PARISHVILLE	12	01/23/2002	32	4	4	12.50%
211189	VILLAGE OF CHITTENANGO	14	01/22/2002	50	11	10	20.00%
211190	WYOMING COUNTY SOIL & WATER	16	01/21/2002	8	3	2	25.00%
211191	KINGS PARK CENTRAL SCHOOL DISTRICT	02	03/05/2002	650	67	20	3.08%
211192	TOWN OF JERUSALEM	14	02/15/2002	20	4	3	15.00%
211193	UFSD OF THE TARRYTOWNS	06	01/31/2002	450	2	1	.22%
211194	CHAPPAQUA CSD PUBLIC LIBRARY	06	03/05/2002	60	11	7	11.67%
211195	HERKIMER COUNTY INDUSTRIAL DEVL P	11	03/30/2002	4	2	2	50.00%
211196	LOCUST VALLEY WATER DISTRICT	01	03/14/2002	5	5	5	100.00%
211197	LOCUST VALLEY FIRE DISTRICT	01	04/08/2002	20	1	0	.00%
211198	KINGS PARK FIRE DISTRICT	02	04/15/2002	9	6	4	44.44%
211199	TOWN OF FRIENDSHIP	16	04/17/2002	26	2	1	3.85%
211200	VILLAGE OF SLOATSBURG	06	04/09/2002	15	8	6	40.00%
211201	CORNING COMMUNITY COLLEGE	16	03/13/2002	359	31	8	2.23%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211202	EAST MEADOW FIRE DISTRICT	01	06/11/2002	12	9	8	66.67%
211203	FAIRVIEW FIRE DISTRICT-POUGHKEEPSIE	07	04/02/2002	20	23	16	80.00%
211204	TOWN OF MINETTO	13	04/08/2002	35	2	1	2.86%
211205	TOWN OF OYSTER BAY HOUSING AUTHORITY	01	05/01/2002	24	17	15	62.50%
211206	VILLAGE OF HIGHLAND FALLS	06	03/20/2002	52	27	14	26.92%
211207	VILLAGE OF SODUS POINT	17	04/18/2002	7	4	4	57.14%
211208	TOWN OF VETERAN	14	04/18/2002	29	3	2	6.90%
211209	VILLAGE OF SEA CLIFF	01	05/20/2002	55	11	6	10.91%
211210	MAHOPAC LIBRARY	06	03/20/2002	30	11	9	30.00%
211211	TOWN OF ULYSSES	14	05/14/2002	20	3	2	10.00%
211212	VILLAGE OF LOWVILLE	13	11/28/2001	25	2	2	8.00%
211213	HENDRICK HUDSON SCHOOL DIST	06	05/22/2002	550	24	16	2.91%
211214	VILLAGE OF GRANVILLE	08	05/06/2002	15	5	3	20.00%
211215	AUBURN HOUSING AUTHORITY	13	05/06/2002	11	10	4	36.36%
211216	DUTCHESS COMMUNITY COLLEGE	07	05/28/2002	500	65	42	8.40%
211217	VOORHEESVILLE PUBLIC LIBRARY	09	06/19/2002	20	8	5	25.00%
211218	VILLAGE OF AVOCA	16	09/13/2001	5	3	2	40.00%
211219	TOWN OF DRYDEN	14	06/12/2002	38	1	1	2.63%
211220	GREAT NECK LIBRARY	01	06/25/2002	140	14	8	5.71%
211221	TOWN OF AVOCA	16	09/19/2001	5	3	0	.00%
211222	ALBANY COUNTY SOIL & WATER	09	06/11/2002	3	2	1	33.33%
211223	TOWN OF NORTH NORWICH	14	06/20/2002	14	1	0	.00%
211224	MONROE COUNTY SOIL & WATER	17	07/08/2002	5	2	1	20.00%
211225	CITY OF NORWICH	14	07/16/2002	100	19	15	15.00%
211227	NEW ROCHELLE MUNICIPAL HOUSING AUTHORITY	06	04/08/2002	5	5	5	100.00%
211229	EAST IRONDEQUIT CTRL SCHOOL DIST	17	08/12/2002	16	2	1	6.25%
211230	VILLAGE OF PHOENIX	13	04/16/2002	50	3	0	.00%
211231	JAMESTOWN COMMUNITY COLLEGE	16	08/20/2002	200	4	2	1.00%
211232	WAVERLY CENTRAL SCHOOLS	14	08/22/2002	245	4	0	.00%
211233	OSWEGO COUNTY SOIL AND WATER CONSERVATION DISTRICT	13	01/28/2003	5	2	2	40.00%
211234	SCHUYLER CNTY SOIL & WATER DIST	14	08/28/2002	4	4	2	50.00%
211236	TOWN OF SOMERSET	17	08/13/2002	36	5	3	8.33%
211237	VILLAGE OF WHITNEY	14	08/15/2002	17	3	3	17.65%
211238	LOCUST VALLEY CSD	01	03/21/2002	500	35	13	2.60%
211239	GREENE CENTRAL SCHOOL DISTRICT	14	10/02/2002	275	9	1	.36%
211240	TOWN OF PLEASANT VALLEY	07	08/14/2002	15	10	7	46.67%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211241	VILLAGE OF WILLIAMSVILLE NY	17	09/23/2002	30	7	6	20.00%
211242	CAPITAL REGION BOCES	09	09/12/2004	1,000	30	24	2.40%
211243	HOWLAND PUBLIC LIBRARY	07	09/25/2002	22	9	5	22.73%
211244	WILLIAMSVILLE CNTL SCHOOL DIST	17	11/12/2002	100	43	29	29.00%
211245	TOWN OF MALTA	08	10/07/2002	59	35	21	35.59%
211246	EAST ISLIP SCHOOL DISTRICT	02	10/16/2002	600	27	3	.50%
211247	ARLINGTON CENTRAL SCHOOL DISTRICT	07	11/12/2002	1,500	53	22	1.47%
211248	TOWN OF CORINTH	08	11/21/2002	73	4	4	5.48%
211250	WARWICK VALLEY CENTRAL SCHOOL DISTRICT	06	12/09/2002	800	13	7	.88%
211251	EASTERN SUFFOLK OF COOPERATIVE EDUCATION SERVICES	02	11/26/2002	2,700	361	107	3.96%
211254	RYE CITY SCHOOL DISTRICT	06	12/10/2002	500	22	10	2.00%
211255	VILLAGE OF UNION SPRINGS	13	12/17/2002	8	1	1	12.50%
211257	ONONDAGA COMMUNITY COLLEGE	13	01/28/2003	1,200	47	31	2.58%
211258	VILLAGE OF SADDLE ROCK	01	02/01/2003	1	1	0	.00%
211260	ISLIP PUBLIC SCHOOL	02	02/25/2003	30	22	9	30.00%
211261	ROOSEVELT PUBLIC LIBRARY	01	03/05/2003	20	5	2	10.00%
211294	TOWN OF WASHINGTON	07	01/15/2004	30	9	5	16.67%
211333	EAST ISLIP FIRE DISTRICT	02	03/06/2001	8	3	1	12.50%
211334	VILLAGE OF BROOKVILLE	01	05/08/2003	8	8	6	75.00%
211335	TOWN OF SCHAGHTICOKE	09	05/09/2003	50	13	8	16.00%
211337	VILLAGE OF NEW BERLIN	14	05/09/2003	5	2	1	20.00%
211338	TOWN OF GEDDES	13	05/01/2003	90	7	5	5.56%
211339	POUGHKEEPSIE CITY SCHOOL DISTRICT	07	05/09/2003	800	11	4	.50%
211440	ADDISON CENTRAL SCHOOL DISTRICT	16	05/13/2003	330	3	2	.61%
211441	CAIRO - DURHAM CENTRAL SCHOOL DISTRICT	10	05/23/2003	150	25	18	12.00%
211442	NORTH MASSAPEQUA FIRE DISTRICT	01	05/30/2003	7	5	5	71.43%
211443	BAY SHORE UNION FREE SCHOOL DISTRICT	02	06/06/2003	600	47	20	3.33%
211444	PORT JERVIS CITY SCHOOL DISTRICT	06	06/06/2003	490	61	14	2.86%
211445	CORTLAND COUNTY SOIL & WATER CONSERVATION DIST	14	06/09/2003	8	3	2	25.00%
211446	CHEMUNG COUNTY SOIL AND WATER CONSERVATION DISTRIC	14	02/11/2003	3	3	3	100.00%
211447	ERIE COUNTY SOIL AND WATER CONSERVATION DISTRICT	17	06/09/2003	10	5	5	50.00%
211448	MASSAPEQUA UNION FREE SCHOOL DISTRICT	01	06/18/2003	1,200	76	36	3.00%
211449	VALLEY CENTRAL SCHOOL DISTRICT ADMIN OFFICES	06	06/19/2003	500	43	16	3.20%
211450	OTSELIC VALLEY CENTRAL SCHOOL DISTRICT	14	06/19/2003	100	6	4	4.00%
211451	MADISON ONEIDA BOARD OF CO-OP EDUCATIONAL SERVICES	13	06/19/2003	600	45	35	5.83%
211453	ALFRED-ALMOND CENTRAL SCHOOL	16	06/23/2003	150	2	0	.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211454	ISLAND TREES PUBLIC LIBRARY	01	06/26/2003	36	3	2	5.56%
211455	STEVENS MEMORIAL COMMUNITY LIBRARY	16	06/27/2003	12	2	1	8.33%
211456	LIVONIA CENTRAL SCHOOL	16	07/09/2003	320	8	5	1.56%
211457	NIAGARA FALLS WATER BOARD	17	07/09/2003	143	46	21	14.69%
211458	TOWN OF FARMINGTON	13	07/09/2003	50	7	6	12.00%
211459	BRADFORD CENTRAL SCHOOL	16	07/22/2003	80	4	1	1.25%
211460	FISHERS FIRE DISTRICT	16	08/06/2003	2	2	2	100.00%
211461	CLARKSTOWN CENTRAL SCHOOL DISTRICT	06	08/14/2003	1,800	10	3	.17%
211462	CLYMER CENTRAL SCHOOL DISTRICT	16	08/27/2003	102	6	3	2.94%
211463	GATES CHILI CENTRAL SCHOOL DISTRICT	17	08/27/2003	750	2	0	.00%
211464	TOMPKINS SENECA TIOGA BOCES	14	08/28/2003	350	16	12	3.43%
211465	AGRICULTURE & NYS HORSE BREEDING DEVELOPMENT FUND	10	08/28/2003	3	1	0	.00%
211466	VILLAGE OF POTSDAM (CIVIC CENTER)	12	08/28/2003	55	30	15	27.27%
211467	LAKE GEORGE CENTRAL SCHOOL DISTRICT	08	09/23/2003	250	5	3	1.20%
211468	PLAINVIEW-OLD BETHPAGE PUBLIC LIBRARY	01	09/23/2003	203	22	17	8.37%
211469	MT. PLEASANT - BLYTHEDALE UFSD	06	10/03/2003	62	5	1	1.61%
211470	MT PLEASANT COTTAGE SCHOOL UFSD	06	10/08/2003	169	3	1	.59%
211471	FARMINGDALE PUBLIC LIBRARY	01	10/23/2003	50	16	15	30.00%
211472	TOWN OF SCHROEPEL	13	10/23/2003	30	3	4	13.33%
211473	BUFFALO FISCAL STABILITY AUTHORITY	17	10/23/2003	10	5	2	20.00%
211474	WARREN COUNTY SOIL AND WATER CONSERVATION DISTRICT	08	10/23/2003	6	6	4	66.67%
211475	NORTH BELLMORE PUBLIC LIBRARY	01	10/27/2003	50	13	8	16.00%
211476	HEWLETT-WOODMERE PUBLIC SCHOOLS	01	10/29/2003	700	60	21	3.00%
211477	LITTLE FLOWER UNION FREE SCHOOL DISTRICT	02	11/05/2003	50	2	0	.00%
211479	NASSAU COUNTY BOCES	01	11/12/2003	1,200	181	121	10.08%
211480	HAWTHORNE CEDAR KNOLLS UFSD	06	12/16/2003	125	15	5	4.00%
211481	ONTEORA CENTRAL SCHOOL DISTRICT	07	11/12/2003	370	17	9	2.43%
211482	ALDEN CENTRAL SCHOOL DISTRICT	17	11/18/2003	375	15	12	3.20%
211483	VILLAGE OF SCOTTSVILLE	17	11/12/2003	10	8	6	60.00%
211484	WSWHE BOCES	08	11/26/2003	700	7	2	.29%
211485	MALONE CENTRAL SCHOOL DISTRICT	12	11/26/2003	565	24	18	3.19%
211486	ONEIDA CITY SCHOOL DISTRICT	14	12/09/2003	395	1	0	.00%
211487	COMMACK PUBLIC LIBRARY	02	12/19/2003	55	17	10	18.18%
211488	BALDWIN UNION FREE SCHOOL DISTRICT	01	12/19/2003	900	85	42	4.67%
211489	PELHAM UNION FREE SCHOOL DISTRICT	06	01/05/2004	400	28	19	4.75%
211490	TOWN OF BRANT	17	01/05/2004	17	2	1	5.88%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211492	VILLAGE OF CATSKILL HOUSING AUTHORITY	10	01/05/2004	4	1	1	25.00%
211493	GREENE COUNTY INDUSTRIAL DEVELOPMENT AGENCY	10	01/02/2004	3	2	2	66.67%
211494	MANHASSET PUBLIC LIBRARY	01	01/16/2004	60	5	4	6.67%
211496	ERIE 1 BOCES	17	01/23/2004	1,006	23	15	1.49%
211497	TOWN OF PARMA	17	02/03/2004	81	20	12	14.81%
211498	PLAINEDGE PUBLIC LIBRARY	01	01/27/2004	40	8	8	20.00%
211499	BRUSHTON MOIRA CENTRAL SCHOOL DISTRICT	12	01/27/2004	162	7	6	3.70%
211500	SHELTER ROCK PUBLIC LIBRARY	01	01/27/2004	20	13	11	55.00%
211501	CLIFTON PARK-HALFMOON FIRE DISTRICT #1	08	02/03/2004	2	2	2	100.00%
211502	TOWN OF HILLSDALE	07	02/03/2004	8	1	0	.00%
211503	VILLAGE OF LIVONIA	16	03/02/2004	12	2	2	16.67%
211504	TOWN OF LISLE	14	03/02/2004	23	5	5	21.74%
211505	HUNTINGTON UNION FREE SCHOOL DISTRICT	02	03/02/2004	479	55	38	7.93%
211506	TOWN OF ADDISON	16	03/02/2004	3	3	3	100.00%
211507	GUILDERLAND PUBLIC LIBRARY	09	03/12/2004	53	18	13	24.53%
211508	PUTNAMNORTHERN WESTCHESTER BOCES	06	03/05/2004	700	25	14	2.00%
211509	TOWN OF HYDE PARK	07	03/05/2004	80	22	18	22.50%
211510	ERIE COMMUNITY COLLEGE	17	03/15/2004	1,700	78	55	3.24%
211512	MILLER PLACE UNION FREE SCHOOL DISTRICT	01	03/19/2004	380	69	34	8.95%
211513	CITY OF UTICA NEW YORK	13	04/07/2004	600	123	94	15.67%
211514	GREAT NECK UNION FREE SCHOOL DISTRICT	01	04/28/2004	1,500	134	54	3.60%
211517	CLARENCE CENTRAL SCHOOL DISTRICT	17	03/30/2004	777	18	5	.64%
211518	TOWN OF NEW CASTLE	06	04/13/2004	195	112	76	38.97%
211519	CORNING-PAINTED POST AREA SCHOOL DISTRICT	16	03/30/2004	1,000	27	9	.90%
211520	WEST BABYLON PUBLIC LIBRARY	02	03/30/2004	37	6	3	8.11%
211521	TOWN OF LITTLE FALLS	11	03/30/2004	4	3	3	75.00%
211522	MANHASSET UFSD	01	04/07/2004	670	71	41	6.12%
211523	GROTON CENTRAL SCHOOL	14	04/07/2004	210	12	6	2.86%
211524	BELLMORE MEMORIAL LIBRARY	01	04/13/2004	23	10	5	21.74%
211525	TOWN OF PERTH	11	04/13/2004	22	2	2	9.09%
211526	LEVITTOWN PUBLIC SCHOOLS	01	04/21/2004	1,600	8	6	.38%
211527	HYDE PARK FREE LIBRARY	07	04/27/2004	14	1	1	7.14%
211528	SEWANHAKA CENTRAL SCHOOL DISTRICT	01	05/06/2004	1,200	96	35	2.92%
211529	TOWN OF ALABAMA	16	05/24/2004	2	2	2	100.00%
211531	VILLAGE OF SAUGERTIES	07	05/13/2004	35	7	4	11.43%
211532	NEW HARTFORD PUBLIC LIBRARY	13	05/21/2004	24	1	1	4.17%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211533	DUTCHESS CO SOIL WATER CONS DIST & DUTCHESS CO ENV	07	05/24/2004	8	4	3	37.50%
211534	VILLAGE OF WEST HAVERSTRAW	06	05/24/2004	55	9	7	12.73%
211536	PORT JEFFERSON UNION FREE SCHOOL DISTRICT	02	05/24/2004	237	15	7	2.95%
211538	TOWN OF CANAJOHARIE	11	05/25/2004	7	1	1	14.29%
211539	RANDOLPH ACADEMY UFSD	16	05/25/2004	100	27	14	14.00%
211540	MERRICK UNION FREE SCHOOL DISTRICT	01	06/08/2004	323	26	13	4.02%
211541	OCEANSIDE LIBRARY	01	06/09/2004	85	19	17	20.00%
211542	HALF HOLLOW HILLS CSD	02	06/09/2004	2,000	166	72	3.60%
211543	EAST MEADOW PUBLIC LIBRARY	01	06/09/2004	101	18	13	12.87%
211544	FREEPORT UFSD	01	07/16/2004	1,200	98	43	3.58%
211545	NASSAU LIBRARY SYSTEM	01	06/10/2004	29	4	3	10.34%
211546	HEWLETT-WOODMERE PUBLIC LIBRARY	01	06/22/2004	65	23	18	27.69%
211547	FREEPORT MEMORIAL LIBRARY	01	06/22/2004	65	19	15	23.08%
211548	GRAND ISLAND CENTRAL SCHOOL DISTRICT	17	06/22/2004	600	10	6	1.00%
211549	VILLAGE OF PORT DICKINSON	14	06/29/2004	21	5	4	19.05%
211550	BALDWIN PUBLIC LIBRARY	01	06/23/2004	32	10	8	25.00%
211551	ROCKVILLE CENTRE PUBLIC LIBRARY	01	07/01/2004	72	22	18	25.00%
211552	TOWN OF SPAFFORD	13	07/01/2004	13	1	1	7.69%
211553	MINEOLA UFSD	01	07/30/2004	680	23	10	1.47%
211554	DEER PARK FIRE DISTRICT #14	02	07/16/2004	26	7	8	30.77%
211555	PENINSULA PUBLIC LIBRARY	01	07/15/2004	24	6	5	20.83%
211556	VILLAGE OF FONDA	11	07/15/2004	7	3	2	28.57%
211557	SYOSSET PUBLIC LIBRARY	01	07/16/2004	85	15	13	15.29%
211558	SOUTHERN WESTCHESTER BOCES	06	07/21/2004	1,150	54	29	2.52%
211561	TOWN OF WATERTOWN	13	08/06/2004	31	1	1	3.23%
211562	WEST SENECA CENTRAL SCHOOL DISTRICT	17	08/09/2004	1,350	53	29	2.15%
211563	ROSCOE CENTRAL SCHOOL DISTRICT	07	08/11/2004	65	5	0	.00%
211564	TOWN OF HOPKINTOWN	12	08/25/2004	18	5	3	16.67%
211565	JOINT REGIONAL SEWERAGE BOARD	06	08/11/2004	10	6	5	50.00%
211566	ROCHESTER CITY SCHOOL DISTRICT	17	08/13/2004	5,700	55	37	.65%
211567	HAMMONDSPORT CENTRAL SCHOOL DISTRICT	16	08/17/2004	150	1	0	.00%
211568	CITY OF OGDENSBURG	12	08/17/2004	155	110	79	50.97%
211569	PORT JEFFERSON FIRE DISTRICT	02	08/30/2004	9	8	4	44.44%
211570	ARDSLEY PUBLIC SCHOOLS	06	08/30/2004	400	28	14	3.50%
211571	OTSEGO COUNTY SOIL	10	09/08/2004	5	1	0	.00%
211572	SUFFOLK COOPERATIVE LIBRARY SYSTEM	02	09/20/2004	70	10	6	8.57%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211573	CAMPBELL-SAVONA CSD	16	09/20/2004	200	5	1	.50%
211574	TOWN OF SAND LAKE	09	09/20/2004	50	4	3	6.00%
211575	PEARL RIVER UFSD	06	09/22/2004	15	20	9	60.00%
211577	WESTBURY MEMORIAL PUBLIC LIBRARY	01	09/29/2004	52	10	7	13.46%
211578	DEER PARK UFSD	02	10/04/2004	876	37	9	1.03%
211579	GLENWOOD WATER DISTRICT	01	10/11/2004	4	2	2	50.00%
211580	DUTCHESS COUNTY BRD OF COOPERATIVE SERVICES	07	10/12/2004	457	40	18	3.94%
211581	WELLSVILLE CENTRAL SCHOOL	16	10/12/2004	250	27	15	6.00%
211582	SOUTH HUNTINGTON PUBLIC LIBRARY	02	10/09/2004	73	19	14	19.18%
211583	VILLAGE OF SCHOHARIE	10	11/09/2004	6	3	3	50.00%
211584	VILLAGE OF TARRYTOWN	06	11/16/2004	154	58	40	25.97%
211585	VILLAGE OF NEW YORK MILLS	13	11/16/2004	25	2	2	8.00%
211586	NORTH SALEM CENTRAL SCHOOL DISTRICT	06	11/16/2004	250	16	11	4.40%
211587	JERICHO-PUBLIC LIBRARY	01	11/16/2004	85	18	14	16.47%
211589	VILLAGE OF BAINBRIDGE	14	11/16/2004	20	2	2	10.00%
211590	TOWN OF CORNWALL SUPERVISORS OFFICE	06	11/23/2004	20	21	14	70.00%
211591	SOUTH FARMINGDALE WATER DISTRICT	01	11/24/2004	20	6	6	30.00%
211593	TOWN OF BEDFORD NY	06	12/03/2004	150	116	74	49.33%
211594	TOWN OF WESTFIELD	16	12/03/2004	11	5	5	45.45%
211595	ROCKVILLE CENTRE UFSD	01	12/06/2004	600	24	10	1.67%
211596	VILLAGE OF COBLESKILL	10	12/14/2004	6	5	5	83.33%
211597	WESTBURY UNION FREE SCHOOL DISTRICT	01	12/17/2004	820	60	33	4.02%
211598	VILLAGE OF MENANDS	09	12/17/2004	54	16	7	12.96%
211599	RIDGE-CULVER FIRE DISTRICT	17	12/17/2004	13	11	11	84.62%
211600	COMMACK UNION FREE SCHOOL DISTRICT	02	12/28/2004	1,291	88	48	3.72%
211602	VILLAGE OF LAKE GROVE	02	02/23/2005	30	6	5	16.67%
211603	LONG BEACH PUBLIC LIBRARY	01	02/16/2005	50	7	4	8.00%
211604	TOWN OF BABYLON	02	02/16/2005	500	250	164	32.80%
211605	CORINTH CENTRAL SCHOOL DISTRICT	08	02/22/2005	250	4	2	.80%
211606	TOWN OF ELLICOTTVILLE	16	03/18/2005	33	5	5	15.15%
211608	LAKE PLEASANT CENTRAL SCHOOL	11	04/04/2005	33	8	5	15.15%
211609	SACHEM PUBLIC LIBRARY	02	03/18/2005	155	25	24	15.48%
211610	VILLAGE OF COOPERSTOWN	10	03/23/2005	23	14	11	47.83%
211611	VILLAGE OF EAST HAMPTON	02	03/23/2005	80	55	44	55.00%
211612	TOWN OF CONKLIN	12	04/04/2005	35	1	0	.00%
211613	NANUET UNION FREE SCHOOL DISTRICT	06	04/06/2005	420	6	4	.95%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211614	VILLAGE OF ELLICOTTVILLE	16	04/13/2005	19	2	2	10.53%
211615	TOWN OF SARANAC	12	06/13/2005	30	4	2	6.67%
211617	GENEVA CITY SCHOOL DISTRICT	16	06/22/2005	500	23	19	3.80%
211618	WESTPORT CENTRAL SCHOOL	12	04/28/2005	60	1	0	.00%
211620	TOWN OF PERU	12	05/12/2005	28	5	4	14.29%
211622	WILLIAM FLOYD UFSD	02	05/12/2005	25	4	2	8.00%
211623	TOWN OF NEVERSINK	07	05/12/2005	75	29	21	28.00%
211624	JONESVILLE FIRE DISTRICT	08	05/16/2005	3	2	2	66.67%
211625	LONG LAKE CSD	11	05/20/2005	40	3	2	5.00%
211626	TOWN OF ADAMS	13	05/20/2005	20	1	0	.00%
211627	SARATOGA COUNTY	08	05/24/2005	1,470	487	281	19.12%
211628	TOWN OF DANNEMORA	12	06/16/2005	21	1	1	4.76%
211629	JAMESVILLE-DEWITT CENTRAL SCHOOL DISTRICT	13	05/31/2005	410	2	1	.24%
211630	VILLAGE OF LAWRENCE	01	05/31/2005	55	21	11	20.00%
211631	GREENBURGH HOUSING AUTHORITY	06	05/31/2005	11	11	11	100.00%
211632	TOWN OF MACEDON	17	06/13/2005	40	7	6	15.00%
211633	TOWN OF HEBRON	08	06/13/2005	18	3	2	11.11%
211634	COUNTY OF SCHENECTADY	09	06/13/2005	1,500	632	376	25.07%
211635	TOWN OF OVID	14	06/21/2005	20	1	1	5.00%
211636	NYACK UNION FREE SCHOOL DISTRICT	06	06/22/2005	475	5	4	.84%
211637	TICONDEROGA CENTRAL SCHOOL DISTRICT	12	06/27/2005	200	6	3	1.50%
211638	PLAINEDGE U.F.S.D.	01	07/18/2005	380	20	4	1.05%
211639	NORTH SHORE PUBLIC LIBRARY	02	07/22/2005	40	12	11	27.50%
211640	CONNETQUOT PUBLIC LIBRARY	02	07/22/2004	100	20	17	17.00%
211641	HIGHLAND FALLS/FORT MONTGOMERY CSD	07	07/26/2005	2	2	1	50.00%
211642	CATTARAUGUS-ALLEGANY BOCES	16	08/03/2005	800	52	31	3.88%
211643	PAWLING CENTRAL SCHOOL DISTRICT	07	08/03/2005	300	3	1	.33%
211644	VILLAGE OF MALONE	12	08/04/2005	69	7	7	10.14%
211646	SOMERS CENTRAL SCHOOL DISTRICT	06	08/16/2005	19	1	1	5.26%
211647	TOWN OF JAY	12	08/16/2005	15	3	0	.00%
211648	EAST WILLISTON UFSD	01	08/16/2005	325	26	15	4.62%
211649	TOWN OF STERLING	13	08/25/2005	25	4	1	4.00%
211650	WATERTOWN HOUSING AUTHORITY	13	08/30/2005	32	7	6	18.75%
211651	TOWN OF CANISTEO	16	08/24/2005	13	1	0	.00%
211652	VILLAGE OF ATHENS	10	08/30/2005	30	2	2	6.67%
211654	HYDE PARK CSD	07	09/12/2005	765	9	6	.78%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211655	WHITE PLAINS CITY SCHOOL DISTRICT	06	09/14/2005	1,300	40	25	1.92%
211656	YONKERS INDUSTRIAL DEVELOPMENT AGENCY	03	09/23/2005	2	2	2	100.00%
211657	TOWN OF MEREDITH	10	09/27/2005	7	3	3	42.86%
211658	TOWN OF BLACK BROOK	12	09/29/2005	27	1	0	.00%
211659	TOWN OF EAST BLOOMFIELD	16	10/14/2005	16	2	2	12.50%
211660	VILLAGE OF CORNWALL-ON-HUDSON	06	10/04/2005	51	5	4	7.84%
211661	NEWARK CENTRAL SCHOOL DISTRICT	17	10/07/2005	440	1	1	.23%
211662	NORTH COUNTRY LIBRARY SYSTEM	13	10/13/2005	41	7	3	7.32%
211663	TOWN OF WILMINGTON	12	10/13/2005	27	1	1	3.70%
211664	TOWN OF CHESTERFIELD	12	10/18/2005	33	4	2	6.06%
211665	TOWN OF AUSABLE	12	10/25/2005	18	4	3	16.67%
211666	TOWN OF HOWARD	16	10/27/2005	18	4	3	16.67%
211667	SCARSDALE UNION FREE SCHOOL DISTRICT	06	11/03/2005	1,000	63	44	4.40%
211668	HARBORFIELDS CSD	02	11/03/2005	480	27	20	4.17%
211669	CAYUGA COUNTY SOIL & WATER CONSERVATION DISTRICT	13	11/07/2005	20	3	2	10.00%
211670	TOWN OF INLET	11	11/15/2005	30	7	4	13.33%
211671	MONROE WOODBURY CENTRAL SCHOOL DISTRICT	06	11/15/2005	1,350	74	48	3.56%
211672	EAST GREENBUSH CSD	09	11/28/2005	800	43	33	4.13%
211673	SAYVILLE PUBLIC SCHOOLS	02	12/14/2005	17	11	7	41.18%
211674	TOWN OF COXSACKIE	10	12/30/2005	19	10	9	47.37%
211675	ELWOOD PUBLIC LIBRARY	02	12/29/2005	21	7	6	28.57%
211676	QUEENS BOROUGH PUBLIC LIBRARY	03	01/06/2006	1,100	105	74	6.73%
211677	LAKE PLACID VILLAGE INC.	12	01/23/2006	20	19	17	85.00%
211679	TOWN OF POLAND	16	02/17/2006	20	2	2	10.00%
211680	BINGHAMTON CITY SCHOOL DISTRICT	14	02/07/2006	1,253	3	2	.16%
211681	CHEMUNG COUNTY LIBRARY DISTRICT	14	02/07/2006	38	21	14	36.84%
211682	NORTH BABYLON PUBLIC LIBRARY	01	03/06/2006	40	14	8	20.00%
211683	TOWN OF HADLEY	01	03/10/2006	28	4	3	10.71%
211684	TOWN OF SARATOGA	01	03/10/2006	29	4	3	10.34%
211685	LARCHMONT-MAMARONECK JOINT SANITATION COMMISSION	01	03/10/2006	12	9	7	58.33%
211686	BALDWIN FIRE DISTRICT	01	03/17/2006	8	8	8	100.00%
211689	ALBANY COUNTY	09	05/11/2006	2,500	1,351	869	34.76%
211690	VILLAGE OF GREENWOOD LAKE	06	04/21/2006	60	7	4	6.67%
211691	JERICHO UNION FREE SCHOOL DISTRICT	01	05/08/2006	778	91	55	7.07%
211692	SHELTER ISLAND UFSD	02	05/11/2006	50	6	4	8.00%
211693	HUDSON VALLEY COMMUNITY COLLEGE	09	05/11/2006	850	63	53	6.24%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211694	CENTRAL ISLIP FIRE DISTRICT	02	05/16/2006	27	4	4	14.81%
211695	TOWN OF STAMFORD	10	05/23/2006	29	1	0	.00%
211696	JEFFERSON COUNTY SOIL & WATER CONSERVATION DISTRICT	13	05/30/2006	9	6	3	33.33%
211698	TOWN OF SUMMIT	10	06/15/2006	20	6	4	20.00%
211699	INDIAN LAKE CENTRAL SCHOOL	11	06/15/2006	57	3	3	5.26%
211700	ISLAND TREES UFSD	01	06/15/2006	230	22	8	3.48%
211702	NIAGARA COUNTY	17	06/15/2006	1,500	776	461	30.73%
211703	NIAGARA COUNTY COMMUNITY COLLEGE	17	06/15/2006	500	18	6	1.20%
211704	MOHAWK VALLEY WATER AUTHORITY	13	06/23/2006	98	29	26	26.53%
211705	CARMEL CENTRAL SCHOOL DISTRICT	06	06/23/2006	1,000	8	2	.20%
211709	SCHOHARIE COUNTY SWCD	10	07/31/2006	4	4	3	75.00%
211710	VILLAGE OF MANCHESTER	16	07/31/2006	13	2	2	15.38%
211711	TERRYVILLE FIRE DISTRICT	02	08/09/2006	43	9	8	18.60%
211712	ITHACA URBAN RENEWAL AGENCY	14	08/09/2006	5	2	1	20.00%
211713	PORT CHESTER-RYE UNION FREE SCHOOL DISTRICT	06	08/21/2006	500	11	3	.60%
211714	CLINTON-ESSEX-WARREN-WASHINGTON BOARD OF COOP EDU	12	08/29/2006	385	10	4	1.04%
211715	TUXEDO UNION FREE SCHOOL DISTRICT	06	09/07/2006	40	3	2	5.00%
211716	TOWN OF PHELPS	16	09/07/2006	40	1	1	2.50%
211717	TOWN OF MIDDLEBURGH	10	10/18/2006	21	3	3	14.29%
211720	CLINTON COUNTY COMMUNITY COLLEGE	12	09/20/2006	300	13	7	2.33%
211721	CANANDAIGUA CITY SCHOOL DISTRICT	16	09/20/2006	800	31	3	.38%
211722	CHAPPAQUA CENTRAL SCHOOL DISTRICT	06	09/20/2006	24	4	2	8.33%
211723	TOWN OF MIDDLETOWN	10	09/22/2006	50	7	5	10.00%
211724	TOWN OF HOPE	11	09/29/2006	25	2	2	8.00%
211725	VILLAGE OF DANNEMORA	12	09/22/2006	20	4	3	15.00%
211726	SCHUYLER COUNTY	14	09/22/2006	233	124	73	31.33%
211727	TOWN OF TICONDEROGA	12	09/22/2006	90	4	3	3.33%
211728	VILLAGE OF MASSENA	12	09/29/2006	111	62	40	36.04%
211730	TOWN OF HORICON	08	10/10/2006	10	2	1	10.00%
211731	SARATOGA COUNTY SOIL & WATER CONSERVATION DISTRICT	08	10/10/2006	4	3	2	50.00%
211733	TOWN OF CAROGA	11	10/18/2006	69	1	1	1.45%
211734	TOWN OF LAWRENCE	12	10/18/2006	19	4	4	21.05%
211735	HARTSDALE PUBLIC PARKING DISTRICT	06	10/20/2006	6	3	3	50.00%
211737	ANDOVER CENTRAL SCHOOL	16	11/06/2006	120	4	2	1.67%
211738	BELLMORE-MERRICK CENTRAL HS DISTRICT	01	11/06/2006	514	35	17	3.31%
211739	EASTCHESTER UFSD	06	04/01/2006	30	33	18	60.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211740	SOUTHERN TIER LIBRARY SYSTEM	16	11/06/2006	25	4	3	12.00%
211741	MONTICELLO JOINT FIRE DISTRICT	07	11/06/2006	4	2	2	50.00%
211742	TOWN OF NEW LEBANON	07	11/16/2006	22	7	3	13.64%
211744	TOWN OF NORTH ELBA	12	11/21/2006	50	4	4	8.00%
211747	TOWN OF OTSEGO	10	12/12/2006	13	1	1	7.69%
211748	VILLAGE OF BROWNVILLE	13	12/20/2006	4	4	3	75.00%
211749	VILLAGE OF THERESA	13	12/20/2006	18	5	3	16.67%
211750	VILLAGE OF CHATEAUGAY	12	12/20/2006	3	1	1	33.33%
211752	FINGER LAKES COMMUNITY COLLEGE	16	01/09/2007	500	11	10	2.00%
211753	CITY OF COHOES	09	01/09/2007	145	66	47	32.41%
211754	TOWN OF LAURENS	10	01/22/2007	9	2	1	11.11%
211755	ROSLYN UFSD	01	01/30/2007	19	5	1	5.26%
211756	NORTH SHORE CENTRAL SCHOOL DISTRICT	01	01/30/2007	700	23	13	1.86%
211757	VILLAGE OF BALDWINVILLE	13	02/05/2007	69	9	8	11.59%
211758	HERRICKS UNION FREE SCHOOL DISTRICT	01	02/05/2007	900	25	13	1.44%
211759	POUGHKEEPSIE PUBLIC LIBRARY DISTRICT	07	02/09/2007	70	22	17	24.29%
211760	TOWN OF MINERVA	08	02/27/2007	14	2	1	7.14%
211761	ALBANY CONVENTION CENTER AUTHORITY ("ACCA")	09	03/05/2007	5	2	2	40.00%
211762	WEST BABYLON UFSD	02	03/09/2007	987	20	12	1.22%
211763	TOWN OF ROSENDALE	07	03/09/2007	60	3	1	1.67%
211764	PINE BUSH CENTRAL SCHOOL DISTRICT	06	03/15/2007	35	6	1	2.86%
211765	TOWN OF NISKAYUNA	09	03/23/2007	130	108	76	58.46%
211766	CAIRO PUBLIC LIBRARY	10	03/29/2007	3	2	2	66.67%
211767	VILLAGE OF WOODBURY	06	03/30/2007	12	11	11	91.67%
211768	LAKELAND CENTRAL SCHOOL DISTRICT	06	04/02/2007	25	2	2	8.00%
211769	ADIRONDACK PARK LOCAL GOVERNMENT REVIEW BOARD	08	04/04/2007	3	1	1	33.33%
211770	TOWN OF COHOCTON	12	04/09/2007	30	5	5	16.67%
211771	TOWN OF NANTICOKE	14	04/19/2007	24	1	0	.00%
211772	LAFAYETTE CENTRAL SCHOOL DISTRICT	13	04/19/2007	200	1	0	.00%
211773	SYOSSET CENTRAL SCHOOL DISTRICT	01	04/19/2007	700	34	23	3.29%
211774	TOWN OF SHELBY	16	04/19/2007	26	1	1	3.85%
211775	CAPE VINCENT	11	04/20/2007	36	3	3	8.33%
211776	TOWN OF MARLBOROUGH	07	05/04/2007	80	9	7	8.75%
211777	VERNON	13	04/30/2007	28	2	1	3.57%
211778	VILLAGE OF WAVERLY	14	05/02/2007	54	8	7	12.96%
211779	BETHPAGE UFSD	01	05/18/2007	550	23	13	2.36%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211780	HAUPPAUGE PUBLIC SCHOOLS	02	05/23/2007	355	43	22	6.20%
211781	PERU CENTRAL SCHOOL DISTRICT	12	05/30/2007	100	21	7	7.00%
211782	FABIUS-POMPEY CENTRAL SCHOOL	13	06/13/2007	100	4	1	1.00%
211783	TOWN OF RUSH	17	06/19/2007	70	3	3	4.29%
211784	OYSTER BAY - EAST NORWICH (CSD)	01	06/22/2007	350	11	8	2.29%
211785	EASTPORT/SOUTH MANOR CENTRAL SCHOOL DISTRICT	02	06/27/2007	332	28	5	1.51%
211786	ANDES CENTRAL SCHOOL DISTRICT	10	07/20/2007	26	1	1	3.85%
211787	NEWARK PUBLIC LIBRARY	17	07/10/2007	27	1	1	3.70%
211788	TOWN OF BARKER	14	07/17/2007	15	2	2	13.33%
211789	VILLAGE OF DELHI	10	07/18/2007	29	7	6	20.69%
211790	HAMPTON BAY UFSD	02	07/19/2007	250	14	11	4.40%
211791	BOROUGH OF MANHATTAN COMMUNITY COLLEGE (CUNY)	06	08/30/2007	1,000	73	56	5.60%
211792	QUEENSBOROUGH COMMUNITY COLLEGE (CUNY)	06	08/30/2007	1,000	72	54	5.40%
211793	BRONX COMMUNITY COLLEGE (CUNY)	06	08/30/2007	1,000	47	42	4.20%
211794	HOTOS COMMUNITY COLLEGE (CUNY)	06	08/30/2007	1,000	21	16	1.60%
211795	KINGSBOROUGH COMMUNITY COLLEGE (CUNY)	06	08/30/2007	1,000	66	50	5.00%
211796	LAGUARDIA COMMUNITY COLLEGE (CUNY)	06	08/30/2007	1,000	35	22	2.20%
211797	NORTHERN ADIRONDACK CSD	12	11/21/2007	175	10	7	4.00%
211799	SAUGERTIES PUBLIC LIBRARY	07	10/16/2007	14	3	1	7.14%
211800	HUNTER COLLEGE CAMPUS SCHOOLS (CUNY)	07	10/24/2007	500	10	5	1.00%
211802	MADISON COUNTY IDA	14	11/06/2007	1	1	1	100.00%
211803	TOWN OF BARRINGTON	14	11/13/2007	9	6	6	66.67%
211804	ROOSEVELT UFSD	01	11/21/2007	480	20	8	1.67%
211806	ST LAWRENCE COUNTY SOIL & WATER CONSERVATION DISTR	12	11/26/2007	4	4	3	75.00%
211812	GLEN COVE SCHOOL DISTRICT	01	07/24/2007	500	27	9	1.80%
211813	TOWN OF ALEXANDRIA	13	08/01/2007	24	1	1	4.17%
211814	SCHOHARIE COUNTY	10	08/02/2007	507	103	83	16.37%
211815	KENMORE-TOWN OF TONAWANDA UFSD	17	08/20/2007	1,800	2	1	.06%
211816	TOWN OF CHESTER(ORANGE COUNTY)	06	08/20/2007	55	20	11	20.00%
211817	VILLAGE OF PORT HENRY	12	08/20/2007	8	1	0	.00%
211818	FLORIDA UNION FREE SCHOOL DISTRICT	06	08/27/2007	151	5	3	1.99%
211819	ISLAND PARK PUBLIC LIBRARY	01	10/04/2007	22	5	4	18.18%
211820	TUCKAHOE UNION FREE SCHOOL DISTRICT	06	09/25/2007	186	10	5	2.69%
211821	HEERMANCE MEMORIAL LIBRARY	10	09/12/2007	7	2	2	28.57%
211822	TOWN OF ULSTER PUBLIC LIBRARY	07	09/18/2007	7	2	1	14.29%
211823	VILLAGE OF PORTVILLE	16	09/24/2007	7	4	4	57.14%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211824	VILLAGE OF CARTHAGE	13	11/07/2007	27	7	5	18.52%
211826	TOWN OF KORTRIGHT	10	12/13/2007	18	4	4	22.22%
211827	MALVERNE UNION FREE SCHOOL DISTRICT	01	12/19/2007	350	2	1	.29%
211828	PINE PLAINS FREE LIBRARY	07	12/24/2007	3	1	1	33.33%
211829	TOWN OF LERAY	13	01/14/2008	34	2	1	2.94%
211830	UNIONDALE UFSD	01	01/17/2008	1,352	10	9	.67%
211831	TOWN OF DICKINSON	14	01/22/2008	23	7	5	21.74%
211832	VILLAGE OF LEWISTON	17	02/08/2008	40	1	1	2.50%
211833	ERIE COUNTY INDUSTRIAL DEVELOPMENT AGENCY	17	02/14/2008	17	20	15	88.24%
211835	HIGHLAND PUBLIC LIBRARY	07	02/21/2008	4	3	3	75.00%
211836	TOWN OF MASONVILLE	10	02/25/2008	16	4	3	18.75%
211837	TOWN OF TORREY	14	03/06/2008	25	1	1	4.00%
211839	TOWN OF WINDSOR	14	03/11/2008	32	7	4	12.50%
211840	VILLAGE OF WOLCOTT	17	03/14/2008	25	1	0	.00%
211841	TOWN OF GREENVILLE	10	03/19/2008	36	10	8	22.22%
211842	VILLAGE OF HOMER	14	03/24/2008	45	3	3	6.67%
211844	TOWN OF NORTHAMPTON	11	03/27/2008	21	7	5	23.81%
211845	ROCKY POINT FIRE DISTRICT	02	03/27/2008	52	4	3	5.77%
211846	TOWN OF HECTOR	14	04/07/2008	23	6	5	21.74%
211848	TOWN OF BENSON	11	04/14/2008	17	2	2	11.76%
211849	HEMPSTEAD UFSD	01	05/01/2008	1,200	24	11	.92%
211850	TOWN OF CRAWFORD	06	05/01/2008	80	15	11	13.75%
211852	BEACON CITY SCHOOL DISTRICT	07	05/19/2008	500	6	1	.20%
211854	MAHOPAC CENTRAL SCHOOL DISTRICT	06	07/09/2008	850	45	16	1.88%
211856	PLAINVIEW-OLD BETHPAGE CSD	01	06/02/2008	1,000	81	54	5.40%
211859	TOWN OF POMPEY	13	06/10/2008	10	3	1	10.00%
211863	TOWN OF HORNBY	16	06/24/2008	5	1	0	.00%
211865	ICHABOD CRANE CENTRAL SCHOOL DISTRICT	07	06/30/2008	7	6	4	57.14%
211866	TOWN OF GREENWICH	08	07/14/2008	13	1	1	7.69%
211867	SKANEATELES CENTRAL SCHOOL DISTRICT	13	07/14/2008	350	34	24	6.86%
211868	TOWN OF PLATTEKILL	07	07/16/2008	20	20	15	75.00%
211869	BLIND BROOK-RYE UFSD	06	07/25/2008	290	10	7	2.41%
211870	CAYUGA COMMUNITY COLLEGE	13	07/28/2008	450	21	13	2.89%
211871	TOWN OF EATON	14	07/28/2008	22	3	0	.00%
211872	HOLLEY CENTRAL SCHOOL DISTRICT	16	08/06/2008	250	1	0	.00%
211874	GREATER ATLANTIC BEACH WATER RECLAMATION DISTRICT	01	08/21/2008	3	3	2	66.67%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211876	GLOVERSVILLE ENLARGED SCHOOL DISTRICT	11	08/18/2008	700	4	3	.43%
211877	VILLAGE OF OTEGO	10	08/18/2008	12	2	1	8.33%
211878	RYE NECK UFSD	06	08/21/2008	240	6	2	.83%
211880	NORTH COUNTRY COMMUNITY COLLEGE	12	08/27/2008	110	7	4	3.64%
211882	TOWN OF WILTON	08	09/09/2008	50	20	10	20.00%
211883	TOWN OF ALTONA	12	08/27/2008	23	2	2	8.70%
211885	ALBANY PORT DISTRICT COMMISSION	09	09/02/2008	10	10	9	90.00%
211887	TOWN OF KIRKLAND	13	09/08/2008	60	1	1	1.67%
211888	FULTON-MONTGOMERY COMMUNITY COLLEGE	11	09/11/2008	250	35	31	12.40%
211889	MAMARONECK UNION FREE SCHOOL DISTRICT	06	09/11/2008	58	5	1	1.72%
211890	CITY OF LITTLE FALLS	11	09/15/2008	65	4	4	6.15%
211891	WESTHAMPTON BEACH UNION FREE SCHOOL DISTRICT	02	09/18/2008	352	14	12	3.41%
211892	TOWN OF GOSHEN	06	09/22/2008	105	8	6	5.71%
211893	TOWN OF SODUS	17	09/26/2008	155	2	2	1.29%
211896	TOWN OF ELLENBURG	12	09/30/2008	27	3	3	11.11%
211897	VILLAGE OF MORRISVILLE	14	10/03/2008	14	3	1	7.14%
211898	TOWN OF CHESTER (WARREN COUNTY)	08	10/06/2008	43	1	1	2.33%
211899	NORTH BELLMORE FIRE DISTRICT	01	10/14/2008	12	7	7	58.33%
211900	MIDDLE COUNTRY PUBLIC LIBRARY	02	10/14/2008	297	26	12	4.04%
211901	SOUTHAMPTON UFSD	02	10/14/2008	470	30	23	4.89%
211902	PEEKSKILL CITY SCHOOL DISTRICT	06	10/15/2008	457	8	8	1.75%
211903	SAYVILLE LIBRARY	02	10/20/2008	15	10	10	66.67%
211904	ROCKLAND COMMUNITY COLLEGE	06	10/23/2008	1,201	9	7	.58%
211906	COLUMBIA-GREENE COMMUNITY COLLEGE	07	11/05/2008	250	3	3	1.20%
211907	CITY OF FULTON	13	11/12/2008	165	31	22	13.33%
211908	GREATER JOHNSTOWN SCHOOL DISTRICT	11	11/12/2008	50	41	27	54.00%
211912	FRIENDSHIP CSD	16	11/21/2008	75	1	0	.00%
211913	WALLKILL CENTRAL SCHOOL DISTRICT	07	11/24/2008	492	11	11	2.24%
211915	EAST ISLIP PUBLIC LIBRARY	02	11/26/2008	70	15	15	21.43%
211916	HARRISON CENTRAL SCHOOL DISTRICT	06	12/01/2008	600	31	22	3.67%
211917	ROCKY POINT UNION FREE SCHOOL DISTRICT	02	12/01/2008	600	15	9	1.50%
211918	FRANKLIN-ESSEX-HAMILTON BOCES	12	12/01/2008	250	9	7	2.80%
211919	TUPPER LAKE CENTRAL SCHOOL	12	12/03/2008	200	62	48	24.00%
211920	CORAM FIRE DISTRICT	02	12/10/2008	23	9	6	26.09%
211921	LINDENHURST MEMORIAL LIBRARY	02	12/12/2008	30	10	8	26.67%
211922	BAY SHORE-BRIGHTWATERS PUBLIC LIBRARY	02	12/15/2008	55	10	9	16.36%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211923	THREE VILLAGE CENTRAL SCHOOL DISTRICT	02	12/18/2008	1,600	32	21	1.31%
211924	SULLIVAN COUNTY COMMUNITY COLLEGE	07	12/19/2008	161	2	2	1.24%
211925	MERRICK LIBRARY	01	12/22/2008	30	13	10	33.33%
211926	MONROE COMMUNITY COLLEGE	17	12/22/2008	1,500	28	20	1.33%
211927	PISECO COMMON SCHOOL DISTRICT	11	01/02/2009	15	6	1	6.67%
211928	FONDA-FULTONVILLE CENTRAL SCHOOL DISTRICT	11	01/02/2009	215	4	0	.00%
211929	EDINBURG COMMON SCHOOL DISTRICT	11	01/02/2009	25	12	10	40.00%
211930	HERKIMER COUNTY COMMUNITY COLLEGE	11	01/02/2009	245	6	4	1.63%
211932	MILLBROOK CENTRAL SCHOOL DISTRICT	07	01/12/2009	210	4	3	1.43%
211933	VILLAGE OF WEST CARTHAGE	13	01/22/2009	20	1	1	5.00%
211934	RIPLEY CENTRAL SCHOOL DISTRICT	16	01/26/2009	114	5	1	.88%
211935	RAQUETTE LAKE UNION FREE SCHOOL DISTRICT	11	02/02/2009	1	1	0	.00%
211937	NY INSTITUTE FOR SPECIAL EDUCATION	07	02/09/2009	350	19	17	4.86%
211938	NESCONSET FIRE DISTRICT	02	02/09/2008	13	3	3	23.08%
211939	EAST GREENBUSH COMMUNITY LIBRARY	09	02/17/2009	15	7	6	40.00%
211941	TOWN OF CHERRY CREEK	16	03/05/2009	10	2	2	20.00%
211944	BELFAST CENTRAL SCHOOL DISTRICT	16	03/06/2009	45	4	4	8.89%
211945	LAKE PLACID CENTRAL SCHOOL DISTRICT	12	03/20/2009	210	9	9	4.29%
211946	TOWN OF HURLEY	07	03/09/2009	29	3	3	10.34%
211947	MOHAWK VALLEY COMMUNITY COLLEGE	13	03/09/2009	600	10	6	1.00%
211949	ERIE 2-CHAUTAUQUA-CATTARAUGUS BOCES	17	03/16/2009	761	14	9	1.18%
211950	TOWN OF SEWARD	10	03/17/2009	5	5	5	100.00%
211951	PLAINVIEW WATER DISTRICT	01	03/21/2009	20	18	16	80.00%
211952	TOWN OF COLUMBUS	14	03/30/2009	14	2	1	7.14%
211953	BRYANT LIBRARY	01	03/25/2009	70	14	11	15.71%
211954	TOWN OF AMENIA	07	03/30/2009	35	10	6	17.14%
211955	MOUNT VERNON CITY SCHOOL DISTRICT	06	03/30/2009	75	2	2	2.67%
211956	TOWN OF TUSTEN	07	03/30/2009	23	10	8	34.78%
211957	TOWN OF WILLET	14	04/06/2009	5	2	2	40.00%
211958	OTSEGO NORTHERN CATSKILLS BOCES	10	04/14/2009	280	3	3	1.07%
211959	TOWN OF DECATUR	10	04/17/2009	24	2	2	8.33%
211960	TOWN OF MOREAU	08	04/29/2009	8	3	3	37.50%
211962	LINDENHURST UFSD	02	05/04/2009	1,200	14	8	.67%
211963	GREENE COUNTY SWCD	10	05/11/2009	13	5	4	30.77%
211964	NORTH GREENBUSH PUBLIC LIBRARY	09	05/14/2009	7	2	2	28.57%
211965	TOWN OF HASTINGS	13	05/18/2009	30	4	4	13.33%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
211966	THE NYACK LIBRARY	06	05/18/2009	30	4	3	10.00%
211967	FRANKLIN COUNTY SWCD	12	05/18/2009	3	2	2	66.67%
211969	HUNTINGTON PUBLIC LIBRARY	02	06/01/2009	130	18	17	13.08%
211970	MASTICS MORICHES SHIRLEY COMMUNITY LIBRARY	02	06/01/2009	200	9	7	3.50%
211971	POUGHKEEPSIE HOUSING AUTHORITY	07	06/03/2009	45	8	7	15.56%
211972	TOWN OF CATHARINE	14	06/04/2009	2	2	2	100.00%
211973	TOWN OF RODMAN	13	06/05/2009	5	1	1	20.00%
211974	TOWN OF LORRAINE	13	06/08/2009	8	2	2	25.00%
211975	TOWN OF PROVIDENCE	08	06/08/2009	15	1	1	6.67%
211976	TOWN OF ORLEANS	13	06/17/2009	37	3	3	8.11%
211977	TOWN OF NASSAU	09	06/30/2009	25	1	0	.00%
211978	TOWN OF MACOMB	12	06/09/2009	8	1	1	12.50%
211979	TOWN OF BRIGHTON - 211979	12	07/09/2009	25	1	1	4.00%
211980	TOWN OF SANDY CREEK	13	07/10/2009	17	5	4	23.53%
211982	TOWN OF DICKINSON - 211982	12	08/19/2009	6	4	2	33.33%
211983	TOWN OF CHATEAUGAY	12	09/02/2009	10	2	2	20.00%
211984	TOWN OF TUPPER LAKE	12	09/02/2009	14	4	4	28.57%
211985	ORANGE COUNTY COMMUNITY COLLEGE	06	07/14/2009	1,133	24	17	1.50%
211986	TROY ENLARGED CITY SCHOOL DISTRICT	09	07/20/2009	700	4	2	.29%
211988	NORTHERN ONONDAGA PUBLIC LIBRARY	13	08/12/2009	47	6	4	8.51%
211990	TOWN OF HIGHLAND	07	08/17/2009	32	7	6	18.75%
211991	NORTHVILLE CENTRAL SCHOOL DISTRICT	11	08/31/2009	115	3	1	.87%
211992	AMITYVILLE UFSD	02	09/15/2009	5	4	2	40.00%
211993	TOWN OF COLDEN	17	09/21/2009	32	4	3	9.38%
211997	BROOKHAVEN FIRE DISTRICT	02	10/09/2009	5	1	1	20.00%
211999	CITY SCHOOL DISTRICT OF NEW ROCHELLE	06	11/09/2009	1,200	19	11	.92%
212000	VILLAGE OF ROUSES POINT	12	11/09/2009	30	8	8	26.67%
212001	TOWN OF LAKE LUZERNE	08	11/09/2009	39	1	0	.00%
212002	JEFFERSON COMMUNITY COLLEGE	13	11/09/2009	3	3	3	100.00%
212003	ADIRONDACK COMMUNITY COLLEGE	08	11/18/2009	250	7	6	2.40%
212004	TOWN OF SHERBURNE	14	11/18/2009	37	5	4	10.81%
212006	CONNETQUOT CENTRAL SCHOOL DISTRICT	02	12/09/2009	1,800	42	32	1.78%
212008	TUCKAHOE COMMON SCHOOL DISTRICT	02	12/14/2009	90	3	0	.00%
212010	SALMON RIVER CENTRAL SCHOOL	12	01/19/2010	400	2	2	.50%
212011	VILLAGE OF WINDSOR	14	02/03/2010	10	2	2	20.00%
212012	TOMPKINS CORTLAND COMMUNITY COLLEGE	14	01/21/2010	370	8	7	1.89%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
212013	TOWN OF FRANKLIN	12	02/10/2010	25	1	1	4.00%
212014	TOWN OF HEMPSTEAD INDUSTRIAL DEVELOPMENT AGENCY	01	03/20/2010	5	1	1	20.00%
212015	FRANKLIN CENTRAL SCHOOL	10	03/18/2010	70	7	6	8.57%
212016	CHATEAUGAY CENTRAL SCHOOL	12	03/15/2010	130	14	12	9.23%
212017	TOWN OF RICHMOND NY	17	03/09/2010	24	1	1	4.17%
212018	SUFFOLK COUNTY COMMUNITY COLLEGE	02	11/12/2009	3,000	145	99	3.30%
212019	IRVINGTON UFSD	06	12/08/2009	324	5	3	.93%
212020	EAST MORICHES UFSD	02	03/24/2010	100	7	5	5.00%
212021	RIDGE FIRE DISTRICT	02	03/11/2010	37	1	1	2.70%
212022	GOLD COAST PUBLIC LIBRARY	01	03/07/2010	27	1	1	3.70%
212023	FASHION INSTITUTE OF TECHNOLOGY	05	03/24/2010	1,800	60	49	2.72%
212024	VILLAGE OF DUNDEE	14	03/23/2010	10	5	3	30.00%
212026	TOWN OF STARKEY	14	04/08/2010	18	4	3	16.67%
212027	VILLAGE OF HANCOCK	10	04/12/2010	8	4	4	50.00%
212028	TOWN OF MARCY	13	04/15/2010	30	6	4	13.33%
212030	CORNWALL PUBLIC LIBRARY	06	04/20/2010	30	3	3	10.00%
212031	VILLAGE OF CLAYTON	13	04/26/2010	50	4	4	8.00%
212032	BRENTWOOD UFSD	02	04/20/2010	50	14	4	8.00%
212033	VILLAGE OF MONTEBELLO	06	04/21/2010	12	2	2	16.67%
212034	VILLAGE OF NORTH HAVEN	02	04/06/2010	4	4	4	100.00%
212036	VILLAGE OF INTERLAKEN	14	05/13/2010	12	1	1	8.33%
212037	TOWN OF SANTA CLARA	12	04/08/2010	15	2	2	13.33%
212038	SARATOGA COUNTY WATER AUTHORITY	08	05/27/2010	10	3	2	20.00%
212040	INC VILLAGE OF ROCKVILLE CENTRE	01	06/08/2010	375	155	105	28.00%
212041	CITY OF ONEONTA HOUSING AUTHORITY	10	06/15/2010	9	2	2	22.22%
212042	SOUTH ORANGETOWN CENTRAL SCHOOL DISTRICT	06	06/17/2010	338	7	7	2.07%
212043	VILLAGE OF BALLSTON SPA	08	05/10/2010	107	12	9	8.41%
212044	BATAVIA HOUSING AUTHORITY	16	06/17/2010	9	11	5	55.56%
212045	KEENE CENTRAL SCHOOL	12	06/28/2010	48	2	2	4.17%
212046	VILLAGE OF MADISON	14	03/10/2010	18	1	1	5.56%
212047	TOWN OF BERGEN	16	06/22/2010	40	3	2	5.00%
212048	TOWN OF FRANKLIN - 212048	10	04/06/2010	22	4	4	18.18%
212049	NIAGARA CO SOIL & WATER CONS DIST	17	06/24/2010	4	3	3	75.00%
212050	TOWN OF JOHNSTOWN	11	07/07/2010	20	8	7	35.00%
212051	VILLAGE OF SOLVAY	13	07/28/2010	91	11	9	9.89%
212053	VILLAGE OF SOUTH BLOOMING GROVE	16	06/28/2010	9	2	1	11.11%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
212054	SCHENECTADY COUNTY SOIL & WATER CONSERVATION DIST	09	05/19/2010	10	1	0	.00%
212055	YORKTOWN CENTRAL SCHOOL DISTRICT	06	06/21/2010	635	11	10	1.57%
212057	NORTH MERRICK PUBLIC LIBRARY	01	07/20/2010	43	13	11	25.58%
212059	ST LAWRENCE COUNTY INDUSTRIAL DEVELOPMENT AGENCY	12	07/21/2010	1	1	1	100.00%
212060	DOVER UNION FREE SCHOOL DISTRICT	07	07/28/2010	360	2	1	.28%
212061	TOWN OF OAKFIELD	16	08/10/2010	12	6	6	50.00%
212062	VILLAGE OF RICHMONDVILLE	10	08/12/2010	18	6	6	33.33%
212063	TOWN OF WEBB	11	08/09/2010	100	11	10	10.00%
212064	TOWN OF SHELDON	16	07/20/2010	21	3	2	9.52%
212065	MORIAH CENTRAL SCHOOL DISTRICT	12	08/03/2010	150	2	2	1.33%
212066	ESSEX COUNTY INDUSTRIAL DEVELOPMENT AGENCY	12	08/24/2010	2	2	2	100.00%
212069	CITY OF MECHANICVILLE	08	09/17/2010	40	3	3	7.50%
212070	TOWN OF MOHAWK	11	09/13/2010	52	1	1	1.92%
212071	TOWN OF LEON	16	09/17/2010	4	3	3	75.00%
212072	VILLAGE OF RUSHVILLE	14	09/16/2010	4	1	1	25.00%
212073	TOWN OF RICHMONDVILLE	10	09/17/2010	25	2	2	8.00%
212075	SPRINGS UFSD	02	09/13/2010	75	2	0	.00%
212076	CITY UNIV OF NY - NEW COMMUNITY COLLEGE - 462	06	08/26/2011	0	3	3	.00%
212077	TOWN OF LIMA	16	10/07/2010	7	2	2	28.57%
212078	UNION VALE FIRE DISTRICT	07	09/23/2010	1	1	0	.00%
212079	FRANKLIN SQUARE UFSD	01	10/06/2010	240	4	2	.83%
212080	PALMYRA-MACEDON CENTRAL SCHOOL DISTRICT	17	10/12/2010	415	3	1	.24%
212081	TOWN OF SPRINGFIELD	10	10/11/2010	5	2	1	20.00%
212082	TOWN OF MANCHESTER	16	11/22/2010	15	5	5	33.33%
212083	ALBANY COUNTY AIRPORT AUTHORITY	09	11/03/2010	24	19	16	66.67%
212084	TOWN OF BOSTON	17	11/03/2010	40	13	5	12.50%
212085	TOWN OF ALEXANDER	16	11/15/2010	20	1	1	5.00%
212086	BABYLON UFSD	00	11/12/2010	50	2	0	.00%
212089	ALBANY PARKING AUTHORITY	00	11/13/2010	0	9	9	.00%
212090	TOWN OF ALMOND	16	11/01/2010	4	3	3	75.00%
212092	ALBANY PINE BUSH	09	12/16/2010	14	10	9	64.29%
212093	SCHENECTADY COUNTY COMMUNITY COLLEGE	09	11/15/2010	320	5	5	1.56%
212094	DUANESBURG CENTRAL SCHOOL	09	12/21/2010	178	2	1	.56%
212095	DELAWARE CHENANGO MADISON OTSEGO BOCES	10	12/16/2010	413	44	41	9.93%
212096	WOODSTOCK PUBLIC LIBRARY	07	01/20/2011	10	1	1	10.00%
212097	FRANKLINVILLE CENTRAL SCHOOL	17	10/19/2010	200	1	1	.50%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
212098	EAST ROCHESTER UNION FREE SCHOOL DISTRICT	17	10/19/2010	17	1	0	.00%
212100	BOLTON CSD	08	01/10/2011	70	3	2	2.86%
212102	DEPEW UFSD	17	01/18/2011	400	2	1	.25%
212104	TOWN OF SHELTER ISLAND	02	02/08/2011	80	32	25	31.25%
212106	AMAGANSETT UFSD	02	02/08/2011	39	3	3	7.69%
212107	TOWN OF LUMBERLAND	07	02/09/2011	10	3	3	30.00%
212108	VILLAGE OF DEXTER	13	02/15/2011	10	2	2	20.00%
212109	TOWN OF BOMBAY	12	12/08/2010	0	1	0	.00%
212112	VILLAGE OF SHERMAN	16	03/02/2011	5	2	2	40.00%
212113	VILLAGE OF SAVONA	16	03/09/2011	0	1	1	.00%
212114	MADRID-WADDINGTON CSD	12	03/09/2011	0	26	20	.00%
212115	TOWN OF NORTH COLLINS	17	03/09/2011	0	1	1	.00%
212116	VILLAGE OF MILL NECK	01	03/29/2011	10	3	3	30.00%
212117	VILLAGE OF CHESTNUT RIDGE	06	02/17/2011	0	2	0	.00%
212118	SHERBURNE-EARLVILLE CENTRAL SCHOOL DISTRICT	14	03/21/2011	50	4	4	8.00%
212119	BROOKHAVEN COMSEWOGUE UNION FREE SCHOOL DISTRICT	02	04/04/2011	0	12	7	.00%
212121	SOUTH COUNTRY CSD	02	04/08/2011	0	3	3	.00%
212122	TOWN OF BETHANY	16	04/11/2011	0	5	4	.00%
212123	VILLAGE OF MONTGOMERY	06	03/16/2011	2	2	2	100.00%
212127	TOWN OF HURON	17	05/13/2011	33	3	2	6.06%
212128	INDIAN RIVER CSD	13	05/13/2011	631	1	1	.16%
212129	ORLEANS/NIAGARA BOCES	17	05/17/2011	650	9	5	.77%
212130	ALBANY PUBLIC LIBRARY	09	05/18/2011	130	8	8	6.15%
212131	VILLAGE OF MAYBROOK	06	05/20/2011	20	10	9	45.00%
212132	HIGHLAND FIRE DISTRICT	07	05/26/2011	3	3	3	100.00%
212133	VILLAGE OF HEAD OF THE HARBOR	02	06/15/2011	0	3	3	.00%
212134	TOWN OF SENECA FALLS	14	06/16/2011	0	25	18	.00%
212135	ELWOOD UFSD	02	06/22/2011	0	1	0	.00%
212137	INC VILLAGE OF PLANDOME	01	06/24/2011	0	3	3	.00%
212138	CATSKILL PUBLIC LIBRARY	10	08/24/2011	15	1	1	6.67%
212140	TOWN OF CARLISLE	10	07/06/2011	30	1	0	.00%
212141	COLUMBIA COUNTY SOIL AND WATER CONV DIST	07	07/12/2011	4	4	4	100.00%
212142	LYONS CENTRAL SCHOOL DISTRICT	17	07/11/2011	200	1	1	.50%
212143	TOWN OF SHERMAN	16	07/19/2011	23	1	0	.00%
212144	TOWN OF WALWORTH	17	07/18/2011	0	8	3	.00%
212145	ROCHESTER JOINT SCHOOLS CONSTRUCTION BOARD	17	07/21/2011	0	1	1	.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
212146	VILLAGE OF MORRISTOWN	12	07/21/2011	0	1	1	.00%
212147	NORTH SYARCUSE CENTRAL SCHOOLS	13	08/01/2011	1,400	13	12	.86%
212149	TOWN OF DEERFIELD -NY	13	08/03/2011	59	9	6	10.17%
212150	TOWN OF DEERPARK	06	05/16/2011	50	10	10	20.00%
212151	MCGRAW CSD	14	08/03/2011	105	4	3	2.86%
212152	WHITEHALL CSD	08	08/15/2011	210	6	5	2.38%
212153	TOWN OF TIOGA	14	08/24/2011	28	6	4	14.29%
212155	VILLAGE OF RHINEBECK	07	08/09/2011	41	4	4	9.76%
212156	VILLAGE OF WILSON	17	08/19/2011	0	3	3	.00%
212157	VILLAGE OF LYONS FALLS	13	07/25/2011	5	4	4	80.00%
212158	SANITARY DISTRICT NO 7	01	09/01/2011	16	11	8	50.00%
212159	SHOREHAM-WADING RIVER CSD	02	06/21/2011	1	1	1	100.00%
212160	TOWN OF PETERSBURGH	04	08/15/2011	4	4	4	100.00%
212162	AMSTERDAM CITY SCHOOL DISTRICT	11	08/24/2011	475	7	7	1.47%
212163	TOWN OF LONG LAKE	11	07/13/2011	42	9	8	19.05%
212164	SEAFORD PUBLIC LIBRARY	01	09/13/2011	31	2	2	6.45%
212165	VILLAGE OF HOBART	10	09/19/2011	10	1	0	.00%
212166	TOWN OF PALATINE	11	09/21/2011	0	3	3	.00%
212167	GOWANDA CSD	17	09/22/2011	273	1	1	.37%
212168	TOWN OF STARK	11	09/29/2011	6	5	4	66.67%
212170	TOWN OF MOUNT HOPE	06	09/26/2011	55	8	8	14.55%
212171	TOWN OF SCHUYLER	11	09/26/2011	8	1	1	12.50%
212172	TOWN OF RICHFORD	14	07/12/2011	4	2	0	.00%
212173	SMITHTOWN CENTRAL SCHOOL DISTRICT	02	10/11/2011	25	1	0	.00%
212174	NORWOOD-NORFOLK CENTRAL SCHOOL	12	10/18/2011	185	2	2	1.08%
212175	BERLIN CENTRAL SCHOOL DISTRICT	09	09/20/2011	30	1	1	3.33%
212176	VILLAGE OF FISHKILL	07	10/24/2011	50	6	6	12.00%
212177	FILLMORE CENTRAL SCHOOL	00	10/28/2011	0	6	5	.00%
212179	WALWORTH-SEELY PUBLIC LIBRARY	17	10/13/2011	10	1	0	.00%
212180	ETHELBERT B CRAWFORD PUBLIC LIBRARY	07	10/20/2011	0	7	7	.00%
212182	TOWN OF CANTON NY	12	11/14/2011	28	7	5	17.86%
212183	VILLAGE OF WESLEY HILLS	06	11/08/2011	15	3	3	20.00%
212184	TOWN OF WILNA HOUSING AUTHORITY	13	11/15/2011	0	2	2	.00%
212185	MENANDS UNION FREE SCHOOL DISTRICT	09	11/14/2011	0	2	1	.00%
212186	VILLAGE OF ROUND LAKE	08	11/16/2011	5	5	3	60.00%
212187	VILLAGE OF PATCHOGUE	02	11/11/2011	0	28	25	.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
212188	TOWN OF MARCELLUS	13	11/10/2011	0	1	1	.00%
212189	TOWN OF STILLWATER	08	12/15/2011	25	7	5	20.00%
212190	VILLAGE OF STAMFORD	10	12/20/2011	5	2	2	40.00%
212191	TOWN OF SOUTHAMPTON	02	11/09/2011	493	381	235	47.67%
212192	TOWN OF RED HOOK	07	10/26/2011	25	7	7	28.00%
212193	TOWN OF CROGHAN	13	11/21/2011	10	4	4	40.00%
212195	OGDENSBURG CITY SCHOOL DISTRICT	12	12/19/2011	325	25	20	6.15%
212196	VALLEY STREAM UFSD THIRTEEN	01	01/06/2012	1	1	1	100.00%
212197	MORRISTOWN CENTRAL SCHOOL DISTRICT	00	12/21/2011	69	2	2	2.90%
212198	VILLAGE OF HAMILTON	14	01/10/2012	58	9	7	12.07%
212200	TOWN OF CALEDONIA	16	01/12/2012	10	5	5	50.00%
212201	MOUNT SINAI FIRE DISTRICT	02	08/18/2009	2	1	1	50.00%
212202	HAUPPAUGE PUBLIC LIBRARY	02	01/19/2012	52	6	5	9.62%
212203	HERMON_DEKALB CENTRAL SCHOOL DISTRICT	12	02/06/2012	82	3	3	3.66%
212205	TOWN OF LYSANDER	13	02/13/2012	35	15	12	34.29%
212206	VILLAGE OF LAKEWOOD	16	02/27/2012	15	6	3	20.00%
212207	MECHANICVILLE CITY SCHOOL DISTRICT	08	02/16/2012	225	3	3	1.33%
212208	TOWN OF WILLSBORO	12	03/14/2012	25	7	5	20.00%
212209	TOWN OF RICHFIELD	10	02/20/2012	24	4	4	16.67%
212211	TOWN OF NORTH HARMONY	16	03/12/2012	15	1	0	.00%
212212	MENANDS PUBLIC LIBRARY	09	03/26/2012	3	2	2	66.67%
212214	TOWN OF WHITEHALL NY	08	03/14/2012	38	4	3	7.89%
212215	TOWN OF LAFAYETTE	13	03/12/2012	85	3	3	3.53%
212216	TOWN OF WORCESTER	10	03/26/2012	15	2	2	13.33%
212217	VILLAGE OF TUPPER LAKE	12	05/16/2012	43	17	13	30.23%
212218	VILLAGE OF NUNDA	16	04/09/2012	7	4	4	57.14%
212219	MAMARONECK PUBLIC LIBRARY DISTRICT	06	04/25/2012	10	1	1	10.00%
212220	WAYNE-FINGER LAKE BOCES	17	05/07/2012	850	2	2	.24%
212221	AKRON CENTRAL SCHOOL DISTRICT	17	05/08/2012	270	3	2	.74%
212223	BETHLEHEM PUBLIC LIBRARY	09	05/14/2012	74	6	5	6.76%
212224	PENN YAN PUBLIC LIBRARY	14	05/17/2012	14	1	1	7.14%
212225	WEST SAYVILLE-OAKDALE FIRE DIST	02	05/13/2012	6	4	4	66.67%
212226	CITY OF BINGHAMTON	14	06/22/2012	900	395	263	29.22%
212227	VILLAGE OF WARWICK	06	06/18/2012	31	3	3	9.68%
212228	SANITARY DIST NO 6 TOWN OF HEMPSTEAD	01	06/13/2012	150	107	85	56.67%
212229	DOBBS FERRY UNION FREE SCHOOL DISTRICT	06	06/21/2012	260	7	7	2.69%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
212230	TOWN OF OHIO	14	06/12/2012	27	2	2	7.41%
212231	RUSH-HENRIETTA CENTRAL SCHOOL DISTRICT	17	06/26/2012	100	1	1	1.00%
212232	BINGHAMTON-JOHNSON CITY JOINT SEWAGE BOARD	00	07/10/2012	46	27	18	39.13%
212234	NEW HYDE PARK-GARDEN CITY PARK UFSD	02	08/13/2012	6	3	2	33.33%
212235	WOODSTOCK FIRE DISTRICT	07	08/20/2012	16	2	2	12.50%
212237	TOWN OF STONY CREEK	8	09/04/2012	60	1	1	1.67%
212239	VILLAGE OF ILION HOUSING AUTHORITY	11	09/12/2012	20	1	0	.00%
212241	SAGAPONACK COMMON SCHOOL	2	09/04/2012	17	1	0	.00%
212242	INCORPORATED VILLAGE OF MUTTONTOWN	2	09/24/2012	18	13	13	72.22%
212243	VILLAGE OF SOUTH DAYTON	16	09/12/2012	2	1	1	50.00%
212246	AUBURN ENLARGED CITY SCHOOL DISTRICT	13	09/28/2012	695	9	9	1.29%
212247	TOWN OF ISLIP	2	10/04/2012	1,000	537	289	28.90%
212250	TOWN OF UNADILLA	10	10/02/2012	26	3	3	11.54%
212254	TOWN OF SCIPIO	13	11/12/2012	4	1	1	25.00%
212258	WALLKILL PUBLIC LIBRARY	7	12/03/2012	10	1	1	10.00%
212259	CLIFTON-FINE CENTRAL SCHOOL DISTRICT	12	12/17/2012	68	7	7	10.29%
212260	TOWN OF IRA	13	12/05/2012	7	1	1	14.29%
212261	VILLAGE OF SLOAN	17	12/26/2012	10	3	3	30.00%
212262	TOWN OF LOCKE	NA	12/27/2012	14	2	2	14.29%
212263	TOWN OF GENOA	13	01/09/2013	4	4	4	100.00%
212264	CITY OF MIDDLETOWN	6	01/15/2013	150	194	115	76.67%
212265	BAYPORT FIRE DISTRICT	2	01/07/2013	2	2	2	100.00%
212267	ISLIP PUBLIC LIBRARY	2	01/17/2013	70	16	16	22.86%
212268	TOWN OF LEICESTER NY	16	02/19/2013	15	1	1	6.67%
212269	VILLAGE OF DEPOSIT	14	02/25/2013	13	1	1	7.69%
212270	TOWN OF FENTON	14	02/27/2013	34	3	3	8.82%
212273	BAYPORT-BLUE POINT SCHOOL DISTRICT	2	04/09/2013	2	6	0	.00%
212274	PHELPS-CLIFTON SPRINGS CENTRAL SCHOOL DISTRICT	16	03/27/2013	160	1	1	.63%
212276	LAKE RONKONKOMA FIRE DISTRICT	02	04/01/2013	10	3	3	30.00%
212277	TOWN OF LEXINGTON	10	04/02/2013	10	3	3	30.00%
212279	TOWN OF COLESVILLE	14	04/04/2013	28	7	7	25.00%
212281	ONONDAGA CENTRAL SCHOOL DISTRICT	13	04/22/2013	160	5	5	3.13%
212282	TOWN OF AURELIUS	13	05/09/2013	20	1	1	5.00%
212283	NORTH BELLMORE UNION FREE SCHOOL DISTRICT	0		0	6	6	.00%
212285	TOWN OF MONROE	06	04/29/2013	40	11	10	25.00%
212287	TOWN OF AVON	0		0	1	1	.00%

Local Employers (Continued)

Employer Number	Employer Name/Agency ID	Territory Number	Adoption Date	# Eligible	# Participants w/ Balance	# Contributing	% Contributing
212288	TOWN OF HARMONY	0		0	4	2	.00%
212289	VILLAGE OF LEICESTER	0		0	1	1	.00%
212291	VILLAGE OF CANTON	0		0	22	14	.00%
212292	PIERMONT PUBLIC LIBRARY	0		0	2	2	.00%
212294	ESSEX COUNTY NY	0		0	274	161	.00%
212295	TOWN OF EAST HAMPTON	0		0	137	81	.00%
212298	ITHACA HOUSING AUTHORITY	0		0	6	6	.00%
212299	MONROE #1 BOCES	0		0	3	3	.00%
212300	WEST ISLIP FIRE DISTRICT	0		0	6	6	.00%
212304	PATCHOGUE-MEDFORD LIBRARY	0		0	1	1	.00%
212305	PARISHVILLE -HOPKINTON CENTRAL SCHOOL	0		0	1	0	.00%
212306	VILLAGE OF CANTON HOUSING AUTHORITY	0		0	3	2	.00%
311460	FISHERS FIRE DISTRICT - 311460	01	08/06/2003	3	1	1	33.33%
411001	ROSLYN WATER DISTRICT	01	05/07/2003	18	3	3	16.67%
411128	TOWN OF MALONE	01	06/26/2002	5	1	1	20.00%
TOTAL				265,513	69,004	43,151	

Attachment B

**NEW YORK STATE DEFERRED
COMPENSATION BOARD**
Albany, New York

NATIONWIDE PERFORMANCE CRITERIA REPORT
March 31, 2013

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**Accountant's Report on Applying
Agreed Upon Procedures**

Members of the Board
New York State Deferred Compensation Board
Albany, New York

We have performed the procedures outlined in the attached *Nationwide Performance Criteria Report*, which were agreed to by New York State Deferred Compensation Board (Board), solely to assist you in evaluating the performance standards described in the Administrative Service Agreement between the New York State Deferred Compensation Board and Nationwide Retirement Solutions (NRS), the Administrative Services Agency (ASA) for the twelve month period ended March 31, 2013. This agreed-upon procedures engagement was performed in accordance with standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of the specified users of the report. Consequently, we make no representation regarding the sufficiency of the procedures either for the purpose for which this report has been requested or for any other purpose.

Our findings are enumerated in the Results column of the *Nationwide Performance Criteria Report*.

We were not engaged to and did not conduct an examination, the objective of which would be the expression of an opinion on Nationwide's compliance with the performance criteria outlined in *Nationwide Performance Criteria Report*. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the Board, and is not intended to be and should not be used by anyone other than this specified party.

CliftonLarsonAllen LLP

Baltimore, Maryland
September 4, 2013

Nationwide PERFORMANCE CRITERIA Testing Results

For the Twelve Month Period Ending March 31, 2013

	<i>Nationwide Performance Criteria</i>	<i>Period/ Penalty</i>	<i>Calculated Amount April 1, 2012 to March 31, 2013</i>	<i>Agreed Upon Procedures</i>	<i>Results</i>
1	Account Executives shall hold, in the aggregate, an average of ten significant outreach meetings per month with Eligible Jurisdictions that are not Participating Employers. A meeting shall be deemed "significant" if it involves a presentation by the Account Executives to Officers or other representative of the Eligible Jurisdiction in a position to influence the decision to become a Participating Employer, or to a group of employees of the Eligible Jurisdiction (including an initial meeting to present the protocol describing the benefits of the Plan to new employers) at a meeting that was scheduled in advance.	Contract Year/\$50,000	\$0	<p>For all months of the contract year obtained and reviewed monthly summary reports that include statistics on account executives outreach activities. Determined if the number of reported outreach meetings met the specified performance criteria of an average of 10 per month.</p> <p>Verified that meetings were with eligible jurisdictions that were not participating employers, haphazardly selected 25 meetings and checked the name of the employer against the list of participating employers during that month.</p> <p>Determined if the meeting met the definition of "significant," reviewed meeting descriptions in the monthly reports and supplemental meeting information provided by the Plan Administrator.</p>	<p>No exceptions noted</p> <p>No exceptions noted</p> <p>No exceptions noted</p>
2	Account Executives, in the aggregate, shall meet directly (either individually or in-group meetings) with an average of 5,000 participants per month.	Contract Year/\$50,000	\$0	Reviewed monthly summary reports that identified the number of participants seen by month and determined if these in aggregate average at least 5,000 per month.	No exceptions noted

Nationwide PERFORMANCE CRITERIA Testing Results

For the Twelve Month Period Ending March 31, 2013

	<i>Nationwide Performance Criteria</i>	<i>Period/ Penalty</i>	<i>Calculated Amount April 1, 2012 to March 31, 2013</i>	<i>Agreed Upon Procedures</i>	<i>Results</i>
				For each account executive, selected a month during the contract year and obtained individual weekly activity reports for that executive/month. Verified the numbers reported in the Monthly Summary Report for that executive/month to the weekly activity reports.	No exceptions noted
3	In the event that the employment of an Account Executive terminates for any reason, ASA shall hire and fully train a replacement Account Executive (who meets the requirements of the 2 nd , 3 rd and 4 th paragraphs of the Certification and Training Requirements (as set forth in the Agreement)) to begin his or her duties in the field within 120 days following the date of such termination. The licensing requirements set forth in the 1 st paragraph of the Certification and Training Requirements must be met within 120 days following the date of employment of such Account Executive.	Contract Year in which falls the last day of the 120-day replacement period/ \$75,000.	\$0	For each termination where the last day of the 120 day replacement period falls within the audited contract year, obtained documentation of the date of termination and the date the replacement was hired and fully trained to begin his/her duties in the field. For the three replacements determined if the latter date was within the 120 day replacement period specified in the contract, and that all licensing requirements were met.	No exceptions noted
4	Accounts shall be reconciled and updated by fund for all income, gains, losses, withdrawals, distributions and loans each business day.	Daily/\$1,000	\$0	<u>Preliminary testing (pre-conversion):</u> Haphazardly selected ten business days obtain: (1) NY Daily Receipts Reports; (2) Daily Trading Matrices; and (3) Cash flow summaries. For each of the ten (10) Business Days	No exceptions noted

Nationwide PERFORMANCE CRITERIA Testing Results

For the Twelve Month Period Ending March 31, 2013

	<i>Nationwide Performance Criteria</i>	<i>Period/ Penalty</i>	<i>Calculated Amount April 1, 2012 to March 31, 2013</i>	<i>Agreed Upon Procedures</i>	<i>Results</i>
				<p>haphazardly select three to four different funds and obtain the: (1) Daily Summary Transaction File; (2) Participant Accumulation File Summary from the Participant Accounting System; (3) Daily Reconciliation Summary; and (4) Daily Fund Reconciliation Sheets.</p> <p>Agreed total collections on the NY Daily Receipts report with collections on the Daily Cash Flow Summary. Agreed Contributions, Loan Repayments, Payouts, Loans, and Charges on the Cash Flow Summary with amounts shown on the Daily Trading Matrix.</p> <p>For each of the days/funds selected, agreed transactions shown on the Daily Trading Matrix with transactions shown in the Daily Summary Transaction File. Agreed share balances shown in the Daily Transaction File and the Participant Accumulation File Summary from the Participant Accounting System with Balances shown on the Daily Reconciliation Summary. Agreed balances and differences shown on</p>	

Nationwide PERFORMANCE CRITERIA Testing Results

For the Twelve Month Period Ending March 31, 2013

	<i>Nationwide Performance Criteria</i>	<i>Period/ Penalty</i>	<i>Calculated Amount April 1, 2012 to March 31, 2013</i>	<i>Agreed Upon Procedures</i>	<i>Results</i>
				<p>the Daily Reconciliation Summary with individual reconciliation sheets for the days/funds reviewed and verify all differences were reconciled.</p> <p><u>Final testing (post-conversion):</u> Haphazardly selected ten business days and obtain: (1) Financial Transaction Report; (2) Buy Sell Matrix; and (3) VIDS5061 Report (4) Monthly SONY ATM. For each of the ten (10) Business Days haphazardly select three to four different funds.</p> <p>Agreed total transactions (Contributions, Loan Repayments, Payouts, Loans, and Charges) on the daily Financial Transaction Report with the daily Buy Sell Matrix.</p> <p>For each of the days/funds selected, agreed the ending balance on the Buy Sell Matrix with the DCdirect balance on the VIDS5061 Report, which represents the total investment in the fund by the participants. Noted agreement between the DCdirect balance on the VIDS5061</p>	

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				Report and the investment balance per the Fund House. Noted that any differences on the VIDS5061 Report between the DCdirect balance and the Fund House balance were carried forward to the Monthly SONY ATM report which reconciles the investment balance between DCdirect and the Fund House, if necessary.	
5	<p>ASA shall direct the Trustee and the Financial Organizations with respect to allocations of Plan assets between and among Investment Options in a manner calculated to assure same-day transfers between and among Investment Options.</p> <p>The performance criteria regarding allocations of Plan assets between and among Investment Options in a manner calculated to assure same-day transfers between and among Investment Options may be evaluated in conjunction with the similar agreed upon procedures report.</p>	Daily/\$1,000	\$0	<p>Haphazardly selected 50 allocation changes from the data files provided by the ASA made in the year ended March 31, 2013 and performed the following:</p> <ul style="list-style-type: none"> • Observed electronically that the change was a result of a request initiated over the internet, VRU, by written request, or through the customer helpline service. • Observed whether the changes were made within the time frame agreed to with the ASA (the same business day unless the request is received after 4 pm). 	<p>No exceptions noted.</p> <p>No exceptions noted.</p>
6	ASA shall be required to test its business	Contract	\$0	Obtained and reviewed Business	No exceptions noted.

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	recovery plan and business recovery center once a year, pursuant to the criteria in the Agreement. ASA shall maintain the back-up storage capabilities specified in the Agreement.	Year/\$25,000 Daily/\$1,000		<p>Recovery Plan with individual sections pertaining to NRS Parkwood Recovery Plan, ASA AS/400 Recovery Plan, Voice Recovery Plan, and Web Recovery Plan. Obtained and reviewed ASA's State of NY Recovery Plan.</p> <p>Obtained exercise summaries that documented the date and completion of the following:</p> <ul style="list-style-type: none"> • Business Recovery Exercise for ASA – SONY • Business Recovery Exercise for ASA – Parkwood • Open System Exercises for ASA – AS400 Disaster Recovery Test • iMedia Web Application Exercise (Website Disaster Recovery Test) • iMedia Voice Response Unit Recovery Test • iMedia Databases Client Server Test <p>Prior to the October 2012 system conversion, transaction backups were performed daily and tapes shipped offsite. Full system backups were</p>	

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				<p>performed weekly and tapes were also shipped offsite. Documentation for both was in the form of daily Offsite On reports.</p> <p>After the conversion this process changed and a full system/transaction backup is performed daily and a backup database located at a remote data center is updated electronically. This negates the need for a weekly system backup. Documentation is in the form of system run logs.</p> <p><i>Interim testing used documentation before conversion; year end testing used post conversion documentation.</i></p> <p><u>For interim testing</u> obtained daily NDC Offsite On reports for a haphazard selection of 15 days to determine if daily transaction backups had been performed. Obtained week ending NDC Offsite On reports for 5 weeks that were haphazardly selected and determined if full system to tape backups were performed weekly and shipped offsite.</p>	No exceptions noted.

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				For year end testing obtained daily run logs for haphazard selection of 10 days and another haphazard selection of 5 weeks and determined is full backups were performed.	No exceptions noted.
7	<p>ASA shall process all benefit payment applications it receives in such a manner to provide that:</p> <ul style="list-style-type: none"> i. All complete initial benefit payment applications and complete benefit payment change applications received in Good Order shall be entered into the Automated System within two business days of receipt. <p>The performance criteria regarding the requirement that all complete initial benefit payment applications and complete benefit payment change applications received in Good Order shall be entered into the Automated System within two business days of receipt may be evaluated in conjunction with the similar agreed upon procedures report.</p> <ul style="list-style-type: none"> ii. For each incomplete benefit payment application, notify such participant that the application was incomplete and inform the participant, in writing, of all 	Daily/\$100 per application or a \$5,000 per participant maximum	\$40,200	<p>Haphazardly selected 45 initial benefit payments applications received in good order during the contract year the date the application was received by the ASA was compared to the date the request was entered into the System to ensure that the request was input within 2 business days.</p> <p>Haphazardly selected 30 incomplete benefit payment applications received during the contract year, compare the date each incomplete application was received with the date the participant was notified that the application was incomplete. Verified that notification was sent to the Participant within three business days and identified all missing information needed to make the application complete.</p>	<p>No exceptions noted.</p> <p>Finding. In 8 cases participant not notified of incomplete application within required 3 business days. Resulted in 62 penalty days and an associated penalty of \$6,200.</p> <p>Finding. In 16 cases</p>

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	<p>deficiencies in the application within three business days after receipt.</p> <p>iii. For each benefit payment application that requests installment payments or commencement date that is more than thirty days following such request, ASA shall mail a written confirmation to the Participant that their completed benefit application was received and has been processed within five business days.</p> <p>(The mailing logs maintained by the ASA originating department are sufficient to confirm that the materials were mailed.)</p>			<p>Haphazardly selected 30 benefit payment applications received during the contract year that requested installment payments or a commencement date that is more than thirty days following such request, compare the date each application was received with the date the participant was mailed a written confirmation that their completed benefit payment application was received. Verified that the confirmation was sent within five business days of receipt.</p>	<p>documents provided indicated participants not notified within required 5 business days. Where all documents were provided the number of days exceeding the required 5 ranged from 1 to 56. In 3 cases no documentation could be provided indicating participant had been notified. In those cases the maximum \$5,000 penalty was assessed. In 3 cases confirmation letter was not available, documented date payment was made considered date notified. Assessed penalty for year \$34,000.</p>
8	<p>The ASA shall operate the Telephonic Counseling Service in such a manner to provide that:</p> <p>i. eighty percent of all incoming calls to the Telephonic Counseling Service in any month will be answered in twenty seconds or less;</p> <p>ii. all incoming calls will be answered by</p>	Monthly/ \$25,000	\$100,000	<p>Obtained the daily Interval 2 Combined Split Reports for all months of the contract year and determined:</p> <ul style="list-style-type: none"> • if eighty percent of all incoming calls to the Telephonic 	<p>Finding. Performance standards not met as follows. Penalties assessed for the months of October, November, and December 2012, and January 2013.</p> <p>Percent answered in 20 seconds or less:</p>

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	<p>an average of twenty five seconds or less;</p> <p>iii. the Abandoned Call rate (calls beyond twenty seconds that are abandoned by total calls [offered]) does not exceed three percent; and</p> <p>iv. The Telephonic Counseling Service shall at all times during the month be available to Participants during hours specified in Section 4(d)(iii) of the Agreement, unless the Board specifies in writing different hours of availability.</p>			<p>Counseling Service in any month had been answered in twenty seconds or less;</p> <ul style="list-style-type: none"> • if all incoming calls in any month had been answered by an average of twenty five seconds or less; and • if the Abandoned Call rate in any month exceeded three percent. <p>For each month, obtained the Daily Agent Login/Logout (Skill) – NY Customer Service Reports and determined if agents were logged in during the hours the call center was supposed to be operational. In the event the agent logging reporting system is offline obtain other documentation that the call was open during required hours of operation. As necessary review the daily Interval 2 Combined Skill Reports for period’s agents were not logged in and determine if calls were received/answered during these periods.</p>	<p>Oct. 2012: 51.68% Nov. 2012: 47.88% Dec. 2012: 76.75% Jan. 2013: 78.04%</p> <p>Average time to answer: Oct. 2012: 120 seconds Nov. 2012: 111 seconds Jan. 2013: 29 seconds</p> <p>Abandoned call rate: Oct. 2012: 11.02% Nov. 2012: 9.19%</p> <p>Finding. NRS provided documentation indicated that on 10/4/2012 the earliest agent log in was at 8:09 AM. Since no agents were available to take calls beginning at 8:00 AM this is considered a finding and the specified penalty assessed for the month of October 2012.</p>

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				Obtained emails documenting that any instances of early call center shutdowns were authorized.	No exceptions noted.
9	<p>ASA shall operate the Voice Recognition System (VRS) in such a manner to provide that:</p> <ul style="list-style-type: none"> i. ninety-nine percent of all incoming calls will be answered within 4.25 seconds or less; and ii. the VRS shall at all times during a month be available to Participants during the hours specified in 4(f)(ii) of the Agreement, with “reasonable periods of unavailability” and the exception of scheduled maintenance as set forth in the Management Plan; provided that such scheduled maintenance shall not exceed more than two hours of downtime per week and occurs during periods of low utilization of the VRS. 	Monthly/ \$25,000	\$25,000	<p>Obtained ASA Report of daily average VRS answer times for the contract year and determined if ninety-nine percent of all incoming calls were answered within 4.25 seconds or less.</p> <p>Obtained the VRS Availability Report for four haphazardly selected months (June, September, November 2012 and February 2013) during the contract year and determined if the VRS was at all times available to participants during specified hours with the exception of scheduled maintenance.</p>	<p>No exceptions noted.</p> <p>Finding. On Monday September 24 VRS had a 106 minute outage from 9:11 AM to 10:57 AM. This was not scheduled maintenance. Penalty assessed for the month of September.</p>
10	<p>ASA shall operate the Plan Web Site in such a manner to provide that:</p> <ul style="list-style-type: none"> i. once a command is received, the Plan Web Site will initiate a response to queries (other than Account Transactions) in the shortest amount of time reasonably possible; 	Monthly/ \$25,000	\$50,000	For the months of May, August & December 2012, and February 2013 obtained the Plan Web Site Availability/ Performance Reports and determined that once a command is received, the Plan Web Site will initiate a response to queries in the	No exceptions noted.

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	<p>ii. the Plan Web Site shall at all times during a month be available to Participants during the hours specified in Section 4(g)(iii) of the Agreement, with “reasonable periods of unavailability” and the exception of scheduled maintenance as set forth in the Management Plan; provided that such scheduled maintenance shall not exceed more than ten hours of downtime per month and occurs during periods of low utilization of the Plan Web Site, unless the Board agrees in writing to lesser availability for the purpose of system enhancements;</p> <p>ii. the Plan Web Site shall contain the most current version of the Plan Resources and the Plan Information; and</p> <p>iv. a link with the Directed Broker to the Plan Web Site shall be maintained at all times the Plan Web Site is available, <i>provided, however</i>, Nationwide shall not be responsible for any interruptions caused by the Directed Broker.</p>			<p>shortest amount of time reasonably possible.</p> <p>Monitoring is done on several components of the Plan Web Site to verify the site is up and the ability of participants to perform various functions. Monitoring data was reviewed in the following area: (1) Home page, (2) Account Balance, (3) Exchange, (4) Fund Detail, and (5) Deferral Change. Several of these functions are dependent on others being available, i.e., if Home Page is not available, then none of the other functions will be available, if Account Balance is not available then Exchange, Fund Detail and Deferral Change will not be available.</p> <p>For May, August, & December 2012, and February 2013 obtained Plan Web Site availability/performance reports and determined that all Plan Web Site functions were available to participants at all times during the month with the exception of reasonable periods of unavailability</p>	<p>Finding: For 6 days (5/6, 8/5, 8/19, 8/26, 12/27, & 2/1) downtime exceeded a “reasonable amount of time” as specified in the criteria.</p> <p>Homepage: Downtimes of 4 hrs 26 minutes on 8/5 and 2 hrs 28 minutes on 8/26 were due to a disaster recovery exercise involving moving the data bases from the north data site to the south data site on 8/5, and back to the north data site on 8/26. Both were between 12:00 and 4:30 AM on Sunday mornings. Downtime on 8/19 was due to maintenance and also occurred on Sunday morning from 1:20 to 8:39 AM. Intermittent downtimes on 2/1/13 totaling about 90 minutes between 6:40 and 9:00 AM</p>

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				and scheduled maintenance.	<p>did not appear to be scheduled maintenance and will be considered an exception.</p> <p>Deferral Change: On Sunday 5/6 provided reports indicated a downtime of 11 hrs 54 minutes from 1:30 AM to 1:24 PM. This was a test script issue where the tests were not run, the deferral change functionality was not impaired and this is not considered a finding. On Thursday 12/27 provided reports indicated intermittent outages of 1 hour 30 minutes between 5:50 and 9:15 AM impacting participants ability to log in. This does not appear to be maintenance and will be considered an exception.</p> <p>Exceptions will be carried forward for the outages on 12/27/2012 and 2/1/2013 and a penalty of \$25,000 per</p>

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				<p>For 15 haphazardly selected days in the first half of the contract year, obtained NDC INTRNT/DWBLD Job Run Times and determined that the information in the data warehouse used by the Plan Web Site was updated.</p> <p>For the second half of the contract year the data warehouse is no longer used and data used by the planned web site is pulled directly from the system production database. The update is to update balances using the prior day's fund prices. This is not specific to NYSDCP as in the past; this is an overall update to the production database used by all plans managed by NRS. Ten days for the second half of the contract year were selected and documentation provided that updates were run.</p> <p>For all months during the contract year obtained ASA's Directed Broker (Schwab) web site monitoring reports. Determine that the Directed Broker's website was available during all periods with no more than</p>	<p>month assessed.</p> <p>No exceptions noted.</p> <p>Finding: During the contract year the Directed Broker's website was unavailable for more than 30 minutes in a 24 hour period on two occasions.</p>

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				<p>30 minutes downtime within a 24 hour period with the exception of scheduled maintenance.</p> <p>Through discussion with Nationwide personnel confirm that there is no direct link between the NYSDCP and Schwab websites; and that all communication between them is through the internet. Determine that monitoring of the Schwab site is not done through the NYSDCP site. As a result NYSDCP's site availability cannot directly affect the availability of the Schwab site, nor can it affect ASA monitoring results.</p>	<p>On April 10, 2012 it was unavailable for 90 minutes between 12:00 and 6:00 AM; on February 23, 2013 it was unavailable for 90 minutes between 12:00 and 6:00 AM. However; since it was determined there is no direct link between the Nationwide and Schwab web sites, we have concluded these periods of unavailability were not caused by Nationwide and no penalty was assessed.</p> <p>No exceptions noted.</p>

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11	All account statements shall contain all information required by the Agreement and, in accordance with the Board or Executive Director, be formatted in a manner reasonably intended to meet such requirements. A mailing containing the account statement and the Newsletter shall be mailed in one packet no later than fifteen business days following the end of a Plan Quarter.	Plan Quarter/ \$50,000 and an additional \$10,000 for each extra day of delay.	\$0	<p>For twenty-five participants haphazardly selected among the four quarters of the contract year, obtained quarterly individual account statements and determined if they met the information content and format requirements contained in the agreement.</p> <p>For two quarters, obtained the Quarter End Finish Quality Checklist and determine if the audit content check of the mailing verified that proper inserts were included.</p> <p>For two quarters haphazardly selected obtained information from the automated system on the number of statements that should be mailed and agree with the number of statement mailings per the individual job tickets.</p> <p>For two quarters haphazardly selected obtained sworn affidavit of mailing date to verify that statement mailings were accomplished within fifteen business days following the</p>	No exceptions noted.

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				end of the quarter.	
12	Any failure to meet any response deadlines as required by the Agreement, including, without limitation, mailing of enrollment kits and Plan Forms, mailing of written confirmation of each Account Transaction, and responding to written inquires from Participants; <i>provided, however</i> , that no penalty will be assessed for individual violations of such response deadlines unless the Board determines in good faith that such failure is the result of a systemic failure to meet or repeated pattern to meet the response deadlines.	Daily/\$100 per participant for each day of delay or a \$10,000 per day maximum	\$30,800	<p>Haphazardly selected twenty business days during the contract year, obtain spreadsheet showing mailed enrollment kits. For each of the days included in the mailings, obtain emails, phone log entries, or telephone transcripts documenting when the requests were made and determine if all requests were included on the spreadsheet. Obtain copy of postmarked envelope ASA sends to itself with each mailing to determine if enrollment kits were mailed within ten business days of the request.</p> <p>Haphazardly selected twenty business days during the contract year, obtained log showing date requested plan forms were mailed. Agreed print count as shown on copies of letters mailed with count shown on control log. Compared postmark date of envelope ASA mails itself with date of request and determine if the forms were mailed to participants within three business days. When an envelope cannot be</p>	<p>No exceptions noted.</p> <p>No exceptions noted.</p>

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				<p>provided, researched names and forms requested and use the earliest date on a returned form as the mail date.</p> <p>Haphazardly selected twenty business days during the contract year, obtain the New York Daily Confirmation Log and determined if written confirmation of transactions were mailed to participants within three business days.</p> <p>Reviewed documentation on the written correspondence received by the Troy, NY and Dublin, OH ASA offices. Determined if these were responded to within five business days unless significant research was involved in the response.</p>	<p>No exceptions noted.</p> <p>Finding. In 7 of the eight written communications handled by NRS, specified criteria for response were not met. In one case the response letter was in 6 business days resulting in 1 penalty day. In 5 cases log indicated participant was contacted, but no indication of the date of contact or that contact was in writing as specified in the agreement - penalties computed to the end of the contract year. In one case there was no indication participant was</p>

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					contacted – penalties computed to end of contract year. This resulted in a total of 308 penalty days and a penalty of \$30,800.
13	ASA shall deliver a Substantially Final Versions and final versions of any document, including, without limitation, Monthly and Annual Reports, Plan Forms, Administrative Manual, Management Plan within the time periods required by the agreement.	\$1,000 for each such document and an additional \$1,000 for each day of delay	\$75,000	<p>Obtained emails documenting that Substantially Final Versions of Monthly Reports were sent to the NYSDCP electronically no later than ten business days after the end of the month. Obtained UPS shipping receipts documenting that final versions of the Monthly Reports were sent to the NYSDCP no later than thirty days after the end of the month.</p> <p>Obtained email documenting when the Substantially Final Version of the Annual Report was sent to the NYSDCP electronically and determine if it was sent no later than fifteen business days following the end of the contract year.</p>	<p>Finding. Substantially Final Version of the Monthly Report for October 2012 was sent in 11 business days. This resulted in a penalty of \$1,000 for the document and another \$1,000 for 1 day of delay.</p> <p>Finding. Substantially Final Version of the Annual Report was sent in 34 business days – criteria specify it must be sent within 15 business days. Resulted in a penalty of \$20,000 - \$1,000 for the document and an additional \$19,000 for 19 days of delay.</p>

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				<p>Obtained UPS shipping receipt and determined if a final version of the Contract Year Annual Report was delivered to the board and the staff no later than the forty-fifth day following the end of the contract year.</p> <p>Obtained emails and determined if ASA delivered a Substantially Final Version of plan forms to be used in the upcoming year pursuant to Section 4(j) of the Agreement.</p> <p>Obtained email documenting date final versions of plan forms were sent to NYSDCP.</p> <p>Obtained email and determined if the</p>	<p>Finding. Final version of the Annual Report was sent 66 days after the end of the contract year – criteria specify it must be received by NYSDCP within 45 days. Resulted in a penalty of \$22,000 - \$1,000 for the document, and an additional \$21,000 for 21 days of delay.</p> <p>Finding. Substantially final version of plan forms were provided to NYSDCP on November 15 - criteria specifies they must be delivered by October 15, a delay of 30 days. Resulted in a penalty of \$31,000 - \$1,000 for document, and an additional \$30,000 for 30 days of delay.</p> <p>No exceptions noted.</p> <p>No exceptions noted.</p>

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				<p>Substantially Final and Final Version of Administrative Manual was sent to the NYSDCP pursuant to Section 4(h)(viii) of the Agreement.</p> <p>Obtained email and determined if the Substantially Final and Final Version of the Management Plan was sent to NYSDCP pursuant to Section 4(k)(i) of the Agreement.</p>	No exceptions noted.
14	<p>ASA shall make its services accessible to people with disabilities, by providing access to the hearing impaired through the VRS and to the visually impaired through the Web Site. Services for the hearing impaired through the VRS can be accessed through a TDD system that is separate from the VRS, provided, the system provides the same functionality as the VRS and has the same hours of availability as the Telephonic Counseling Service. The Plan Web Site shall conform to the World Wide Web Consortium Web Content Accessibility Guidelines, Section 508 of the Federal Rehabilitation Act, and the New York Statewide Technology Policy P04-002.</p>	Plan Quarter/ \$5,000	\$15,000	<p>Obtained and reviewed TTY (formerly TDD) call log for contract year and identified any periods of unavailability.</p> <p>Obtained, reviewed, and verified for all twelve months of the contract year billings for the 800 number dedicated to the TTY.</p> <p>Verified through observation that handicap access for the visually impaired is provided through the Plan Web Site.</p>	<p>Finding. For the period 5/17/12 through 12/31/12 the TTY log was not completed which prevented verification of TTY availability. Penalty assessed for the 1st, 2nd, and 3rd quarters of the contract year at \$5,000 per quarter.</p> <p>No exceptions noted.</p> <p>No exceptions noted.</p>

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				Verify that the Plan Web Site conforms to the World Wide Web Consortium Web Content Accessibility Guidelines, Section 508 of the Federal Rehabilitation Act, and the New York Statewide Technology Policy P08-005 (this replaced P04-002 and aligned New York Statewide Technology Policy with federal standards Section 508, Subpart B, section 1194.22 and subpart C section 1194.31).	No exceptions noted.
15	<p>ASA shall cause all amounts of deferred compensation received from the State or a Participating Employer to be invested in accordance with the Participant’s investment directions in one or more of the Plan’s Investment Options within two Business Days from the date of receipt of such deferred compensation by the Trustee and the receipt of instructions by ASA from the State or a Participating employer regarding such deferred compensation (the “<i>Required Cash and Instructions</i>”).</p> <p>The Performance Criteria regarding requiring that all amounts of deferred compensation received from the State or a Participating</p>	\$10,000 per business day.	\$0	<p>Haphazardly selected 200 participant contributions from the payroll contribution files provided to us by the ASA for contributions made during the year ended March 31, 2013 and performed the following:</p> <ul style="list-style-type: none"> • Determined whether the time period between the contribution receipt date and the date the funds were posted to the participant’s account was within 2 business days and in accordance with participant investment elections. 	No exceptions noted.

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	<i>Nationwide Performance Criteria</i>	<i>Period/ Penalty</i>	<i>Calculated Amount April 1, 2012 to March 31, 2013</i>	<i>Agreed Upon Procedures</i>	<i>Results</i>
	Employer be invested in accordance with the Participant's investment directions in one or more of the Plan's Investment Options within two business days from the date of receipt of such deferred compensation by the Trustee and receipt of instructions by ASA from the State or a Participating Employer regarding such deferred compensation (the "Required Cash and Instructions") may be evaluated in conjunction with similar agreed upon procedures report.				
16	ASA shall remain in substantial and material compliance with Section 457 of the Internal Revenue Code and shall monitor such compliance on a quarterly basis.	Plan Quarter/ \$50,000	\$0	Haphazardly selected five employers each quarter of the contract year, obtained the Quarterly Annual Deferral Limit Reports and determined if the run date was within fifteen business days after the end of each quarter; and over deferral notices were sent within fifteen business days after the end of each quarter. Reviewed NRS written procedures.	No exceptions noted.
17	ASA shall establish procedures to ensure that when a participant enters the Interactive Education Software provided by Morningstar and a complete connection with that website is established by a participant, the participant's current account information is transmitted by ASA to Morningstar.	Daily/\$1,000	\$0	On three separate occasions during the contract year conduct live tests using account data from 3 NYSDCP board staff members and 1 NRS employee who has a NYSDCP account. For each test, access PIAS 25 times in conventional method and	No exceptions noted.

Nationwide PERFORMANCE CRITERIA Testing Results

For the Twelve Month Period Ending March 31, 2013

	<i>Nationwide Performance Criteria</i>	<i>Period/ Penalty</i>	<i>Calculated Amount April 1, 2012 to March 31, 2013</i>	<i>Agreed Upon Procedures</i>	<i>Results</i>
				note if site was accessed correctly and account data was correctly populated. If errors are found, obtain documentation of when corrections were made and that correct data is being displayed.	
18	ASA shall maintain a connection with all aspects of the Interactive Education Software provided by Morningstar, or any subsequent educational software approved by the Board, offered to Participants through the Plan Web Site with no more than thirty minutes downtime within a twenty-four hour period, with the exception for scheduled maintenance periods as provided in the Management Plan.	Daily/\$1,000	\$3,000	<p>Observe access to the Interactive Education Software provided by Morningstar through the link on the Plan Web Site.</p> <p>For all months in the contract year obtained ASA's monitoring reports showing that a connection with Morningstar's website was maintained. Determine that the Morningstar's Web site was available to participants with no more than 30 minutes downtime in a 24 hour period.</p>	<p>No exceptions noted.</p> <p>Finding: IAS web site was not available for more than 30 minutes in a 24 hour period on three occasions during the contract year. Detail on specific periods of unavailability follows: - 4/10/12 - 80 minutes between 1:55 and 3:35 AM. - 11/10/12 – 35 minutes between 8:55 and 9/30 AM. - 2/23/13 – intermittent outages totaling about 50 minutes between 3:45 and 4:45 AM.</p> <p>This resulted in a total of 3 penalty days and an</p>

Nationwide PERFORMANCE CRITERIA Testing Results

For the Twelve Month Period Ending March 31, 2013

	<i>Nationwide Performance Criteria</i>	<i>Period/ Penalty</i>	<i>Calculated Amount April 1, 2012 to March 31, 2013</i>	<i>Agreed Upon Procedures</i>	<i>Results</i>
					associated penalty of \$3,000.
19	Not used				
20	Not used				
21	<p>ASA shall process all unforeseeable emergency withdrawal requests it receives in such a manner pursuant to Section 4(i)(i) of the agreement, and providing that:</p> <ol style="list-style-type: none"> 1. All completed application be reviewed and a decision rendered within three business days of that date and participant notified of the decision within three business days; and 2. Participants who submit an incomplete or deficient application be informed in writing of all deficiencies within three business days of the Submission. 	Daily/\$100 or \$5,000 per participant maximum	\$3,300	<p>For 30 haphazardly selected complete requests, compared the date the completed application was received with the date the notice of decision of the unforeseeable emergency request was mailed to the Participant. Verified that the notification was sent no later than three business days after the receipt of the request.</p> <p>For 30 haphazardly selected incomplete requests, compared the date each incomplete application was received with the date the participant was notified that the request was incomplete. Verified that notification was sent to the participant within three business days of the submission and identified all missing information needed to make the request complete.</p>	<p>Finding: On one occasion it took NRS 15 days to mail decision to participant. This resulted in 12 penalty days and an associated penalty of \$1,200.</p> <p>Finding: In one case NRS took 24 business days to notify the participant of incomplete application resulting in 21 penalty days and an associated penalty of \$2,100.</p>

Nationwide PERFORMANCE CRITERIA Testing Results

For the Twelve Month Period Ending March 31, 2013

	<i>Nationwide Performance Criteria</i>	<i>Period/ Penalty</i>	<i>Calculated Amount April 1, 2012 to March 31, 2013</i>	<i>Agreed Upon Procedures</i>	<i>Results</i>
22	<p>ASA shall process all loan applications it receives in such a manner pursuant to Section 4(i)(ii) and providing that the following are all done in accordance with its written procedures:</p> <ul style="list-style-type: none"> i. ASA's recording and processing of all loan applications; ii. ASA's directions to the Trustee as to disbursing loans, collecting loan repayments; and imposing default fees; iii. ASA develops and maintains procedures for collecting and processing repayments. 	Daily/\$100 or a \$5,000 per participant maximum	\$400	For 30 haphazardly selected complete loan requests, compared the date the completed application was received with the date the application was entered in the system (AS-400). Verified that the update occurred within three business days. Additionally, verified that the request for payment was made to the Trustee within two business days of entry into the system.	Finding: For 1 sample it took 5 business days to enter the loan request into the automated system. The criteria specify it must be done within 3 business days. This resulted in 2 penalty days and an associated penalty of \$100 per day. For 2 samples it took 3 business days to notify trustee. The criteria specify it must be done within 2 business days. This resulted in 2 penalty days and associated penalties of \$200.
23	Not used				
24	<p>For all approved disbursements, the ASA shall submit to Trustee complete instructions as to the amount and timing of initial and future payments in the next weekly instructions communication with Trustee.</p> <p>The Performance Criteria regarding the requirement that for all approved disbursements, ASA shall submit to Trustee complete instructions as to the amount and timing of initial and future payments in the next weekly instructions communication with Trustee may be</p>	Daily/\$100 or \$5,000 per participant maximum	\$0	Haphazardly selected 200 participant disbursements from the ASA detail that occurred during the fiscal year ended March 31, 2013, and determined whether more than three business days had passed from the date each benefit payment was deducted from the participant's account and the date the Trustee issued the benefit payment.	No exceptions noted.

Nationwide PERFORMANCE CRITERIA Testing Results

For the Twelve Month Period Ending March 31, 2013

	<i>Nationwide Performance Criteria</i>	<i>Period/ Penalty</i>	<i>Calculated Amount April 1, 2012 to March 31, 2013</i>	<i>Agreed Upon Procedures</i>	<i>Results</i>
	evaluated in conjunction with similar agreed upon procedures report.				
25	<p>ASA shall complete enrollment processing within two business days after receipt of a new enrollment request in good order. In the event that the enrollment request is not in good order, the ASA shall notify the enrollee within three business days after receipt of the request</p> <p>The Performance Criteria regarding the requirement that the ASA complete enrollment processing within two business days after receipt of a new enrollment request in good order. In the event that the enrollment request is not in good order, the ASA shall notify the enrollee within three business days after receipt of the request may be evaluated in conjunction with similar agreed upon procedures report.</p>	Daily/\$100 or \$5,000 per participant maximum	\$0	<p>Haphazardly selected from the participant enrollment data files provided to us by the ASA 200 new participants in the Plan for the year ended March 31, 2013 and performed the following for each participant:</p> <ul style="list-style-type: none"> • We obtained the participant enrollment form. • We observed through system generated quarterly statements whether the participant enrollment data was input and initialed by ASA personnel indicating that the pertinent information was input into the participant database correctly. • We determined whether the first contribution withheld for each participant was processed within the guidelines established by the ASA. The withholdings are to commence within the next two payrolls subsequent to enrollment. 	<p>No exceptions noted.</p> <p>No exceptions noted.</p> <p>For 65 of the participants selected, contribution withholdings did not commence within the specified timeframe. These exceptions ranged from 23 days to 145 days.</p> <p>This resulted in a total of</p>

Nationwide PERFORMANCE CRITERIA Testing Results

For the Twelve Month Period Ending March 31, 2013

	<i>Nationwide Performance Criteria</i>	<i>Period/ Penalty</i>	<i>Calculated Amount April 1, 2012 to March 31, 2013</i>	<i>Agreed Upon Procedures</i>	<i>Results</i>
				<ul style="list-style-type: none"> We compared the investment allocation from the participant's enrollment form to the participant's quarterly statement for the time period corresponding to the first contribution was allocated to the correct account as directed by the participant. 	<p>4,836 penalty days and an associated penalty of \$4,836,000. No penalty was assessed as the ASA cannot control when contributions are withheld by the employers.</p> <p>No exceptions noted.</p>

Attachment C

Investment Guidelines

The purpose of this Exhibit A is to establish a clear understanding between the Manager and the Board of the investment policies and objectives of the assets allocated from time to time to the Account. Each term used herein shall have the same definition as provided in the Investment Management Agreement, dated June 11, 2012, by and between the Board and the Manager (the “Agreement”). This Exhibit A outlines an overall philosophy that is specific enough for the Manager to know what is expected, but sufficiently flexible to allow for changing economic conditions in securities markets. The guidelines provide risk policies to guide the Manager toward long-term rate of return objectives, which serve as standards for evaluating investment performance. The guidelines also establish the investment restrictions to be placed upon the Manager and outline procedures for policy and performance review.

The Manager will be responsible for the management of the assets in the Account. The Manager will have full investment discretion for the assets under its management, subject to the terms of the Agreement and the limitations appearing herein. The Manager is expected to diversify the portfolio in a manner consistent with prudent person guidelines.

A. Objectives

Authorized Investments will be made for the sole interest and exclusive purpose of providing returns for the Account.

The Manager is directed to make reasonable efforts to preserve the principal of assets provided to it, but preservation of principal shall not be imposed on each individual investment. The Board understands that the dual goals of preservation of principal and capital appreciation will cause fluctuations in the total value of the assets in the Account.

The primary objectives for the Manager are:

1. Implement these policies so as to achieve the Board’s objectives.
2. Meet or exceed the standards of performance outlined below; provided, however, the Manager offers no guarantee of investment performance, profitability or that the performance objectives will be met.
3. Notify the Board should circumstances occur in which the Manager believes that policy needs to be modified to achieve the objectives.

B. Standards of Performance

The Manager is expected to meet the following performance standards:

1. A total portfolio return that exceeds the Morgan Stanley Capital International Europe, Australasia, Far East Index (MSCI EAFE– the benchmark) net of

Manager Fees on a 3-year rolling basis. The Board may use the MSCI EAFE Growth Index as a secondary benchmark for reference and supplemental reporting purposes.

2. A return that averages in the top half of results from a broad manager universe of Non-U.S. Equity Portfolios on a rolling 3-year basis.

C. Authorized Investments

The following types of securities, derivatives and other investments are authorized for investment, and each of them may be purchased and sold with the assets of the Account for the purpose of capital appreciation, preservation of capital, income, diversification, hedging against investment risks, achieving full investment of the assets of the Account, achieving desired liquidity and/or increasing return:

1. Common stocks and convertible securities of international companies typically trading on international stock exchanges, including corporate warrants, rights, futures and debt instruments convertible into equity securities.
2. Common stocks and convertible securities of companies within the emerging markets, including corporate warrants, rights, futures and debt instruments convertible into equity securities. Emerging markets to range from 0 – 20% of the portfolio. Emerging markets countries shall mean those countries defined as emerging markets countries under the MSCI Emerging Markets Index.
3. American Depository Receipts, Global Depository Receipts and International Depository Receipts.
4. Options on the securities identified in paragraphs C(1), (2) and (3) above.
5. Exchange-traded funds (ETFs) and index futures.
6. Cash or short-term cash equivalents denominated in domestic or foreign currencies.
7. Currencies of EAFE countries as well as emerging markets.
8. Forward foreign currency contracts.
9. Notes, baskets or warrants which replicate the performance of an underlying security or dividend for which investment in the local market or in ADRs or GDRs would be difficult and/or costly.
10. Any collective investment vehicle approved in advance in writing by the Board.

Notwithstanding the above, consistent with Section 4(c) of the Agreement, the Manager shall not knowingly make investments that result (or reasonably would be expected to result) in the losses or liabilities exceeding the value of the assets of the Account.

D. Cash and Cash Equivalents

The Portfolio will generally be run fully invested with less than 10% invested in “Liquid Investments” (i.e., cash and cash equivalents, exclusive of the notional amount of any index futures contracts that must be invested in cash or cash equivalents) at any given time. However, it is understood that during the initial funding, for transactional purposes, and during periods of high cash flows, Liquid Investments may be higher for short periods of time not to exceed five Business Days. If Liquid Investments are above 10% for more than five Business Days, the Manager will notify the Board in writing as to the reason and the re-investment rationale.

E. Investment Limitations

The Manager shall not cause the Account to invest more than 7% at market of the assets of the Account in common stock, preferred stock and other obligations of any one issuing corporation. The Manager will not invest more than 10% at market of the assets of the Account in an individual ETF. The Manager shall not cause the Account to own more than 1% of the outstanding shares of any one issuing corporation. Individual country allocations in the Account are expected to be limited to 20% of the Account’s market value or twice the country’s weighting in the MSCI EAFE (\$) index, whichever is higher except that the country allocation in the Account for companies domiciled in Canada is expected to be limited to 20% of the Account’s market value. Sector allocations in the Account are expected to be limited to 20% of the Account’s market value or twice the sector’s weighting in the MSCI EAFE (\$) index, whichever is higher. Exceptions are permissible but the Manager should promptly notify the Board in writing to explain the unusual circumstances justifying such a position.

At the time of purchase, equity securities will be limited to stocks of companies with a minimum market capitalization of \$500 million. Fund purchase or sales of currencies, including cross-currency hedges, are permitted to protect or enhance the U.S. dollar value of the Account. No speculative hedging is permitted.

Forward foreign currency contracts and currency futures are permitted for the purpose of hedging currency risk associated with securities in the Account and in connection with the settlement of transactions. Forward foreign currency transactions may also be used where there are cash balances in the portfolio to maintain foreign currency exposure and for hedging currency exposure to the benchmark. The Manager may execute trades using a foreign exchange broker selected at Manager’s discretion.

The Manager shall not invest more than 10% at market of the assets in the Account in the notional amount of any index futures contracts.

Leverage is not permitted. At all times the Manager shall cause the assets of the Account to be invested in cash or cash equivalent investments equal to the notional amount of any index futures contracts (less the portion of such notional amount deposited with the futures commission merchant) purchased for the Account by the Manager in accordance with these Investment Guidelines. This guideline cannot be changed without amending Section 4(c) of the Agreement.

The following investments are prohibited:

1. Loans.
2. Short sales of securities and the use of securities margin loans.
3. Private placements with the exception of 144A securities that fall under type I and type II (which requires a representation that the Board is a “qualified institutional buyer”).
4. Direct participations.
5. Real Estate with the exception of publicly traded REITs.

F. Ongoing Compliance

Notwithstanding any of the foregoing, the limitations set forth in these investment guidelines, including any limitations set forth in Sections C, D and E above, shall apply at all times, including at the time of purchase and at all times thereafter; provided, however, that to the extent that any limitation specifically states that it applies “at the time of purchase,” then the Manager will not make additional purchases over the stated limit but the Manager will not be required to sell down a position to bring the weighting below the limit stated. Where the limitations (other than those limited to “at the time of purchase”) are exceeded due to events outside of the Manager’s reasonable control (e.g., cash inflows, cash outflows, market action), it will not be considered as a breach of the guidelines; provided that the Manager promptly notifies the Adviser and the Board of such non-compliance and takes action to promptly resolve such non-compliance.

G. Reporting

The Manager will provide monthly reports indicating:

1. Portfolio composition for each major asset class at cost and market.
2. Individual security holdings at cost and market.
3. Transactions for the prior month by individual security.
4. Summary of results for most recent quarter, year-to-date and since inception.
5. Other data and reports required by the contract.

Periodic meetings will be scheduled with the Board. The agenda for these meetings should include:

1. Presentation of investment results compared to stated objectives.
2. Review of current investment strategies.
3. Discussion of any material changes in policy objectives, staffing or business conditions of the Manager.

H. Reviews

Annually, the Board and Manager will review the Board's goals, investment objectives and investment management policy with respect to the investment portfolio for the Account.



**NYS DEFERRED
COMPENSATION
BOARD**

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DIANA JONES RITTER

EDWARD M. CUPOLI

ROBERT F. MUJICA

December 4, 2013

CliftonLarsonAllen LLP
9515 Deereco Road, Suite 500
Timonium, MD 21093

This representation letter is provided in connection with your audits of the financial statements of the Deferred Compensation Plan for Employees of the State of New York and other Participating Jurisdictions (the Plan), as of March 31, 2013 and 2012, and for the years then ended for the purpose of expressing an opinion as to whether the financial statements present fairly the net position and changes in net position of the Deferred Compensation Plan for Employees of the State of New York and other Participating Jurisdictions in conformity with accounting principles generally accepted in the United States of America. We confirm that we are responsible for the fair presentation in the financial statements of net position and changes in net position in conformity with accounting principles generally accepted in the United States of America. Although CliftonLarsonAllen LLP may have made suggestions as to the form and content of the financial statements or even prepared them in whole or in part, we acknowledge our responsibility for the review and approval of the financial statement amounts and disclosures, and understand the financial statements remain the representations of management.

Certain representations in this letter are described as being limited to matters that are material. Items are considered material, regardless of size, if they involve an omission or misstatement of accounting information that, in light of surrounding circumstances, makes it probable that the judgment of a reasonable person relying on the information would be changed or influenced by the omission or misstatement.

We confirm, to the best of our knowledge and belief, as of December 4, 2013, the following representations made to you during your audit.

Financial Statements

- The financial statements referred to above are fairly presented in conformity with accounting principles generally accepted in the United States of America and the notes include all disclosures required by laws and regulations to which the Plan is subject.
- We have made available to you all:
 - Financial records and related data
 - Minutes of the meetings of the Deferred Compensation Board, or summaries of actions of recent meetings for which have not yet been prepared.
 - Amendments to the plan instrument (including amendments made to comply with applicable laws), the trust agreement, or insurance contracts.

- There have been no communications from regulatory agencies concerning noncompliance with, or deficiencies in, financial reporting practices.
- We have reviewed and approved all adjustments and corrections made to the financial statements and acknowledge that the adjustments are complete and accurate.
- We acknowledge our responsibility for the design and implementation of programs and controls to prevent and detect fraud.
- We have no knowledge of any fraud or suspected fraud that affects the entity and involves:
 - Management;
 - Employees who have significant roles in internal control; or
 - Others when the fraud could have a material effect on the financial statements.
- We have no knowledge of any allegations of fraud, or suspected fraud, affecting the entity's financial statements communicated by employees, former employees, regulators, beneficiaries, service providers, third-party administrators, or others.
- We have no:
 - Plans or intentions that may materially affect the carrying value or classification of assets and liabilities.
 - Knowledge of intentions by the entity to terminate the Plan.
- The following have been properly recorded or disclosed in the financial statements.
 - Arrangements with financial institutions involving compensating balances or other arrangements involving restrictions on cash balances, line of credit, or similar arrangements.
 - Related-party transactions and related amounts receivable or payable, including sales, purchases, loans, transfers, and guarantees. In this regard, there were no nonexempt transactions with parties in interest that are not disclosed in the financial statements.
 - Guarantees, whether written or oral, under which the Plan is contingently liable.
 - Agreements to repurchase assets previously sold have been properly disclosed.
 - Estimates that might be subject to material change within one year from the date of the financial statements. We have identified all accounting estimates that could be material to the financial statements, including the key factors and significant assumptions underlying those estimates, and we believe the estimates are reasonable in the circumstances.

- Concentrations existing at the date of the financial statements that make the Plan vulnerable to the risk of severe impact within one year from the date of the financial statements. We understand that concentrations include the nature and type of investments held by the Plan, or markets for which events could occur which would significantly disrupt normal finances within the next year.
 - Amendments to the plan instrument, if any.
- The plan document has been filed with the IRS and a favorable determination letter has been received by the Plan.
- We believe the Plan and trust established under the Plan are qualified under the appropriate section of the Internal Revenue Code, and we intend to continue them as a qualified plan and trust.
- There are no:
 - Violations or possible violations of laws or regulations whose effects should be considered for disclosure in the financial statements or as a basis for recording a loss contingency.
 - Other material liabilities or gain or loss contingencies that are required to be accrued or disclosed.
 - Other matters (e.g., breach of fiduciary responsibilities, nonexempt transactions, loans or loans in default, or events that may jeopardize the tax status) that legal counsel have advised us that must be disclosed.
- The current versions of the plan and trust documents have been filed with the appropriate agency.
- There are no unasserted claims or assessments that our lawyer has advised us are probable of assertion and must be disclosed.
- There are no:
 - Material transactions that have not been properly recorded in the accounting records underlying the financial statements.
 - Investments or loans in default or considered to be uncollectible that have not been disclosed in the financial statements.
- The Plan has satisfactory title to all owned assets, and there are no liens or encumbrances on such assets, nor has any asset been pledged.
- The Plan has complied with all aspects of contractual agreements that would have a material effect on the financial statements in the event of noncompliance.
- We have apprised you of all communications, whether written or oral, with regulatory agencies concerning the operation of the Plan.

- No events have occurred subsequent to the financial statement date and through the date of this letter that would require adjustment to, or disclosure in, the financial statements.

Signature: _____

Edward J. Lilly
Executive Director
New York State Deferred Compensation Plan